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**1995**

# ***Illinois Register***

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## **Rules of Governmental Agencies**

Volume 19, Issue 35— September 01, 1995

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Jan. 3, 1995	Jan. 10, 1995	3	Jan. 20, 1995	July 11, 1995	July 18, 1995	30	July 28, 1995
Jan. 10, 1995	Jan. 17, 1995	4	Jan. 27, 1995	July 18, 1995	July 25, 1995	31	Aug. 4, 1995
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Jan. 24, 1995	Jan. 31, 1995	6	Feb. 10, 1995	Aug. 1, 1995	Aug. 8, 1995	33	Aug. 18, 1995
Jan. 31, 1995	Feb. 7, 1995	7	Feb. 17, 1995	Aug. 8, 1995	Aug. 15, 1995	34	Aug. 25, 1995
Feb. 7, 1995	Feb. 14, 1995	8	Feb. 24, 1995	Aug. 15, 1995	Aug. 22, 1995	35	Sept. 1, 1995
Feb. 14, 1995	Feb. 21, 1995	9	Mar. 3, 1995	Aug. 22, 1995	Aug. 29, 1995	36	Sept. 8, 1995
Feb. 21, 1995	Feb. 28, 1995	10	Mar. 10, 1995	Aug. 29, 1995	Sept. 5, 1995	37	Sept. 15, 1995
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Mar. 21, 1995	Mar. 28, 1995	14	Apr. 7, 1995	Sept. 26, 1995	Oct. 3, 1995	41	Oct. 13, 1995
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June 20, 1995	June 27, 1995	27	July 7, 1995	Dec. 26, 1995	Jan. 2, 1996	2	Jan. 12, 1996

Please note: When the Register deadline falls on a State holiday, the deadline becomes 4:30 p.m. on Monday (the day before).



## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

1) Heading of the Part: Schedule of Controlled Substances2) Code Citation: 77 Ill. Adm. Code 20703) Section Numbers: Proposed Action:

2070.122	New
2070.124	Renumbered
2070.130	Amended
2070.145	Amended
2070.146	New
2070.148	Amended
2070.157	New
2070.147	Renumbered
2070.272	New
2070.273	New
2070.297	New
2070.388	New
2070.397	New
2070.606	New
2070.608	New
2070.640	Amended
2070.655	Amended
2070.667	New
2070.690	Amended
2070.695	Amended
2070.700	Amended
2070.720	New
2070.725	New
2070.730	New
2070.735	New
2070.740	New
2070.745	New
2070.815	New
2070.820	New
2070.825	New
2070.830	New
2070.835	New
2070.920	Amended
2070.999	New
2070.1120	Amended
2070.1187	New
2070.1193	New
2070.1330	New
2070.1520	New
2070.1550	Amended
2070.1750	Renumbered
2070.1868	New

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

2070.1960	New
2070.1962	New
2070.1964	New
2070.1966	New
2070.1968	New
2070.1970	New
2070.1972	New
2070.1974	New
2070.1976	New
2070.1978	New
2070.1980	New
2070.1982	New
2070.1984	New
2070.1986	New
2070.1988	New
2070.1990	New
2070.1992	New
2070.2217	New
2070.2218	New
2070.2232	New
2070.2241	New
2070.2242	New
2070.2244	New
2070.2248	New
2070.2256	New
2070.2258	New
2070.2259	New
2070.2266	New
2070.2268	New
2070.2269	New
2070.2272	New
2070.2277	New
2070.2291	New
2070.2292	New
2070.2293	New
2070.2294	New
2070.2297	New
2070.2312	New
2070.2317	New
2070.2322	New
2070.2350	New
2070.2503	New
2070.2515	New
2070.2520	New
2070.2545	New
2070.2650	New
2070.2655	New
2070.2750	New

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

4) Statutory Authority: Illinois Controlled Substances Act [720 ILCS 570]

5) A Complete Description of the Subjects and Issues Involved: The rule is being amended to be consistent with federal scheduling and modifications of the State Controlled Substances Act and will regulate the purchase of Ephedrine, a potentially dangerous drug.

6) Will this rulemaking replace any emergency rulemaking currently in effect? No

7) Does this rulemaking contain an automatic repeal date? No

8) Does this rulemaking contain incorporations by reference? No

9) Are there any other proposed rulemakings pending on this part? No

10) Statement of Statewide Policy Objectives: A Statement of Statewide Policy Objectives is not necessary.

11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Interested persons should address their written comments concerning these rules within 45 days to:

Ronald J. Vlasaty  
Deputy Director for the Bureau of Administration  
Department of Alcoholism and Substance Abuse  
James R. Thompson Center  
100 W. Randolph Street, Suite 5-600  
Chicago, IL 60601

or

Philip Wyatt  
Legislative Liaison  
Department of Alcoholism and Substance Abuse  
222 S. College Street, Second Floor  
Springfield, Illinois 62704

12) Initial Regulatory Flexibility Analysis:

A) Types of small businesses, small municipalities and not for profit corporations affected: None of which the department is aware.

B) Reporting, bookkeeping or other procedures required for compliance: No new reporting is required.

C) Types of professional skills necessary for compliance: No new or additional professional skills are necessary.

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

13) Regulatory Agenda on which this rulemaking was summarized: July 1995

The full text of the Proposed Amendment begins on the next page:



## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

TITLE 77: PUBLIC HEALTH  
CHAPTER X: DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE  
SUBCHAPTER e: CONTROLLED SUBSTANCES ACTIVITIES

## PART 2070

## SCHEDULE OF CONTROLLED SUBSTANCES

## SUBPART A: GENERAL

Section  
2070.10  
2070.20  
2070.30  
2070.40  
2070.50

Definitions  
Designated Products  
Names Given to Listed Drugs  
Excluded Substances  
Excepted Compounds

## SUBPART B: SCHEDULE OF CONTROLLED SUBSTANCES--SCHEDULE I

Section  
2070.100  
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2070.115  
2070.120  
2070.122  
2070.124  
2070.125  
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2070.157  
2070.160  
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2070.175  
2070.180  
2070.185  
2070.190  
2070.195  
2070.200  
2070.205  
2070.210  
2070.220

Schedule I--Criteria  
Schedule I--Enumeration  
Opiates  
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Acetyl-alpha-methylfentanyl  
Alfentanil (Renumbered)  
Allylprodine  
Alphacetylmethadol  
Alphameprodine  
Alphamethadol  
Alpha-methylfentanyl  
Alpha-methylthiofentanyl  
1-methyl-4-phenyl-4-propionoxypiperidine (MPPP)  
PEPAP 1-(2-phenylethyl)-4-phenyl-4-acetyloxypiperidine (PEPAP)  
Benzethidine  
Betacetylmethadol  
Beta-hydroxyfentanyl  
Betameprodine  
Betamethadol  
Betaprodine  
Clonitazene  
Dextromoramide  
Diampramide  
Diethylthiambutene  
Difenoxin  
Dimenoxadol  
Dimephtanol  
Dimethylthiambutene  
Dioxaphetylbutyrate

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

2070.230 Dipipanone  
2070.235 Ethylmethylthiambutene  
2070.240 Etonitazene  
2070.245 Etoperidine  
2070.247 3-Methylfentanyl (Renumbered)  
2070.250 Furethidine  
2070.255 Hydroxethidine  
2070.260 Ketobemidone  
2070.265 Levomoramide  
2070.270 Levophenacetyl morphan  
2070.272 3-Methylfentanyl  
2070.273 3-Methylthiofentanyl  
2070.275 Morpheridine  
2070.280 Noracymethadol  
2070.285 Norlevorphanol  
2070.290 Normethadone  
2070.295 Norpipanone  
2070.297 Para-fluorofentanyl  
2070.300 Phenadoxone  
2070.310 Phenampromide  
2070.320 Phenomorphan  
2070.330 Phenoperidine  
2070.340 Piritramide  
2070.350 Proheptazine  
2070.360 Properidine  
2070.370 Propiram  
2070.380 Racemoramide  
2070.385 Sufentanil (Renumbered)  
2070.388 Thiofentanyl  
2070.390 Tilidine  
2070.395 Trimeperidine  
2070.397 Beta-hydroxy-3-methylfentanyl  
2070.400 Opium Derivates  
2070.405 Acetorphine  
2070.410 Acetyldihydrocodeine  
2070.415 Benzylmorphine  
2070.420 Codeine methylbromide  
2070.425 Codeine-N-Oxide  
2070.430 Cyrenorphine  
2070.435 Desomorphone  
2070.440 Diacetyldihydromorphine (Dihydroheroin)  
2070.445 Dihydromorphine  
2070.450 Drotribanol  
2070.455 Etorphine (except hydrochloride salt)  
2070.460 Heroin  
2070.465 Hydromorphanol  
2070.470 Methylidesorphine  
2070.475 Methylidihydromorphine

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

2070.480	Morphine methylbromide
2070.485	Morphine methylsulfonate
2070.490	Morphine-N-Oxide
2070.495	Myrophine
2070.500	Nicocodeine
2070.505	Nicomorphine
2070.510	Normorphine
2070.515	Pholcodine
2070.520	Thebacon
2070.600	Hallucinogenic Substances
2070.605	3, 4 Methylenedioxyamphetamine
2070.606	Alpha-ethyltryptamine
2070.607	3, 4 Methylenedioxyamphetamine (MDMA)
2070.608	3, 4-methylenedioxy-N-ethylamphetamine
2070.610	3-methoxy-4, 5-methylenedioxyamphetamine (MMDA)
2070.615	3, 4, 5-trimethoxyamphetamine (TMA)
2070.620	5-hydroxydimethyltryptamine (Bufotenine)
2070.625	Diethyltryptamine (DET)
2070.630	Dimethyltryptamine (DMT)
2070.635	4-methyl, 2, 5-dimethoxyamphetamine (DOM, STP)
2070.640	Ibogaine
2070.645	Lysergic acid diethylamide
2070.650	3, 4, 5-trimethoxyphenethylamine (Mescaline)
2070.655	Peyote
2070.660	N-ethyl-3-piperidyl benzilate (JB 318)
2070.665	N-methyl-3-piperidyl benzilate
2070.667	N-hydroxy-3, 4-methylenedioxyamphetamine
2070.670	Parahexyl
2070.675	Psilocybin
2070.680	Psilocyn
2070.685	Alpha-methyltryptamine (AMT)
2070.690	2, 5-dimethoxyamphetamine BMA
2070.695	4-bromo-2, 5-dimethoxyamphetamine BMA
2070.700	4-methoxyamphetamine (4-methoxy-alpha-methylphenethylamine; paramethoxyamphetamine, PMA)
2070.705	Thiophene analog of phencyclidine (TPCP)
2070.710	Ethylamine analog of phencyclidine
2070.715	Pyrolidine analog of phencyclidine
2070.720	5-methoxy-3, 4-methylenedioxy-amphetamine
2070.725	2, 5-dimethoxy-4-ethylamphetamine
2070.730	1-[1-(2-thienyl) cyclohexyl] pyrrolidine
2070.735	3, 4-methylenedioxy-amphetamine
2070.740	Thiophene analog of phencyclidine
2070.745	Bufotenine
2070.750	Depressants
2070.755	Mecloqualone
2070.760	Methaqualone
2070.800	Stimulants

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

2070.805	Fenethylamine
2070.810	N-ethylamphetamine
2070.815	Aminorex
2070.820	Methcathinone
2070.825	Chathinone
2070.830	N,N-dimethylamphetamine
2070.835	(+ or -) cis-4-methylaminorex

## SUBPART C: SCHEDULE OF CONTROLLED SUBSTANCES--SCHEDULE II

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2070.910	Narcotics
2070.915	Opium and Opiates
2070.920	Raw Opium
2070.925	Opium Extracts
2070.930	Opium Fluid Extracts
2070.935	Powdered Opium
2070.940	Granulated Opium
2070.945	Tincture of Opium
2070.950	Codeine
2070.955	Ethylmorphine
2070.960	Etorphine Hydrochloride
2070.965	Hydrocodone
2070.970	Hydromorphone
2070.975	Metopon
2070.980	Morphine
2070.985	Oxycodone
2070.990	Oxymorphone
2070.995	Thebaine
2070.998	Thebaine-derived butorphanol
2070.999	Equivalencies
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2070.1110	Cocaine
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2070.1130	Opiates
2070.1150	Alphaprodine
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2070.1165	Bulk Dextropropoxyphene
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2070.1185	Alfentanil
2070.1186	Carfentanil
2070.1187	Isomethadone
2070.1190	Levo-alphaacetylmethadol
2070.1193	



## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

2070.1195	Levomethorphan
2070.1200	Levorphanol
2070.1205	Metazocine
2070.1210	Methadone
2070.1215	Methadone--Intermediate
2070.1220	Moramide--Intermediate
2070.1225	Meperidine
2070.1230	Pethidine-Intermediate-A
2070.1235	Pethidine-Intermediate-B
2070.1240	Pethidine-Intermediate-C
2070.1245	Phenazocine
2070.1250	Piminodine
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2070.1260	Racemorphan
2070.1265	Sufentanil
2070.1300	Stimulants
2070.1310	Amphetamine
2070.1320	Methamphetamine
2070.1330	Methylphenidate
2070.1370	Phenmetrazine
2070.1400	Depressants
2070.1405	Methaqualone (Renumbered)
2070.1410	Amobarbital
2070.1420	Secobarbital
2070.1425	Pentobarbital
2070.1430	Phencyclidine
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2070.1500	Immediate Precursors
2070.1505	Amphetamine and Methamphetamine
2070.1510	Phencyclidine
2070.1520	Nabilone
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2070.1635	Mazindol (Renumbered)
2070.1640	Phendimetrazine
2070.1700	Other Stimulants
2070.1750	Methylphenidate (Renumbered)

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

2070.1800	Depressants
2070.1805	Barbiturates
2070.1810	Barbiturates - Suppository Dosage Form
2070.1825	Derivatives of Barbituric Acid
2070.1830	Chlorhexadol
2070.1835	Glutethimide (Renumbered)
2070.1840	Methyprrylon
2070.1845	Sulfondiethylmethane
2070.1850	Sulfonethylmethane
2070.1855	Sulfonmethane
2070.1860	Lysergic Acid
2070.1865	Lysergic Acid Amide
2070.1868	Tiletamine or Zolazepam or Both
2070.1870	Pentazocine and Aspirin Compound
2070.1875	Pentazocine and Acetaminophine
2070.1880	Pentazocine and Naloxone
2070.1890	Nalorphine
2070.1900	Narcotic Drugs
2070.1905	Codeine
2070.1910	Codeine
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2070.1920	Dihydrocodeinone
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2070.1930	Ethylmorphine
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2070.1990	Testosterone Cypionate-Estradiol Cypionate Injection
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SCHEDULE IV

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENT

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2070.2100	Schedule IV--Criteria
2070.2105	Schedule IV--Enumeration
2070.2110	Narcotic Drugs
2070.2115	Difenoxin and Atropine Sulfate
2070.2120	Dextropropoxyphene
2070.2200	Depressants
2070.2210	Alprazolam
2070.2215	Barbital
2070.2217	Bromazepam
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2070.2232	Clobazam
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2070.2248	Estazolam
2070.2250	Ethchlorvynol
2070.2255	Ethinamate
2070.2256	Ethyl Loflazepate
2070.2258	Fludiazepam
2070.2259	Flunitrazepam
2070.2260	Flurazepam
2070.2265	Halazepam
2070.2266	Haloxazolam
2070.2268	Ketazolam
2070.2269	Loprazolam
2070.2270	Lorazepam
2070.2272	Lormetazepam
2070.2275	Mebutamate
2070.2277	Medazepam
2070.2280	Meprobamate
2070.2285	Methohexital
2070.2290	Mephobarbital
2070.2291	Midazolam
2070.2292	Nimetazepam
2070.2293	Nitrazepam
2070.2294	Nordiazepam
2070.2295	Oxazepam
2070.2297	Oxazolam
2070.2300	Paraldehyde
2070.2305	Petrichloral
2070.2310	Phenobarbital

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2070.2312	Pinazepam
2070.2315	Prazepam
2070.2317	Quazepam
2070.2320	Temazepam
2070.2322	Tetrazepam
2070.2325	Triazolam
2070.2350	Zolpidam
2070.2400	Penfuramine
2070.2500	Stimulants
2070.2503	Cathine
2070.2505	Diethylpropion
2070.2515	Pencamfamin
2070.2520	Penproporex
2070.2540	Mazindol
2070.2545	Mefenorex
2070.2650	Stimulants
2070.2655	Ephedrine
2070.2565	Phentermine
2070.2570	Femoline
2070.2575	Pipradrol
2070.2580	SPA
2070.2600	Excepted Compounds

SUBPART F: SCHEDULE OF CONTROLLED SUBSTANCES--  
SCHEDULE V

Section	
2070.2700	Schedule V--Criteria
2070.2705	Schedule V--Enumeration
2070.2710	Narcotic Drugs
2070.2712	Buprenorphine
2070.2715	Codeine
2070.2720	Dihydrocodeine
2070.2725	Ethylmorphine
2070.2730	Diphenoxylate
2070.2735	Opium
2070.2740	Difenoxin
2070.2750	Pyrovalerone
2070.2800	Other Substances

AUTHORITY: Implementing and authorized by Section 100 of the Illinois Controlled Substances Act (720 ILCS 570/100).

SOURCE: Filed and effective November 19, 1975; rules repealed, new rules adopted at 2 Ill. Reg. 16, p. 151, effective April 24, 1978; amended at 2 Ill. Reg. 33, p. 63, effective August 15, 1978; amended at 2 Ill. Reg. 44, p. 127, effective October 30, 1978; amended at 2 Ill. Reg. 45, p. 19, effective November 10, 1978; amended at 2 Ill. Reg. 52, p. 283, effective January 5,



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1979; amended at 3 Ill. Reg. 8, p. 112, effective February 23, 1979; amended at 3 Ill. Reg. 12, p. 246, effective March 23, 1979; amended at 4 Ill. Reg. 33, p. 193, effective August 4, 1980; amended at 5 Ill. Reg. 2987, effective March 5, 1981; amended at 5 Ill. Reg. 5156, effective April 29, 1981; amended at 5 Ill. Reg. 13454, effective November 25, 1981; amended at 6 Ill. Reg. 5176, effective April 16, 1982; amended at 6 Ill. Reg. 7200, effective June 7, 1982; amended at 7 Ill. Reg. 16142, effective December 2, 1983; amended at 7 Ill. Reg. 16639, effective December 9, 1983; transferred to the Department of Alcoholism and Substance Abuse by the Alcoholism and Substance Abuse Act (supp. to Ill. Rev. Stat. 1983, ch. 111 1/2, pars. 634 et seq.) effective July 1, 1984; amended at 8 Ill. Reg. 13138, effective July 27, 1984; amended at 8 Ill. Reg. 16760, effective September 14, 1984; codified at 8 Ill. Reg. 19319; amended at 8 Ill. Reg. 21212, effective October 19, 1984; amended at 9 Ill. Reg. 1837, effective January 29, 1985; amended at 9 Ill. Reg. 10649, effective July 2, 1985; amended at 10 Ill. Reg. 914, effective January 7, 1986; amended at 10 Ill. Reg. 11222, effective June 16, 1986; emergency amendment at 10 Ill. Reg. 15662, effective September 10, 1986, for a maximum of 150 days; amended at 10 Ill. Reg. 18159, effective October 8, 1986; amended at 10 Ill. Reg. 19709, effective November 6, 1986; emergency amendment at 11 Ill. Reg. 4048, effective February 24, 1987, for a maximum of 150 days; amended at 11 Ill. Reg. 5192, effective March 17, 1987; amended at 11 Ill. Reg. 11944, effective July 2, 1987; amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

## SUBPART B: SCHEDULE OF CONTROLLED SUBSTANCES--SCHEDULE I

**Section 2070.122 Acetyl-alpha-methylfentanyl**

Acetyl-alpha-methylfentanyl (N-[(1-methyl-2-phenethyl)-4-piperidinyl]-N-phenylacetamide)

Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

**Section 2070.124 Alfentanil (Renumbered)**

(Source: Former Section 2070.124 renumbered to Section 2070.1186 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.130 Alphacetylmethadol**

Alphacetylmethadol, except levo-alphacetylmethadol (also known as: levo-alpha-acetylmethadol, levomethadyl acetate, or LAAM)

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.145 Alpha-methylfentanyl**

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Alpha-methylfentanyl (N-(1-alpha-methyl-beta-phenylethyl-4-piperidyl) propionanilide; 1-(1-methyl-2-phenylethyl)-4-(N-propanilido) piperidine) **†-methyl†**  
(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.146 Alpha-methylthiofentanyl**

Alpha-methylthiofentanyl (N-[(1-menthyl-2-(2-thienyl) ethyl-4-piperideinyl)-N-phenylpropanamide])

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.148 PEPAP 1-(2-phenylethyl)-4-phenyl-4-acetyloxypiperidine PEPAP**

PEPAP 1-(2-phenethyl)-4-phenyl-4-acetoxypiperidine) **†-(2-phenylethyl)-4-†PEPAP†**

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.157 Beta-hydroxyfentanyl**

Beta-hydroxyfentanyl (N-[(1-(2-hydroxy-2-phenethyl)-4-piperidinyl)-N-phenylpropanamide])

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.247 3-Methylfentanyl (Renumbered)**

(Source: Former Section 2070.247 renumbered to Section 2070.272 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.272 2070-247--3-Methylfentanyl**

3-Methylfentanyl (N-[(3-methyl-1-(2-phenylethyl)-4-piperidyl)-N-phenylpropanamide])

(Source: Section 2070.272 renumbered from Section 2070.247 and amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.)

**Section 2070.273 3-Methylthiofentanyl**

3-Methylthiofentanyl (N-[(3-methyl-1-(2-thienyl)ethyl-4-piperidinyl)-N-phenylpropanamide])

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(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.297 Para-fluorofentanyl**

Para-fluorofentanyl (N-(4-fluorophenyl)-N-[1-(2-phenethyl)-4-piperidinyl]propanamide)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.388 Thiofentanyl**

Thiofentanyl (N-phenyl-N-[1-(2-thienyl)ethyl-4-piperidinyl]propanamide)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.397 Beta-hydroxy-3-methylfentanyl**

Beta-hydroxy-3-methylfentanyl (other name: N-[1-(2-hydroxy-2-phenethyl)-3-methyl-4-piperidinyl]-N-phenylpropanamide)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.606 Alpha-ethyltryptamine**

Alpha-ethyltryptamine (some trade or other names: etryptamine; MOASE, alpha-ethyl-1H-indole-3-ethanamine; 3-(2-aminobutyl)indole; a-ET, and AET)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.608 3,4-methylenedioxy-N-ethylamphetamine**

3,4-methylenedioxy-N-ethylamphetamine (also known as: N-ethyl-alpha-methyl-3,4(methylenedioxy)phenethylamine, N-ethyl, MDA, MDE, and MDEA)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.640 Ibogaine**

Ibogaine (some trade and other names: 7-ethyl-6,6,6,7,8,9,10,12,12-octahydro-2-methoxy-6,9-methano-5H-pyrido [1',2':1,2] azepino [5,4-b] indole; Tabernatinthe iboga)

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(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.655 Peyote**

Peyote (meaning all parts of the plant presently classified botanically as Lophophora williamsii Lemaire, whether growing or not, the seeds thereof, any extract from any part of that plant, and every compound, manufacture, salts, derivative, mixture, or preparation of that plant, its seeds or extracts)

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.667 N-hydroxy-3,4-methylenedioxyamphetamine**

N-hydroxy-3,4-methylenedioxyamphetamine (also known as N-hydroxy-alpha-methyl-3,4(methylenedioxy)phenethylamine and N-hydroxy MDA)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.690 2,5-dimethoxyamphetamine BMA**

2,5-dimethoxyamphetamine (2,5-dimethoxy-alpha-methylphenethylamine; 2,5-DMA) (2,5-dimethoxy-a-methylphenethylamine-2,5-BMA)

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.695 4-bromo-2,5-dimethoxyamphetamine BMA**

4-bromo-2,5-dimethoxyamphetamine (4-bromo-2,5-dimethoxy-alpha-methylphenethylamine; (4-bromo-2,5-dimethoxy-a-methylphenethylamine) 4-bromo-2,5-DMA)

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.700 4-methoxyamphetamine (4-methoxy-alpha-methylphenethylamine; (4-methoxy-a-methylphenethylamine) paramethoxyamphetamine, PMA)**

4-methoxyamphetamine (4-methoxy-alpha-methylphenethylamine; (4-methoxy-a-methylphenethylamine) paramethoxyamphetamine, PMA)

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.720 5-methoxy-3,4-methylenedioxy-amphetamine**



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5-methoxy-3,4-methylenedioxy-amphetamine

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.725 2,5-dimethoxy-4-ethylamphetamine**

2,5-dimethoxy-4-ethylamphetamine (another name: DOET)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.730 1-[1-(2-thienyl)cyclohexyl] pyrrolidine**

1-[1-(2-thienyl)cyclohexyl] pyrrolidine (another name: TCPy)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.735 3,4-methylenedioxy-amphetamine**

3,4-methylenedioxy amphetamine

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.740 Thiophene analog of phencyclidine**

Thiophene analog of phencyclidine (some trade or other names: 1-[1-(2-thienyl)-cyclohexyl]-piperidine; 2-thienyl analog of phencyclidine; TCP; TCP)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.745 Bufotenine**

Bufotenine (some trade or other names: 3-(Beta-Dimethylaminoethyl)-5-hydroxyindole; 3-(2-dimethylaminoethyl)-5-indolol; 5-hydroxy-N,N-dimethyltryptamine; N,N-dimethylserotonin; mappine

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.815 Aminorex**

Aminorex (some other names: 2-amino-5-phenyl-2-oxazoline; aminoxaphen; 4-5-dihydro-5-phenyl-2-oxazoline) and its salts, optical isomers, and salts of optical isomers

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(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.820 Methcathinone**

Methcathinone (some other names: 2-methylamino-1-phenylpropan-1-one; Ephedrone; 2-(methylamino)-propionophenone; alpha-(methylamino)propionophenone; N-methylcathinone; methycathinone; Monomethylpropion; UR 1431) and its salts, optical isomers, and salts of optical isomers.

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.825 Chathinone**

Chathinone (some trade or other names: 2-aminopropiophenone; alpha-aminopropiophenone; 2-amino-1-phenyl-propane; norephedrone)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.830 N,N-dimethylamphetamine**

N,N-dimethylamphetamine (also known as: N,N-alpha-trimethyl-benzeneethanamine; N,N-alpha-trimethylphenethylaniline)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2070.835 (+ or -) cis-4-methylaminorex**

(+ or -) cis-4-methylaminorex ((+ or -) cis-4,5-dihydro-4-methyl-4-5-phenyl-2-oxazolamine)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

SUBPART C: SCHEDULE OF CONTROLLED SUBSTANCES--SCHEDULE II

**Section 2070.920 Opium and Opiates**

Opium and opiates, and any salt, compound, derivative or preparation of opium or opiate, excluding apomorphine, dextrorphan, levopropoxyphene, nalbuphine, naloxone, and naltrexone, and their respective salts, but including those enumerated in Sections 2070.925 to 2070.998.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

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Section 2070.999 Thebaine-derived butorphanolThebaine-derived butorphanol

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1120 Cocaine

Coca leaves and any salt, compound, derivative, or preparation of coca leaves, and any salt, compound, derivative or preparation thereof which is chemically equivalent or identical with any of these substances, but not including decocainized coca leaves or extractions of coca leaves, which extractions do not contain cocaine or ecgonine (for the purpose of this paragraph, the term "isomer" includes optical, positional and geometric isomers).

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1186 2070-124 AlfentanilAlfentanil

(Source: Renumbered from Section 2070.124 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1187 CarfentanilCarfentanil

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1193 Levo-alpha-cetylmethadol

Levo-alpha-cetylmethadol (some other names: levo-alpha-acetylmethadol, levomethadyl acetate, LAAM)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1330 2070-1750 MethylphenidateMethylphenidate

(Source: Renumbered from Section 2070.1750 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

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## NOTICE OF PROPOSED AMENDMENT

Section 2070.1520 NabiloneNabilone

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1550 Dronabinol (synthetic)

Dronabinol (synthetic) in sesame oil and encapsulated in a soft gelatin capsule in a U.S. Food and Drug Administration-approved **Administration** drug product. Some other names: (6aR-trans)-6a,7,8,10a-tetrahydro-6,6,9-trimethyl-3-pentyl-2H-1-benzopyran-11-ol; (-)-delta-9-(trans)-tetrahydrocannabinol.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## SUBPART D: SCHEDULE OF CONTROLLED SUBSTANCES--SCHEDULE III

Section 2070.1750 Methylphenidate (Renumbered)

(Source: Former Section 2070.1750 renumbered to Section 2070.1330 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1868 Tiletamine or Zolazepam or Both

Tiletamine or zolazepam or both, or any salt of either of them. Some trade or other names for a tiletamine-zolazepam combination product: Telazol. Some trade or other names for tiletamine: 2-(ethylamino)-2-(2-thienyl)-cyclohexanone. Some trade or other names for zolazepam: 4-(2-fluorophenyl)-5,8-dihydro-L,3,8-trimethylpyrazolo-[3,4-e], [1,4]-diazepin-7(1H)-one, and flupytazapon.

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1960 Anabolic Steroids

Anabolic steroids, except the following anabolic steroids listed in Sections 2070.1962 to 2070.1992 that are exempt.

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1962 Androgen L.A.Androgen L.A.



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(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1964 Andro-Estro 90-4Andro-Estro 90-4

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1966 depANDROGYNdepANDROGYN

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1968 DEPO-T.E.DEPO-T.E.

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1970 depTESTROGENdepTESTROGEN

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1972 DuomoneDuomone

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1974 DURATESTRINDURATESTRIN

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1976 DUO-SPAN IIDUO-SPAN II

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(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1978 EstratestEstratest

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1980 Estratest H.S.Estratest H.S.

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1982 PAN ESTRA TESTPAN ESTRA TEST

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1984 Premarin with MethyltestosteronePremarin with Methyltestosterone

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1986 TEST-ESTRO CypionatesTEST-ESTRO Cypionates

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1988 Testosterone Cyp 50 Estradiol Cyp 2Testosterone Cyp 50 Estradiol Cyp 2

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1990 Testosterone Cypionate-Estradiol Cypionate InjectionTestosterone Cypionate-Estradiol Cypionate Injection

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(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.1992 Testosterone Enanthate-Estradiol Valerate Injection

Testosterone Enanthate-Estradiol Valerate injection

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## SUBPART E: SCHEDULE OF CONTROLLED SUBSTANCES--SCHEDULE IV

Section 2070.2217 Bromazepam

Bromazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2218 Camazepam

Camazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2232 Clonazepam

Clonazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2241 Clotiazepam

Clotiazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2242 Cloxazolam

Cloxazolam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2244 Delorazepam

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Delorazepam  
(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2248 Estazolam

Estazolam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2256 Ethyl Loflazepate

Ethyl loflazepate

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2258 Fludiazepam

Fludiazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2259 Flunitrazepam

Flunitrazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2266 Haloxazolam

Haloxazolam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2268 Ketazolam

Ketazolam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2269 Loprazolam



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Loprazolam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2272 LormetazepamLormetazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2277 MedazepamMedazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2291 MidazolamMidazolam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2292 NimetazepamNimetazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2293 NitrazepamNitrazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2294 NordiazepamNordiazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2297 Oxazolam

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Oxazolam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2312 PinazepamPinazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2317 QuazepamQuazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2322 TetrazepamTetrazepam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2350 ZolpidamZolpidam

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2503 CathineCathine ((+)-norpseudoephedrine)

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2515 FencamfaminFencamfamin

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2520 Fenproporex

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Fenproporex

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2545 MefenorexMefenorex

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2650 Stimulants

Any material, compound, mixture, or preparation that contains any quantity of the following substance listed in Section 2070.2655 having a stimulant effect on the central nervous system, including its salts, enantiomers (optical isomers) and salts of enantiomers (optical isomers).

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

Section 2070.2655 Ephedrine

Ephedrine as the only active medicinal ingredient or in combination with therapeutically insignificant quantities of another active medicinal ingredient.

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## SUBPART F: SCHEDULE OF CONTROLLED SUBSTANCES--SCHEDULE V

Section 2070.2750 PyrovaleronePyrovalerone

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

## NOTICE OF PROPOSED AMENDMENTS

1) Heading of the Part: Triplicate Prescription Control Program

2) Code Citation: 77 Ill. Adm. Code 2080

3) Section Numbers: Proposed Action:

2080.20 Amended  
2080.110 Amended  
2080.120 Amended  
2080.150 New  
2080.160 Renumbered  
2080.170 Renumbered  
2080.180 Renumbered

4) Statutory Authority: Section 302(d) and 308 of the Illinois Controlled Substances Act (720 ILCS 570/302(d) and 308).

5) A Complete Description of the Subjects and Issues Involved: The rule will be amended to be consistent with federal law and new State law P.A. 89-202 regarding the partial filling of Schedule II "designated products". It will also implement new State law regarding facsimile transmission of prescriptions to pharmacies and will exempt certain long term care and home infusion services from the triplicate prescription requirements.

6) Will this rulemaking replace any emergency rulemaking currently in effect?  
No

7) Does this rulemaking contain an automatic repeal date? No

8) Does this rulemaking contain incorporations by reference? No

9) Are there any other proposed rulemakings pending on this part? No

10) Statement of Statewide Policy Objectives: A Statement of Statewide Policy objectives is not necessary.

11) Time, place and manner in which interested persons may comment on this proposed rulemaking: Interested persons should address their written comments concerning these rules within 45 days to:

Ronald J. Vlasaty  
Deputy Director of the Bureau of Administration  
Department of Alcoholism and Substance Abuse  
James R. Thompson Center  
100 W. Randolph, Suite 5-600  
Chicago, IL 60601  
(312) 814-3840



## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

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OR

Philip Wyatt  
Legislative Liaison  
Department of Alcoholism and Substance Abuse  
222 S. College, Second Floor  
Springfield, IL 62704  
217/782-0686

12) Initial Regulatory Flexibility Analysis:

A) Types of small businesses, small municipalities and not for profit corporations affected: Medical providers who prescribe, distribute, dispense, or administer Schedule II "designated product" controlled substances in the course of professional practice.

B) Reporting, bookkeeping or other procedures required for compliance:  
No new reporting is required.

C) Types of professional skills necessary for compliance: No new or additional professional skills are necessary.

13) Regulatory Agenda on which this rulemaking was summarized: July 1995

The full text of the Proposed Amendment begins on the next page:

## DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE

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TITLE 77: PUBLIC HEALTH

CHAPTER X: DEPARTMENT OF ALCOHOLISM AND SUBSTANCE ABUSE  
SUBCHAPTER e: CONTROLLED SUBSTANCES ACTIVITIES

PART 2080

## TRIPPLICATE PRESCRIPTION CONTROL PROGRAM

Section	
2080.10	Authority
2080.20	Definitions
2080.30	General Description
2080.40	Official Triplicate Prescription Blanks
2080.50	Authorized Prescribers
2080.60	Application
2080.70	Official Triplicate Prescription Requirements
2080.80	Prohibited use of the Official Triplicate Prescription Blank
2080.90	Administering and dispensing of a Schedule II designated product by a prescriber
2080.100	Pharmacist responsibility
2080.110	Partial filling of prescriptions
2080.120	Emergency situations
2080.130	Prescriptions from out-of-state prescribers and exempt Federal practitioners
2080.140	Exemptions for prescribers in hospitals and institutions
2080.150	<del>Exemptions for narcotic treatment programs</del> Exemptions for long term care and home infusion services
2080.160	<del>Exemptions for narcotic treatment programs</del>
2080.170	<del>Exemptions for research</del>
2080.180	<del>Investigatory and regulatory referrals</del>

**AUTHORITY:** Implementing and authorized by Sections 302(d) and 308 of Article III of the Illinois Controlled Substances Act [720 ILCS 570/302(d) and 308].

**SOURCE:** Adopted at 10 Ill. Reg. 4497, effective March 3, 1986; amended at 17 Ill. Reg. 11424, effective July 6, 1993; amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

**Section 2080.20 Definitions**

No incorporation by reference in this Part includes any later amendments or editions. The definitions which apply to this Part are those found in the Act:

"Act" means the Illinois Controlled Substances Act ~~720 ILCS 570/100 et seq.~~ ~~1991-chr-56-1727-pars-1100-et-seq.~~ [720 ILCS 570/100 et seq.].

"Department" means the Illinois Department of Alcoholism and Substance Abuse, or its successor agency.

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"Drug dependence" means a state, psychic and sometimes also physical, resulting from interaction between a living organism and a drug, characterized by behavioral and other responses that always include a compulsion to take the drug on a continuous or periodic basis in order to experience its psychic effects, and sometimes to avoid the discomfort of its absence. Tolerance may or may not be present. A person may be dependent on more than one drug.

"Exempt Federal Practitioners" means those practitioners specifically exempted pursuant to 21 CFR 1301 (1992).

"Exempt prescribers in hospitals and institutions" means prescribers in hospitals or institutions licensed under the Hospital Licensing Act (1991-Rev-Stat-1991-Chr-111-1/27-Pers-142-et-seq) [210 ILCS 85/47 (1992)] who authorize the administration or dispensing of Schedule II designated products within the hospital or institution.

"Facsimile Equipment" means any device which is capable of sending or receiving facsimile of documents through connection with a telecommunications network.

"Illinois Controlled Substances License Number" means the State authorization number issued by the Department of Professional Regulation permitting prescribers to possess, prescribe or dispense controlled substances in Illinois pursuant to the Controlled Substances Act (see 77 Ill. Adm. Code 1650.70).

"Long Term Care Facility" means a facility licensed by the Department of Public Health as a skilled nursing facility, intermediate care facility, or long term care facility for residents under 22 years of age.

"National Drug Code Identification Number" means the number used to provide uniform product identification for all commercially distributed drugs in the United States.

"Official Triplicate Prescription Blank" means the official prescription blanks for the triplicate prescription program supplied to prescribers by the Department for prescribing Schedule II designated product controlled substances.

"Out-of-state prescribers" means those prescribers having a valid Drug Enforcement Administration Registration to prescribe Schedule II controlled substances pursuant to 21 CFR 1301 (1992) and who are also licensed to prescribe Schedule II designated products in the states where they maintain a professional license to practice.

"Pharmacy Inventory Control form" means the official form used by the

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Department for the purpose of obtaining uniform reports from Illinois pharmacies related to the dispensing of Schedule II controlled substance prescription drugs pursuant to the written order of an out-of-state or exempt Federal prescriber.

"Prescribed" means ordered as treatment by a prescriber either verbally or in writing.

"Schedule II designated product" means any narcotic drug, amphetamine, phenmetrazine, methamphetamine, glutethimide, or pentazocine product listed in Schedule II and also means any controlled substance listed in Schedule II which is determined and designated by the Department to be such a product under Section 102(n) of the Act. (1991-Rev-Stat-1991-Chr-56-1/2-Pers-1102(n))

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## Section 2080.110 Partial filling of prescriptions

## a) General

When the pharmacist can only partially fill a prescription, the pharmacist shall annotate the Official Triplicate Prescription Blank or written record of emergency prescription with the total quantity of the Schedule II designated product dispensed. The remaining quantity of the prescription shall be filled within 72 hours of the first partial filling. Where the full quantity prescribed cannot be supplied within 72 hours, the pharmacist shall notify the prescriber that no further quantity may be supplied without a new Official Triplicate Prescription Blank.

## b) In-patient care facility

1) A prescription ~~Prescriptions~~ for a Schedule II designated product ~~products~~ written for patients in nursing homes, hospices, or similar facilities or Long Term Care Facilities (LTCF) licensed by the Department of Public Health or for a patient with a medical diagnosis documenting a terminal illness may be dispensed in partial quantities to include individual dosage units. If there is any question whether a patient may be classified as having a terminal illness, the pharmacist must contact the practitioner prior to partially filling the prescription. Both the pharmacist and the practitioner have a corresponding responsibility to assure that the controlled substance is for a terminally ill patient. The pharmacist must record on the prescription whether the patient is "terminally ill" or a "LTCF patient". A prescription that is partially filled and does not contain the notation "terminally ill" or a "LTCF patient" shall be deemed to have been filled in violation of the Act. For each partial filling, the dispensing pharmacist shall record on the



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back of the prescription (or on another appropriate record uniformly maintained and readily retrievable), the date of the partial filling, quantity dispensed, remaining quantity authorized to be dispensed, and the identification of the dispensing pharmacist. Prior to a subsequent partial filling the pharmacist is to determine that the additional partial filling is necessary. The total quantity of Schedule II designated product ~~products~~ dispensed in all partial fillings shall not exceed the total quantity prescribed. Schedule II designated product prescriptions for patients in a LTCF, nursing homes, hospices, or similar facilities licensed by the Department of Public Health or patients with a medical diagnosis documenting a terminal illness shall be valid for a period of time not to exceed 60 days from the issue date unless sooner terminated by the discontinuance of medication.

2) Information pertaining to current Schedule II prescriptions for patients in a LTCF or for patients with a medical diagnosis documenting a terminal illness may be maintained in a computerized system if this system has the capability to permit:

- A) Output (display or printout) of the original prescription number, date of issue, identification of prescribing individual practitioner, identification of patient, address of the LTCF or address of the hospital or residence of the patient, identification of medication authorized (to include dosage form, strength, and quantity), listing of the partial fillings that have been dispensed under each prescription and the information as set forth above.
- B) Immediate updating of the prescription record each time a partial filling of the prescription is conducted.
- C) Retrieval of partially filled Schedule II prescription information is the same as required by Section 1306.22(b)(4) and (5) of the regulation regarding "Prescriptions", 21 CFR 1306 (1995) for Schedule III and IV prescription refill information.

3) Where a Schedule II designated product has been discontinued prior to the dispensing of the full amount of the prescription, the pharmacist shall indicate the actual amount of medication dispensed on the face of the Official Triplicate Prescription Blank.

4) Submission of Official Triplicate Prescription Blank

- A) When the in-patient care facility pharmacy has dispensed the total amount of a Schedule II designated product prescribed, the pharmacist shall submit the State copy of the Official Triplicate Prescription Blank to the Department's Springfield office by the 15th day of the month following the month in which the total amount was dispensed.
- B) When the pharmacist determines that the prescription can only be filled on a partial basis (e.g., patient death,

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prescriber discontinues medication order, etc.), the pharmacist shall annotate the prescription with the total quantity of the Schedule II designated product dispensed and submit the blank to the Department's Springfield Office by the 15th day of the following month.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## Section 2080.120 Emergency situations

No person shall issue a prescription for a Schedule II designated product other than on the Official Triplicate Prescription Blank issued by the Department and no pharmacist shall fill any such prescription other than on the Official Triplicate Prescription Blank issued by the Department. However, in the case of an emergency, epidemic or a sudden or unforeseen accident or calamity, the prescriber may issue a lawful oral prescription or transmit via facsimile equipment a written prescription order or a written prescription on a blank other than the Official Triplicate Prescription Blank issued by the Department where failure to issue such a prescription might result in loss of life or intense suffering.

a) Such prescription shall have endorsed thereon by the prescriber a statement concerning the accident, or calamity, or circumstances constituting the emergency, or the cause for which the unofficial blank was used.

b) Within 72 hours after issuing an emergency prescription, the prescriber shall cause a written prescription on the official Triplicate Prescription Blank for the emergency quantity prescribed to be delivered to the dispensing pharmacist. The prescription shall have written on its face "Authorization for Emergency Dispensing", and the date of the emergency prescription. The written prescription on the Official Triplicate Prescription Blank may be delivered to the pharmacist in person or by mail, but if delivered by mail it must be postmarked within the 72-hour period.

c) Upon receipt, the dispensing pharmacist shall attach the Official Triplicate Prescription Blank to the emergency prescription earlier received, or in the case of an oral prescription, the document on which it was reduced to writing.

d) The dispensing pharmacist shall notify the Department if the prescriber fails to deliver the authorization for emergency dispensing on the Official Triplicate Prescription Blank. Failure of the dispensing pharmacist to do so shall void the authority to dispense without a written prescription on an Official Triplicate Prescription Blank of a prescriber.

e) The Department shall immediately upon discovery notify DPR in writing of any violations of this Section by prescribers or pharmacists.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

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**Section 2080.150 Exemptions-for-narcotic--treatment--programs Exemptions for long term care and home infusion services**

- a) Controlled substances that can lawfully be administered or dispensed directly to a patient in a long term care facility licensed by the Department of Public Health as a skilled nursing facility, intermediate care facility, or a long term care facility for residents under 22 years of age are exempt from the requirements of Sections 308 and 312 of the Act, except that a prescription for a Schedule II controlled substance must be either a written prescription signed by the prescriber or a written prescription transmitted by the prescriber or prescriber's agent to the dispensing pharmacy by facsimile. The facsimile serves as the original written prescription and must be maintained for 2 years from the date of issue in the same manner as a written prescription signed by the prescriber.
- b) A prescription that is written for a Schedule II controlled substance to be compounded for direct administration by parenteral, intravenous, intramuscular, subcutaneous, or intraspinal infusion to a patient in a private residence, long term care facility, or hospice setting may be transmitted by facsimile by the prescriber or the prescriber's agent to the pharmacy providing the home infusion services.

(Source: Former Section 2080.150 renumbered to Section 2080.160; new Section 2080.150 added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2080.160 2080-150 Exemptions for narcotic treatment programs**

Use of the Official Triplicate Prescription Blank is not required in narcotic treatment programs. The official "prescription logs" issued by the Department shall be completed in accordance with Section 313 of the Act.

(Source: Former Section 2080.160 renumbered to Section 280.170; Section 2080.160 renumbered from Section 2080.150 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2080.170 2080-160 Exemptions for research**

Prescribers who are authorized by the Department pursuant to the Act to use Schedule II designated products to carry out research are exempt from the requirements of Section 508 of the Act. Such prescribers shall maintain an inventory record specifying:

- Drug name.
- Drug strength.
- Drug quantity.
- Purpose for which administered.

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- To whom administered (if applicable).
- Date(s) on which Schedule II designated product was used.

(Source: Former Section 2080.170 renumbered to Section 2080.180; Section 2080.170 renumbered from Section 2080.160 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 2080.180 2080-170 Investigatory and regulatory referrals**

The Department's Triplicate Prescription Control Section shall make investigatory or regulatory referrals to Federal, State and local law enforcement and regulatory agencies based on information collected from the processing of the official Triplicate Prescription Blanks. All referrals shall be based on the nature of the information and the jurisdictional area for which the information is appropriate. Investigatory or regulatory referrals shall include but shall not be limited to the following criteria:

- A prescriber attempts to obtain Official Triplicate Prescription Blanks with an expired, suspended, revoked or otherwise disciplined professional license. This applies to professional licenses issued in this or any other state.
- A prescriber attempts to obtain Official Triplicate Prescription Blanks with an expired, suspended, revoked or controlled substances registration. This applies to registrations issued by this State, any other state, or the DEA.
- A prescriber with an expired, suspended, revoked or otherwise disciplined professional license prescribes or dispenses a Schedule II designated product using the Official Triplicate Prescription Blank. This applies to professional licenses issued in this or any other state.
- A prescriber with an expired, suspended, revoked or controlled substances registration prescribes or dispenses a Schedule II designated product using the Official Triplicate Prescription Blank. This applies to professional licenses issued in this or any other state.
- An out-of-state prescriber with an expired, suspended, revoked or otherwise disciplined professional license prescribes a Schedule II product.
- An out-of-state prescriber with an expired, suspended or revoked controlled substances registration prescribes for a Schedule II product. This applies to a registration issued in the prescriber's resident state or a registration issued by DEA.
- A pharmacy with an expired, suspended or revoked Illinois Controlled Substances License, or DEA registration dispenses a Schedule II designated product.
- A pharmacist with an expired, suspended, revoked or otherwise disciplined professional license dispenses a Schedule II designated product. This applies to a professional license issued in this or any other State.



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- i) A pharmacy dispenses a Schedule II designated product to a patient beyond the 72 hour limit three or more times in any 12 month period.
- j) A pharmacy is late returning Official Triplicate Prescriptions Blanks or Pharmacy Inventory Control forms to the Department three or more times during any 12-month period.
- k) A prescriber uses an Official Triplicate Prescription Blank instead of a Drug Enforcement Administration 222 Narcotic Order Form to obtain office medication stock.
- l) A prescriber or a pharmacist dispenses a Schedule II controlled substance to a patient.
- m) A prescriber knowingly fails to report lost or stolen Official Triplicate Prescription Blanks.
- n) A prescriber maintains a drug dependent person without proper authorization pursuant to Section 312(h) of the Act.
- o) A pharmacist refills any Schedule II prescription.
- p) It is determined that on three or more occasions in any 12 month period a prescriber has prescribed using Official Triplicate Prescription Blanks issued to others.
- q) A prescriber fails to provide an Official Triplicate Prescription Blank to a pharmacy within 72 hours after issuing an emergency order for a Schedule II designated product.
- r) A prescriber issues prescriptions on expired Official Triplicate Prescription Blanks three or more times during any 12-month period.
- s) A pharmacist fills prescriptions for Schedule II designated products that have been issued on expired Official Triplicate Blanks three or more times in any 12-month period.
- t) A pharmacist fails to provide the Department with a Pharmacy Inventory Control form for a Schedule II product that was dispensed pursuant to an order of an out-of-state prescriber or exempt Federal practitioner.
- u) A prescriber makes payment for Official Triplicate Prescription Blanks with a check or money order that is uncollectable.
- v) A pharmacist makes payment for Pharmacy Inventory Control forms with a check or money order that is uncollectable.
- w) A prescriber reports more than one theft per year of Official Triplicate Prescription blanks.
- x) The Triplicate Prescription Control Section detects an irregularity or violation in processing an Official Triplicate Blank, (e.g., stolen, forged, or altered prescription).
- y) The Triplicate Prescription Control Section determines that a Schedule II designated product prescription does not exhibit the principle of "good faith" in prescribing or dispensing as set forth in Section 102(n) of the Act.

(Source: Section 2080.180 renumbered from Section 2080.170 at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

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- 1) Heading of the Part: Pay Plan
- 2) The Code Citation: 80 Ill. Adm. Code 310
- 3) Section Numbers: Proposed Action
  - 310.30 Amended
  - 310.40 Amended
  - 310.210 Amended
  - 310.280 Amended
  - 310.320 Amended
  - 310.Appendix A, Table AA New
  - 310.Appendix A, Table J Amended
  - 310.Appendix A, Table O Amended
  - 310.Appendix A, Table P Amended

4) Statutory Authority: Authorized by Section 8 and 8a of the Personnel Code [20 ILCS 415/8 and 8a].

5) A Complete Description of the Subjects and Issues Involved: In Sections 310.30, 310.40, 310.210 and 310.320, the reference to the Illinois Revised Statutes is being deleted since this is obsolete. Also in Sections 310.40 and 310.320, the ILCS citation is being revised to "20 ILCS 415/8 and 8a".

In Section 310.280, Designated Rate, our review of the personnel record system indicates that certain positions are no longer being utilized in the Designated Rate Section due to the positions being vacant or due to title changes, such as, the establishment of the Public Service Administrator and the Senior Public Service Administrator in the Pay Plan. These obsolete positions are being deleted from the Designated Rate Section.

Also in Section 310.280, the following updates reflect changes already approved by the Governor:

In the Department of Children and Family Services, a Private Secretary II position is being added with the annual salary of \$43,452. In the Department of Commerce and Community Affairs, the Economic Development Representative Specialist title was replaced by the Public Service Administrator with the annual salary of \$63,432. The Public Information Officer IV's annual salary is being amended to reflect a rate of \$50,508.

Effective July 1, 1995, the agency name for the Department of Conservation was changed to the Department of Natural Resources. A Public Service Administrator position is being included with the annual salary of \$72,000, effective July 1, 1995.

In the Department of Insurance, the Insurance Program Executive title was

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replaced by the Senior Public Service Administrator with the annual salary of \$90,648.

In the Department of Mental Health and Developmental Disabilities, a Medical Administrator I, Option D position is being included with the annual salary of \$131,250.

In the Department of State Police, the designated rate for the only non-sworn Deputy Director, a Senior Public Service Administrator is being included with the annual salary of \$85,629.62.

In Section 310. Table J, RC-014 (Clerical Employees, AFSCME), the titles of Telecommunicator Call Taker and Telecommunicator Lead Call Taker are being added with the monthly salaries of \$1,967.00 - 2,609.00 and \$2,153.00 - 2,884.00, respectively.

Also, the Safety Responsibility Analyst I and II titles are being reclassified to the Safety Responsibility Analyst with the monthly salary of \$1,885.00 - 2,559.00, effective July 1, 1995.

In Section 310. Table O, RC-028 (Paraprofessional Human Services Employees, AFSCME), the Apparel Dry Goods Specialist III title received a one-grade increase on January 1, 1995. This increase is already reflected for July 1, 1995, but a change is being made for the July 1, 1996 salary for this class to reflect the grade change.

Also in Table O, the Crime Scene Technician title is being reclassified to Crime Scene Investigator, effective July 19, 1995, with the salary remaining the same.

In Section 310. Table P, RC-029 (Paraprofessional Investigatory and Law Enforcement Employees, IFPE), the retroactive salary for the Police Officer III title was negotiated for July 1, 1994 with the monthly range of \$2,870.00 - 3,809.00.

6) Will this proposed rule replace an emergency rule currently in effect? No.

7) Does this rulemaking contain an automatic repeal date? No

8) Do these proposed amendments contain any incorporations by reference? No.

9) Are there any proposed amendments pending to this Part? Yes

Section Numbers	Proposed Action	Ill. Reg. Citation
310.230	Amended	19 Ill. Reg. 11707 (August 18, 1995)

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310.290	Amended	19 Ill. Reg. 11707 (August 18, 1995)
310.530	Amended	19 Ill. Reg. 11707 (August 18, 1995)
310.540	Amended	19 Ill. Reg. 11707 (August 18, 1995)
310. Appendix C	Amended	19 Ill. Reg. 11707 (August 18, 1995)
310. Appendix D	Amended	19 Ill. Reg. 11707 (August 18, 1995)
310. Appendix G	Amended	19 Ill. Reg. 11707 (August 18, 1995)

## 10) Statement of Statewide Objectives:

These amendments to the Pay Plan pertain only to State employees subject to the Personnel Code and do not set out any guidelines that are to be followed by local or other jurisdictional bodies within the State.

11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking:

Mr. Michael Murphy  
Department of Central Management Services  
Division of Technical Services  
504 William G. Stratton Building  
Springfield, Illinois 62706  
Telephone: (217) 782-5601

## 12) Initial Regulatory Flexibility Analysis:

A) Types of small businesses, small municipalities and not for profit corporations affected: None. The Department of Central Management Services' Pay Plan extends only to Personnel Code employees under the jurisdiction of the Governor.

B) Reporting, bookkeeping or other procedures required for compliance: None

C) Types of professional skills necessary for compliance: None

13) Regulatory Agenda on which this rulemaking was summarized: July 1995

The Telecommunicator Call Taker and Telecommunicator Lead Call Taker titles that are being added to Table J: January 1995

Other portions of these rules were not included on either of the 2 most recent agendas because:

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In Section 310.280, Designated Rate, the Public Service Administrator in the Department of Natural Resources: unknown at the time

The Licensing Quality Assurance Analyst title that is being deleted in Table J was abolished before the requirement of publishing pending amendments to the Pay Plan.

The salary range change for the Apparel Dry Goods Specialist III in Table O that is being made to the July 1996 salary was an omission when the Department of Central Management Services upgraded this title on January 1, 1995. The July 1, 1995 salary is correct as shown in the Table.

The retroactive negotiated salary for the Police Officer III in Table P: it was not known when a settlement would be reached. The title was included in the Pay Plan with salary "to be determined".

The full text of the proposed amendment(s) begins on the next page.

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TITLE 80: PUBLIC OFFICIALS AND EMPLOYEES  
SUBTITLE B: PERSONNEL RULES, PAY PLANS, AND  
POSITION CLASSIFICATIONS  
CHAPTER I: DEPARTMENT OF CENTRAL MANAGEMENT SERVICES  
  
PART 310  
PAY PLAN

SUBPART A: NARRATIVE

Section	Policy and Responsibilities
310.20	Jurisdiction
310.30	Pay Schedules
310.40	Definitions
310.50	Conversion of Base Salary to Pay Period Units
310.60	Conversion of Base Salary to Daily or Hourly Equivalents
310.70	Increases in Pay
310.80	Decreases in Pay
310.90	Other Pay Provisions
310.100	Implementation of Pay Plan Changes for Fiscal Year 1996
310.110	Interpretation and Application of Pay Plan
310.120	Effective Date
310.130	Reinstitution of Within Grade Salary Increases
310.140	Fiscal Year 1985 Pay Changes in Schedule of Salary Grades, Effective July 1, 1984 (Repealed)
310.150	

SUBPART B: SCHEDULE OF RATES

Section	Introduction
310.205	Prevailing Rate
310.210	Negotiated Rate
310.220	Part-Time Daily or Hourly Special Services Rate
310.230	Hourly Rate
310.240	Member, Patient and Inmate Rate
310.250	Trainee Rate
310.260	Legislated and Contracted Rate
310.270	Designated Rate
310.280	Out-of-State or Foreign Service Rate
310.290	Educator Schedule for RC-063 and HR-010
310.300	Physician Specialist Rate
310.310	Annual Compensation Ranges for Executive Director and Assistant Executive Director, State Board of Elections
310.320	Excluded Classes Rate (Repealed)
310.330	

SUBPART C: MERIT COMPENSATION SYSTEM



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Section	
310.410	Jurisdiction
310.420	Objectives
310.430	Responsibilities
310.440	Merit Compensation Salary Schedule
310.450	Procedures for Determining Annual Merit Increases
310.455	Intermittent Merit Increase
310.456	Merit Zone
310.460	Other Pay Increases
310.470	Adjustment
310.480	Decreases in Pay
310.490	Other Pay Provisions
310.495	Public Service Administrator Class Series
310.500	Definitions
310.510	Conversion of Base Salary to Pay Period Units
310.520	Conversion of Base Salary to Daily or Hourly Equivalents
310.530	Implementation
310.540	Annual Merit Increase Guidechart for Fiscal Year 1995
310.550	Fiscal Year 1985 Pay Changes in Merit Compensation System, effective July 1, 1984 (Repealed)

APPENDIX A Negotiated Rates of Pay

TABLE A	HR-190 (Department of Central Management Services - State of Illinois Building - SEIU)
TABLE AA	NR-916 (Department of Natural Resources, Teamsters)
TABLE B	HR-200 (Department of Labor - Chicago, Illinois - SEIU)
TABLE C	RC-069 (Firefighters, AFSCME)
TABLE D	HR-001 (Teamsters Local #726)
TABLE E	RC-020 (Teamsters Local #330)
TABLE F	RC-019 (Teamsters Local #25)
TABLE G	RC-045 (Automotive Mechanics, IFPE)
TABLE H	RC-006 (Corrections Employees, AFSCME)
TABLE I	RC-009 (Institutional Employees, AFSCME)
TABLE J	RC-014 (Clerical Employees, AFSCME)
TABLE K	RC-023 (Registered Nurses, INA)
TABLE L	RC-008 (Boilermakers)
TABLE M	RC-110 (Conservation Police Lodge)
TABLE N	RC-010 (Professional Legal Unit, AFSCME)
TABLE O	RC-028 (Paraprofessional Human Services Employees, AFSCME)
TABLE P	RC-029 (Paraprofessional Investigatory and Law Enforcement Employees, IFPE)
TABLE Q	RC-033 (Meat Inspectors, IFPE)
TABLE R	RC-042 (Residual Maintenance Workers, AFSCME)
TABLE S	HR-012 (Fair Employment Practices Employees, SEIU)
TABLE T	HR-010 (Teachers of Deaf, IFT)
TABLE U	HR-010 (Teachers of Deaf, Extracurricular Paid Activities)
TABLE V	CU-500 (Corrections, Meet and Confer Employees)
TABLE W	RC-062 (Technical Employees, AFSCME)

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TABLE X	RC-063 (Professional Employees, AFSCME)
TABLE Y	RC-063 (Educators, AFSCME)
TABLE Z	RC-063 (Physicians, AFSCME)
APPENDIX B	Schedule of Salary Grades - Monthly Rates of Pay for Fiscal Year 1996
APPENDIX C	Medical Administrator Rates for Fiscal Year 1995
APPENDIX D	Merit Compensation System Salary Schedule for Fiscal Year 1995
APPENDIX E	Teaching Salary Schedule (Repealed)
APPENDIX F	Physician and Physician Specialist Salary Schedule (Repealed)
APPENDIX G	Public Service Administrator Class Series Salary Schedule

AUTHORITY: Implementing and authorized by Sections 8 and 8a of the Personnel Code [20 ILCS 415/8 and 8a].

SOURCE: Filed June 28, 1967; codified at 8 Ill. Reg. 1558; emergency amendment at 8 Ill. Reg. 1990, effective January 31, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 2440, effective February 15, 1984; emergency amendment at 8 Ill. Reg. 3348, effective March 5, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 4249, effective March 16, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 5704, effective April 16, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 7290, effective May 11, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 11299, effective June 25, 1984; emergency amendment at 8 Ill. Reg. 12616, effective July 1, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 15007, effective August 6, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 15367, effective August 13, 1984; emergency amendment at 8 Ill. Reg. 21310, effective October 10, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 21544, effective October 24, 1984; amended at 8 Ill. Reg. 22844, effective November 14, 1984; emergency amendment at 9 Ill. Reg. 1134, effective January 16, 1985, for a maximum of 150 days; amended at 9 Ill. Reg. 1320, effective January 23, 1985; amended at 9 Ill. Reg. 3681, effective March 12, 1985; emergency amendment at 9 Ill. Reg. 4163, effective March 15, 1985, for a maximum of 150 days; emergency amendment at 9 Ill. Reg. 9231, effective May 31, 1985, for a maximum of 150 days; amended at 9 Ill. Reg. 9420, effective June 7, 1985; amended at 9 Ill. Reg. 10663, effective July 1, 1985; emergency amendment at 9 Ill. Reg. 15043, effective September 24, 1985, for a maximum of 150 days; peremptory amendment at 10 Ill. Reg. 3325, effective January 22, 1986; amended at 10 Ill. Reg. 3230, effective January 24, 1986; emergency amendment at 10 Ill. Reg. 8904, effective May 13, 1986, for a maximum of 150 days; peremptory amendment at 10 Ill. Reg. 8928, effective May 13, 1986; emergency amendment at 10 Ill. Reg. 12090, effective June 30, 1986, for a maximum of 150 days; peremptory amendment at 10 Ill. Reg. 13675, effective July 31, 1986; peremptory amendment at 10 Ill. Reg. 14867, effective August 26, 1986; amended at 10 Ill. Reg. 15567, effective September 17, 1986; emergency amendment at 10 Ill. Reg. 17765, effective September 30, 1986, for a maximum of 150 days; peremptory amendment at 10 Ill. Reg. 19132, effective October 28, 1986; peremptory amendment at 10 Ill. Reg. 21097, effective December 9, 1986; amended at 11 Ill. Reg. 648, effective December 22, 1986; peremptory amendment at 11 Ill. Reg.

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

3363, effective February 3, 1987; peremptory amendment at 11 Ill. Reg. 4388, effective February 27, 1987; peremptory amendment at 11 Ill. Reg. 6291, effective March 23, 1987; amended at 11 Ill. Reg. 5901, effective March 24, 1987; emergency amendment at 11 Ill. Reg. 8787, effective April 15, 1987, for a maximum of 150 days; emergency amendment at 11 Ill. Reg. 11830, effective July 1, 1987, for a maximum of 150 days; peremptory amendment at 11 Ill. Reg. 13675, effective July 29, 1987; amended at 11 Ill. Reg. 14984, effective August 27, 1987; peremptory amendment at 11 Ill. Reg. 15273, effective September 1, 1987; peremptory amendment 11 Ill. Reg. 17919, effective October 19, 1987; peremptory amendment at 11 Ill. Reg. 19812, effective November 19, 1987; emergency amendment at 11 Ill. Reg. 20664, effective December 4, 1987, for a maximum of 150 days; amended at 11 Ill. Reg. 20778, effective December 11, 1987; peremptory amendment at 12 Ill. Reg. 3811, effective January 27, 1988; peremptory amendment at 12 Ill. Reg. 5459, effective March 3, 1988; amended at 12 Ill. Reg. 6073, effective March 21, 1988; peremptory amendment at 12 Ill. Reg. 7783, effective April 14, 1988; emergency amendment at 12 Ill. Reg. 7734, effective April 15, 1988, for a maximum of 150 days; peremptory amendment at 12 Ill. Reg. 8135, effective April 22, 1988; peremptory amendment at 12 Ill. Reg. 9745, effective May 23, 1988; emergency amendment at 12 Ill. Reg. 11778, effective July 1, 1988, for a maximum of 150 days; emergency amendment at 12 Ill. Reg. 12895, effective July 18, 1988, for a maximum of 150 days; peremptory amendment at 12 Ill. Reg. 13306, effective July 27, 1988; corrected at 12 Ill. Reg. 13359; amended at 12 Ill. Reg. 14630, effective September 6, 1988; amended at 12 Ill. Reg. 20449, effective November 28, 1988; peremptory amendment at 12 Ill. Reg. 20584, effective November 28, 1988; peremptory amendment at 13 Ill. Reg. 8080, effective May 10, 1989; amended at 13 Ill. Reg. 8849, effective May 30, 1989; peremptory amendment at 13 Ill. Reg. 8970, effective May 26, 1989; emergency amendment at 13 Ill. Reg. 10967, effective June 20, 1989, for a maximum of 150 days; emergency amendment expired on November 17, 1989; amended at 13 Ill. Reg. 11451, effective June 28, 1989; emergency amendment at 13 Ill. Reg. 11854, effective July 1, 1989, for a maximum of 150 days; corrected at 13 Ill. Reg. 12647; peremptory amendment at 13 Ill. Reg. 12887, effective July 24, 1989; amended at 13 Ill. Reg. 16950, effective October 20, 1989; amended at 13 Ill. Reg. 19221, effective December 12, 1989; amended at 14 Ill. Reg. 615, effective January 2, 1990; peremptory amendment at 14 Ill. Reg. 1627, effective January 11, 1990; amended at 14 Ill. Reg. 4455, effective March 12, 1990; peremptory amendment at 14 Ill. Reg. 7652, effective May 7, 1990; amended at 14 Ill. Reg. 10002, effective June 11, 1990; emergency amendment at 14 Ill. Reg. 11330, effective June 29, 1990, for a maximum of 150 days; amended at 14 Ill. Reg. 14361, effective August 24, 1990; emergency amendment at 14 Ill. Reg. 15570, effective September 11, 1990, for a maximum of 150 days; emergency amendment expired on February 8, 1991; corrected at 14 Ill. Reg. 16092; peremptory amendment at 14 Ill. Reg. 17098, effective September 26, 1990; amended at 14 Ill. Reg. 17189, effective October 2, 1990; amended at 14 Ill. Reg. 18719, effective October 19, 1990; amended at 14 Ill. Reg. 18719, effective November 13, 1990; peremptory amendment at 14 Ill. Reg. 18854, effective November 13, 1990; peremptory amendment at 15 Ill. Reg. 663, effective January 7, 1991; amended at 15 Ill. Reg. 3296, effective February 14,

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

1991; amended at 15 Ill. Reg. 4401, effective March 11, 1991; peremptory amendment at 15 Ill. Reg. 5100, effective March 20, 1991; peremptory amendment at 15 Ill. Reg. 5465, effective April 2, 1991; emergency amendment at 15 Ill. Reg. 10485, effective July 1, 1991, for a maximum of 150 days; amended at 15 Ill. Reg. 11080, effective July 19, 1991; amended at 15 Ill. Reg. 13080, effective August 21, 1991; amended at 15 Ill. Reg. 14210, effective September 23, 1991; emergency amendment at 16 Ill. Reg. 711, effective December 26, 1991, for a maximum of 150 days; amended at 16 Ill. Reg. 3450, effective February 20, 1992; peremptory amendment at 16 Ill. Reg. 5068, effective March 11, 1992; emergency amendment at 16 Ill. Reg. 7056, effective April 20, 1992; emergency amendment at 16 Ill. Reg. 8239, effective May 19, 1992, for a maximum of 150 days; amended at 16 Ill. Reg. 8382, effective May 26, 1992; emergency amendment at 16 Ill. Reg. 13950, effective August 19, 1992, for a maximum of 150 days; emergency amendment at 16 Ill. Reg. 14452, effective September 4, 1992, for a maximum of 150 days; amended at 17 Ill. Reg. 238, effective December 23, 1992; peremptory amendment at 17 Ill. Reg. 498, effective December 18, 1992; amended at 17 Ill. Reg. 590, effective January 4, 1993; amended at 17 Ill. Reg. 1819, effective February 2, 1993; amended at 17 Ill. Reg. 6441, effective April 8, 1993; emergency amendment at 17 Ill. Reg. 12900, effective July 22, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 13409, effective July 29, 1993; emergency amendment at 17 Ill. Reg. 13789, effective August 9, 1993, for a maximum of 150 days; emergency amendment at 17 Ill. Reg. 14666, effective August 26, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 19103, effective October 25, 1993; emergency amendment at 17 Ill. Reg. 21858, effective December 1, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 22514, effective December 15, 1993; amended at 18 Ill. Reg. 227, effective December 17, 1993; amended at 18 Ill. Reg. 1107, effective January 18, 1994; amended at 18 Ill. Reg. 5146, effective March 21, 1994; peremptory amendment at 18 Ill. Reg. 9562, effective June 13, 1994; emergency amendment at 18 Ill. Reg. 11299, effective July 1, 1994, for a maximum of 150 days; peremptory amendment at 18 Ill. Reg. 13476, effective August 17, 1994; emergency amendment at 18 Ill. Reg. 14417, effective September 9, 1994, for a maximum of 150 days; amended at 18 Ill. Reg. 16545, effective October 31, 1994; peremptory amendment at 18 Ill. Reg. 16708, effective October 28, 1994; amended at 18 Ill. Reg. 17191, effective November 21, 1994; amended at 19 Ill. Reg. 1024, effective January 24, 1995; peremptory amendment at 19 Ill. Reg. 2481, effective February 17, 1995; peremptory amendment at 19 Ill. Reg. 3073, effective February 17, 1995; amended at 19 Ill. Reg. 3456, effective March 7, 1995; peremptory amendment at 19 Ill. Reg. 5145, effective March 14, 1995; amended at 19 Ill. Reg. 6452, effective May 2, 1995; peremptory amendment at 19 Ill. Reg. 6688, effective May 1, 1995; amended at 19 Ill. Reg. 7841, effective June 1, 1995; amended at 19 Ill. Reg. 8156, effective June 12, 1995; amended at 19 Ill. Reg. 3096, effective June 27, 1995; emergency amendment at 19 Ill. Reg. 11954, effective August 1, 1995, for a maximum of 150 days; amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

SUBPART A: NARRATIVE







## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

5627000	
<u>Executive-IV-(Pos.-No.-13054-42-25-200-00-01)</u>	<u>Annual-Salary</u>
	61,440
<u>Public Information Officer IV</u>	
<u>(Pos.-No.-37004-42-00-000-40-02)</u>	<u>Annual Salary</u>
<u>(Pos. No. 37004-42-00-073-10-01)</u>	46,764
	50,508
<u>Public Service Administrator</u>	
<u>(Pos. No. 37015-42-35-140-20-01)</u>	<u>Annual Salary</u>
	63,432
<u>Department-of-Conservation</u>	
<u>Administrative-Assistant-IV</u>	
<u>(Pos.-No.-00504-12-00-000-40-02)</u>	<u>Annual-Salary</u>
	60,000
<u>Executive-III</u>	
<u>(Pos.-No.-13053-12-31-600-00-01)</u>	<u>Annual-Salary</u>
	54,024
<u>Executive-V</u>	
<u>(Pos.-No.-13055-12-33-000-00-01)</u>	<u>Annual-Salary</u>
	67,200
<u>Department-of-Employment-Security</u>	
<u>Administrative-Assistant-IV</u>	
<u>(Pos.-No.-00504-44-00-000-20-01)</u>	<u>Annual-Salary</u>
	60,000
<u>Health-Care-Cost-Containment-Council</u>	
<u>Executive-V</u>	
<u>(Pos.-No.-13055-50-72-000-00-01)</u>	<u>Annual-Salary</u>
	64,644
<u>Illinois-Arts-Council</u>	
<u>Executive-Director-of-the-Ill.-Arts-Council</u>	
<u>(Pos.-No.-13060-50-90-000-00-01)</u>	<u>Annual-Salary</u>
	65,000
<u>Department of Insurance</u>	
<u>Deputy-Director</u>	
<u>(Pos.-No.-12200-14-00-000-00-01)</u>	<u>Annual-Salary</u>
	72,600
<u>Insurance-Program-Executive</u>	
<u>Pos.-No.-21676-14-30-000-00-01)</u>	<u>Annual-Salary</u>
	80,000
<u>Senior Public Service Administrator</u>	
<u>(Pos. No. 40070-14-30-000-00-01)</u>	<u>Annual Salary</u>
	90,648

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

<u>Department of Mental Health and Developmental Disabilities</u>	
<u>Executive-IV</u>	
<u>(Pos.-No.-13054-22-15-200-20-01)</u>	<u>Annual-Salary</u>
	69,504
<u>Medical Administrator I, Option D</u>	
<u>(Pos. No. 26401-22-59-903-10-02)</u>	<u>Annual Salary</u>
	131,250
<u>Pharmacy-Services-Manager</u>	
<u>(Pos.-No.-32015-22-59-314-10-01)</u>	<u>Annual-Salary</u>
	45,000
<u>Physical-Therapist-Administrator</u>	
<u>(Pos.-No.-32150-22-69-330-70-01)</u>	<u>Annual-Salary</u>
	55,540
<u>Physician-Administrators-II</u>	
<u>(Pos.-No.-32212-22-15-600-00-01)</u>	<u>Annual-Salary</u>
	90,000
<u>Private Secretary II</u>	
<u>(Pos. No. 34202-22-15-000-00-01)</u>	<u>Annual Salary</u>
	541,004
<u>Psychologist-Administrator-II</u>	
<u>Pos.-No.-35617-22-90-141-00-01)</u>	<u>Annual-Salary</u>
	64,000
<u>Department of Natural Resources</u>	
<u>Public Service Administrator</u>	
<u>(Pos. No. 37015-12-14-220-00-01)</u>	<u>Annual Salary</u>
	72,000
<u>Department-of-Public-Aid</u>	
<u>Executive-IV</u>	
<u>(Pos.-No.-35009-33-00-000-00-01)</u>	<u>Annual-Salary</u>
	61,600
<u>Department of State Police</u>	
<u>Deputy-Director</u>	
<u>(Pos.-No.-12200-21-00-000-00-01)</u>	<u>Annual-Salary</u>
	62,769
<u>Executive-V</u>	
<u>(Pos.-No.-13055-21-00-000-40-01)</u>	<u>Annual-Salary</u>
	55,615
<u>Executive-V</u>	
<u>(Pos.-No.-13055-21-59-950-00-01)</u>	<u>Annual-Salary</u>
	73,461-52
<u>State-Police-Program-Executive</u>	
<u>(Pos.-No.-42250-21-00-510-00-01)</u>	<u>Annual-Salary</u>
	58,712
<u>State-Police-Program-Executive</u>	
	<u>Annual-Salary</u>

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

†Pos--No--42250-21-10-000-00-01†

76,719

Technical Advisor-IV

Annual Salary

†Pos--No--42254-21-00-220-10-01

76,800

Senior Public Service Administrator

Annual Salary

(Pos. No. 40070-21-10-000-00-01)

85,152.96

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

**Section 310.320 Annual Compensation Ranges for Executive Director and Assistant Executive Director, State Board of Elections**

As provided in P.A. 83-0941 and subject to Sections 8 and Section 8a(2) of the Personnel Code [20 ILCS 415/8 and 8a] (111--Rev--Stat--1997--ch--127--par--63+00+2)-120-1B5-415+9+2, the State Board of Elections shall determine the annual compensation of its Executive Director and Assistant Executive Director consistent with the following:

Executive Director

Annual Salary

Equivalent to Salary

Range MC 15 as set forth in 310.Appendix D

Assistant Executive Director

Equivalent to Salary

Range MC 13 as set forth in 310.Appendix D

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

**Section 310.APPENDIX A Negotiated Rates of Pay****TABLE AA NR-916 (Department of Natural Resources, Teamsters)**

Effective June 21, 1995

Minimum Salary	Maximum Salary
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Cartographer III	2535	4380
Civil Engineer I	2470	3710
Civil Engineer II	2630	4260
Civil Engineer III	2900	4745
Civil Engineer Trainee	2315	3155
Engineering Technician I	1390	2510
Engineering Technician II	1695	3010
Engineering Technician III	2075	3585
Engineering Technician IV	2550	4630
Technical Manager I	1955	3380

(Source: Added at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

## Section 310. TABLE J RC-014 (Clerical Employees, AFSCME)

Effective: July 1, 1994

	S T E P S		
	1	2	3
Account Clerk I	1447	1490	1540
Account Clerk II	1546	1592	1647
Account Technician I	1724	1776	1853
Account Technician II	1885	1942	2024
Administrative Services Worker Trainee	1324	1364	1401
Aircraft Dispatcher	1885	1942	2024
Aircraft Lead Dispatcher	2062	2124	2216
Audio Visual Technician I	1495	1540	1592
Audio Visual Technician II	1660	1710	1774
Buyer Assistant	1724	1776	1853
Check Issuance Machine Operator	1660	1710	1774
Clerical Trainee	TR		
Communication Dispatcher	1660	1710	1774
Communication Equipment Technician I	2373	2444	2561
Communication Equipment Technician II	2637	2716	2854
Communication Equipment Technician III	2786	2870	2988
Court Reporter	2153	2218	2321
Data Processing Assistant	1495	1540	1592
Data Processing Operator	1399	1441	1490
Data Processing Operator Trainee	1324	1364	1401
Drafting Worker	1800	1854	1931
Electronic Equipment Installer/Repairer	1724	1776	1853
Electronic Equipment Installer/Repairer Leadworker	1885	1942	2024
Electronics Technician	2153	2218	2321
Emergency Response Lead	1885	1942	2024
Telecommunicator			
Emergency Response Telecommunicator	1724	1776	1853
Engineering Technician II	1967	2026	2112
Engineering Technician III	2262	2330	2438
Executive Secretary I	1800	1854	1931
Graphic Arts Designer	2062	2124	2216
Graphic Arts Technician	1885	1942	2024
Industrial Commission Reporter	2262	2330	2438
Industrial Commission Technician	1800	1854	1931
Insurance Analyst I	1660	1710	1774

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Insurance Analyst II	1885	1942	2024	2100
Insurance Analyst Trainee	1546	1592	1647	1705
Intermittent Clerk	8.15	8.39	8.62	8.87
Library Aide I	1360	1401	1441	1490
Library Aide II	1447	1490	1540	1591
Library Aide III	1546	1592	1647	1705
Library Technical Assistant	1724	1776	1853	1920
Licensing Quality Assurance Analyst	1660	1710	1774	1843
Microfilm Laboratory Technician I	1546	1592	1647	1705
Microfilm Laboratory Technician II	1660	1710	1774	1843
Microfilm Operator I	1399	1441	1490	1538
Microfilm Operator II	1495	1540	1592	1644
Microfilm Operator III	1599	1647	1710	1771
Office Aide	1324	1364	1401	1441
Office Assistant	1495	1540	1592	1644
Office Associate	1599	1647	1710	1771
Office Clerk	1399	1441	1490	1538
Office Coordinator	1660	1710	1774	1843
Photographer I	1800	1854	1931	2002
Photographer II	2062	2124	2216	2309
Photographer III	2153	2218	2321	2422
Photographic Technician I	1800	1854	1931	2002
Photographic Technician II	2062	2124	2216	2309
Photographic Technician III	2153	2218	2321	2422
Procurement Representative	1660	1710	1774	1843
Property & Supply Clerk I	1399	1441	1487	1535
Property & Supply Clerk II	1490	1535	1582	1640
Property Tax Examiner	1660	1710	1774	1843
Rehabilitation Case Coordinator I	1546	1592	1647	1705
Rehabilitation Case Coordinator II	1660	1710	1774	1843
Reproduction Service Technician I	1447	1490	1540	1591
Reproduction Service Technician II	1560	1710	1774	1843
Reproduction Service Technician III	1800	1854	1931	2002
Safety Responsibility Analyst I	1660	1710	1774	1843
Safety Responsibility Analyst II	1885	1942	2024	2100
Storekeeper I	1786	1840	1909	1986
Storekeeper II	1938	1996	2081	2162
Stores Clerk	1444	1487	1535	1581
Switchboard Operator I	1399	1441	1490	1538
Switchboard Operator II	1495	1540	1592	1644
Tax Examiner	1660	1710	1774	1843
Tax Examiner Trainee	1495	1540	1592	1644
Taxpayer Service Representative I	1495	1540	1592	1644
Taxpayer Service Representative II	1660	1710	1774	1843
Taxpayer Service Representative III	1885	1942	2024	2100
Telecommunications Field Advisor	2153	2218	2321	2422
Telecommunications Advisor Lead Worker	2373	2444	2561	2680





## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

2084 2161 2235 2360  
2084 2161 2235 2360

Effective: November 1, 1994

1a 1/	S T E P S		
	1	2	3
Telecommunicator Call Taker	1967	2026	2112 2202
Telecommunicator Lead Call Taker	2153	2218 2321	2422

1	S T E P S (cont.)		
	5	6	7
	2291	2378 2469	2609
	2521	2624 2722	2884

1/ Entry level step in first year of contract.

Effective July 1, 1995

1b 2/	S T E P S			
	1a	1	2	3
Account Clerk I	1447	1490	1535	1586 1639
Account Clerk II	1546	1592	1640	1696 1756
Account Technician I	1724	1776	1829	1909 1978
Account Technician II	1885	1942	2000	2085 2163
Administrative Services Worker Trainee	1324	1364	1405	1443 1484
Aircraft Dispatcher	1885	1942	2000	2085 2163
Aircraft Lead Dispatcher	2062	2124	2188	2282 2378
Audio Visual Technician I	1495	1540	1586	1640 1683
Audio Visual Technician II	1660	1710	1761	1827 1898
Buyer Assistant	1724	1776	1829	1909 1978
Check Issuance Machine Operator	1660	1710	1761	1827 1898
Clerical Trainee	TR			
Communication Dispatcher	1660	1710	1761	1827 1898
Communication Equipment Technician I	2373	2444	2517	2638 2760
Communication Equipment Technician II	2637	2716	2797	2940 3078
Communication Equipment Technician III	2786	2870	2956	3104 3250
Court Reporter	2153	2218	2285	2391 2495
Data Processing Assistant	1495	1540	1586	1640 1693
Data Processing Operator	1399	1441	1484	1535 1584
Data Processing Operator Trainee	1324	1364	1405	1443 1484
Drafting Worker	1800	1854	1910	1989 2062

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Electronic Equipment Installer/Repairer	1724	1776	1829	1909	1978
Electronic Equipment Installer/Repairer Leadworker	1885	1942	2000	2085	2163
Electronics Technician	2153	2218	2285	2391	2495
Emergency Response Lead Telecommunicator	1885	1942	2000	2085	2163
Emergency Response Telecommunicator	1724	1776	1829	1909	1978
Engineering Technician II	1967	2026	2087	2175	2268
Engineering Technician III	2262	2330	2400	2511	2625
Executive Secretary I	1800	1854	1910	1989	2062
Graphic Arts Designer	2062	2124	2188	2282	2378
Graphic Arts Technician	1885	1942	2000	2085	2163
Industrial Commission Reporter	2262	2330	2400	2511	2625
Industrial Commission Technician	1800	1854	1910	1989	2062
Insurance Analyst I	1660	1710	1761	1827	1898
Insurance Analyst II	1885	1942	2000	2085	2163
Insurance Analyst Trainee	1546	1592	1640	1696	1756
Intermittent Clerk	8.15	8.39	8.65	8.88	9.13
Library Aide I	1360	1401	1443	1484	1535
Library Aide II	1447	1490	1535	1586	1639
Library Technical Assistant	1724	1776	1829	1909	1978

## Licensing-Quinty-Assurance

Analyst	1660	1710	1761	1827	1898
Microfilm Laboratory Technician I	1546	1592	1640	1696	1756
Microfilm Laboratory Technician II	1660	1710	1761	1827	1898
Microfilm Operator I	1399	1441	1484	1535	1584
Microfilm Operator II	1495	1540	1586	1640	1693
Microfilm Operator III	1599	1647	1696	1761	1824
Office Aide	1324	1364	1405	1443	1484
Office Assistant	1495	1540	1586	1640	1693
Office Associate	1599	1647	1696	1761	1824
Office Clerk	1399	1441	1484	1535	1584
Office Coordinator	1660	1710	1761	1827	1898
Photographer I	1800	1854	1910	1989	2062
Photographer II	2062	2124	2188	2282	2378
Photographer III	2153	2218	2285	2391	2495
Photographic Technician I	1800	1854	1910	1989	2062
Photographic Technician II	2062	2124	2188	2282	2378
Photographic Technician III	2153	2218	2285	2391	2495
Procurement Representative	1660	1710	1761	1827	1898
Property & Supply Clerk I	1399	1441	1484	1532	1581
Property & Supply Clerk II	1490	1535	1581	1629	1689
Property Tax Examiner	1660	1710	1761	1827	1898
Rehabilitation Case Coordinator I	1546	1592	1640	1696	1756
Rehabilitation Case Coordinator II	1660	1710	1761	1827	1898
Reproduction Service Technician I	1417	1490	1535	1586	1639
Reproduction Service Technician II	1660	1710	1761	1827	1898

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Reproduction Service Technician III	1800	1854	1910	1989	2062
Safety-Responsibility-Analyst-I	<del>1660</del>	<del>1710</del>	<del>1761</del>	<del>1927</del>	<del>1990</del>
Safety-Responsibility-Analyst-II	1885	1942	2000	2085	2163
Safety Responsibility Analyst	1786	1840	1895	1966	2163
Storekeeper I	1938	1996	2056	2143	2227
Storekeeper II	1444	1487	1532	1581	1628
Stores Clerk	1399	1441	1484	1535	1584
Switchboard Operator I	1495	1540	1586	1640	1693
Switchboard Operator II	1660	1710	1761	1827	1898
Tax Examiner	1495	1540	1586	1640	1693
Tax Examiner Trainee	1495	1540	1586	1640	1693
Taxpayer Service Representative I	1660	1710	1761	1827	1898
Taxpayer Service Representative II	1885	1942	2000	2085	2163
Taxpayer Service Representative III	2153	2218	2285	2391	2495
Telecommunications Field Advisor	2373	2444	2517	2638	2760
Telecommunications Advisor Lead Worker					
Telecommunicator	1885	1942	2000	2085	2163
Telecommunicator-Command Center	1967	2026	2087	2175	2268
Telecommunicator Call Taker	1967	2026	2087	2175	2268
Telecommunicator Lead Call Taker	2153	2218	2285	2391	2495
Telecommunicator Lead Worker	2062	2124	2188	2282	2378
Telecommunicator Lead Worker-Command Center	2153	2218	2285	2391	2495
Telecommunicator Trainee	1724	1776	1829	1909	1978
Vehicle Permit Evaluator	1800	1854	1910	1989	2062
Veterans Service Officer Associate	1800	1854	1910	1989	2062

## S T E P S (cont.)

1691	1741	1792	1881
1816	1875	1937	2041
2053	2125	2202	2324
2253	2335	2424	2559
1534	1578	1621	1696
2253	2335	2424	2559
2484	2579	2679	2834
1751	1806	1864	1958
1966	2040	2110	2220
2053	2125	2202	2324
1966	2040	2110	2220
1966	2040	2110	2220
2876	2993	3115	3300
3220	3356	3497	3708
3404	3550	3697	3923
2597	2703	2804	2971
1751	1806	1864	1958
1629	1684	1730	1819
1534	1578	1621	1696

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

2147	2226	2302	2431
2053	2125	2202	2324
2253	2335	2424	2559
2597	2703	2804	2971
2253	2335	2424	2559
2053	2125	2202	2324
2360	2449	2543	2687
2735	2849	2963	3138
2147	2226	2302	2431
2484	2579	2679	2834
2253	2335	2424	2559
2735	2849	2963	3138
2147	2226	2302	2431
1966	2040	2110	2220
2253	2335	2424	2559
1816	1875	1937	2041
9.44	9.71	9.98	10.44
1581	1625	1672	1758
1691	1741	1792	1881
1816	1875	1937	2041
2053	2125	2202	2324
1966	2040	2110	2220
1816	1875	1937	2041
1966	2040	2110	2220
1629	1684	1730	1819
1751	1806	1864	1958
1893	1954	2021	2128
1534	1578	1621	1696
1751	1806	1864	1958
1893	1954	2021	2128
1629	1684	1730	1819
1751	1806	1864	1958
1966	2040	2110	2220
2147	2226	2302	2431
2484	2579	2679	2834
2597	2703	2804	2971
2147	2226	2302	2431
2484	2579	2679	2834
2597	2703	2804	2971
1966	2040	2110	2220
1627	1672	1724	1813
1740	1792	1849	1938
1966	2040	2110	2220
1816	1875	1937	2041
1966	2040	2110	2220
1691	1741	1792	1881
1966	2040	2110	2220
2147	2226	2302	2431
1966	2040	2110	2220



## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

2353	2355	2434	2559
2253	2335	2424	2559
2117	2201	2272	2394
2318	2404	3484	2625
1681	1733	1783	1873
1629	1684	1730	1819
1751	1806	1864	1958
1966	2040	2110	2220
1751	1806	1864	1958
1751	1806	1864	1958
1966	2040	2110	2220
2253	2335	2424	2559
2360	2449	2543	2687
2360	2449	2543	2687
2597	2703	2804	2971
2876	2993	3115	3300
2253	2335	2424	2559
2360	2449	2543	2687
2360	2449	2543	2687
2597	2703	2804	2971
2876	2993	3115	3300
2484	2579	2649	2834
2597	2703	2804	2971
2053	2125	2202	2324
2147	2226	2302	2431
2147	2226	2302	2431

2/ Entry level step in second year of contract.

Effective: July 1, 1996

lc 3/	lb	la	1	2	3
Account Clerk I	1447	1490	1535	1591	1634
Account Clerk II	1546	1592	1640	1689	1747
Account Technician I	1724	1776	1829	1884	1966
Account Technician II	1885	1942	2000	2060	2148
Administrative Services Worker	1324	1364	1405	1447	1486
Trainee					
Aircraft Dispatcher	1885	1942	2000	2060	2148
Aircraft Lead Dispatcher	2062	2124	2188	2254	2350
Audio Visual Technician I	1495	1540	1586	1634	1689
Audio Visual Technician II	1660	1710	1761	1814	1882
Buyer Assistant	1724	1776	1829	1884	1966
Check Issuance Machine Operator	1660	1710	1761	1814	1882
Clerical Trainee					
Communication Dispatcher	1660	1710	1761	1814	1882
Communication Equipment Technician I	2373	2444	2517	2593	2717
Communication Equipment Technician II	2637	2716	2797	2881	3028
Procurement Representative	2786	2870	2956	3045	3197

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Technician III	2153	2218	2285	2354	2463	2570
Court Reporter	1495	1540	1586	1634	1689	1744
Data Processing Assistant	1399	1441	1484	1529	1581	1632
Data Processing Operator	1324	1364	1405	1447	1486	1529
Drafting Worker	1800	1854	1910	1967	2024	2124
Electronic Equipment	1724	1776	1829	1884	1966	2037
Electronic Equipment	1885	1942	2000	2060	2148	2228
Installer/Repairer Leadworker						
Electronics Technician	2153	2218	2285	2354	2463	2570
Emergency Response Lead	1885	1942	2000	2060	2148	2228
Telecommunicator						
Emergency Response	1724	1776	1829	1884	1966	2037
Telecommunicator						
Engineering Technician II	1967	2026	2087	2150	2240	2336
Engineering Technician III	2262	2330	2400	2472	2586	2704
Executive Secretary I	1800	1854	1910	1967	2049	2124
Graphic Arts Designer	2062	2124	2188	2254	2350	2449
Graphic Arts Technician	1885	1942	2000	2060	2148	2228
Industrial Commission Reporter	2262	2330	2400	2472	2586	2704
Industrial Commission Technician	1800	1854	1910	1967	2049	2124
Insurance Analyst I	1660	1710	1761	1814	1892	1955
Insurance Analyst II	1885	1942	2000	2060	2148	2228
Insurance Analyst Trainee	1546	1592	1640	1689	1747	1809
Intermittent Clerk	8.15	8.39	8.65	8.90	9.14	9.41
Library Aide I	1360	1401	1443	1486	1529	1581
Library Aide II	1447	1490	1535	1581	1634	1688
Library Aide III	1546	1592	1640	1689	1747	1809
Library Technical Assistant	1724	1776	1829	1884	1966	2037
Bicensing-Quality-Assurance Analyst	1660	1710	1761	1814	1892	1955
Microfilm Laboratory Technician I	1546	1592	1640	1689	1747	1809
Microfilm Laboratory Technician II	1660	1710	1761	1814	1892	1955
Microfilm Operator I	1399	1441	1484	1529	1581	1632
Microfilm Operator II	1495	1540	1586	1634	1689	1744
Microfilm Operator III	1599	1647	1696	1747	1814	1879
Office Aide	1324	1364	1405	1447	1486	1529
Office Assistant	1495	1540	1586	1634	1689	1744
Office Associate	1599	1647	1696	1747	1814	1879
Office Clerk	1399	1441	1484	1529	1581	1632
Office Coordinator	1660	1710	1761	1814	1892	1955
Photographer I	1800	1854	1910	1967	2049	2124
Photographer II	2062	2124	2188	2254	2350	2449
Photographer III	2153	2218	2285	2354	2463	2570
Photographic Technician I	1800	1854	1910	1967	2049	2124
Photographic Technician II	2062	2124	2188	2254	2350	2449
Photographic Technician III	2153	2218	2285	2354	2463	2570
Procurement Representative	1660	1710	1761	1814	1892	1955

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Property & Supply Clerk I	1399	1411	1484	1529	1578	1628
Property & Supply Clerk II	1490	1535	1581	1628	1678	1740
Property Tax Examiner	1660	1710	1761	1814	1882	1955
Rehabilitation Case Coordinator I	1546	1592	1640	1689	1747	1809
Rehabilitation Case Coordinator II	1660	1710	1761	1814	1882	1955
Reproduction Service Technician I	1447	1490	1535	1581	1634	1688
Reproduction Service Technician II	1660	1710	1761	1814	1882	1955
Reproduction Service Technician III	1800	1854	1910	1967	2049	2124
<del>Safety-Responsibility-Analyst</del>	<del>1660</del>	<del>1710</del>	<del>1761</del>	<del>1814</del>	<del>1882</del>	<del>1955</del>
<del>Safety-Responsibility-Analyst</del>	<del>1885</del>	<del>1942</del>	<del>2000</del>	<del>2060</del>	<del>2148</del>	<del>2228</del>
<del>Safety-Responsibility-Analyst</del>	<del>1786</del>	<del>1840</del>	<del>1895</del>	<del>1952</del>	<del>2025</del>	<del>2107</del>
<del>Safety-Responsibility-Analyst</del>	<del>1938</del>	<del>1996</del>	<del>2056</del>	<del>2118</del>	<del>2207</del>	<del>2294</del>
<del>Stores Clerk</del>	<del>1444</del>	<del>1487</del>	<del>1532</del>	<del>1578</del>	<del>1628</del>	<del>1677</del>
<del>Switchboard Operator I</del>	<del>1399</del>	<del>1441</del>	<del>1484</del>	<del>1529</del>	<del>1581</del>	<del>1632</del>
<del>Switchboard Operator II</del>	<del>1495</del>	<del>1540</del>	<del>1586</del>	<del>1634</del>	<del>1689</del>	<del>1744</del>
<del>Tax Examiner</del>	<del>1660</del>	<del>1710</del>	<del>1761</del>	<del>1814</del>	<del>1882</del>	<del>1955</del>
<del>Tax Examiner Trainee</del>	<del>1495</del>	<del>1540</del>	<del>1586</del>	<del>1634</del>	<del>1689</del>	<del>1744</del>
<del>Taxpayer Service Representative I</del>	<del>1495</del>	<del>1540</del>	<del>1586</del>	<del>1634</del>	<del>1689</del>	<del>1744</del>
<del>Taxpayer Service Representative II</del>	<del>1660</del>	<del>1710</del>	<del>1761</del>	<del>1814</del>	<del>1882</del>	<del>1955</del>
<del>Taxpayer Service Representative III</del>	<del>1885</del>	<del>1942</del>	<del>2000</del>	<del>2060</del>	<del>2148</del>	<del>2228</del>
<del>Telecommunications Field Advisor</del>	<del>2153</del>	<del>2218</del>	<del>2285</del>	<del>2354</del>	<del>2463</del>	<del>2570</del>
<del>Telecommunications Field Advisor</del>	<del>2373</del>	<del>2444</del>	<del>2517</del>	<del>2593</del>	<del>2717</del>	<del>2843</del>
<del>Lead Worker</del>	<del>1885</del>	<del>1942</del>	<del>2000</del>	<del>2060</del>	<del>2148</del>	<del>2228</del>
<del>Telecommunicator</del>	<del>1967</del>	<del>2026</del>	<del>2087</del>	<del>2150</del>	<del>2240</del>	<del>2336</del>
<del>Telecommunicator-Command Center</del>	<del>1967</del>	<del>2026</del>	<del>2087</del>	<del>2150</del>	<del>2240</del>	<del>2336</del>
<del>Telecommunicator Call</del>	<del>1967</del>	<del>2026</del>	<del>2087</del>	<del>2150</del>	<del>2240</del>	<del>2336</del>
<del>Taker</del>	<del>2153</del>	<del>2218</del>	<del>2285</del>	<del>2354</del>	<del>2463</del>	<del>2570</del>
<del>Telecommunicator Lead Call</del>	<del>2153</del>	<del>2218</del>	<del>2285</del>	<del>2354</del>	<del>2463</del>	<del>2570</del>
<del>Taker</del>	<del>2153</del>	<del>2218</del>	<del>2285</del>	<del>2354</del>	<del>2463</del>	<del>2570</del>
<del>Telecommunicator Lead Worker</del>	<del>2153</del>	<del>2218</del>	<del>2285</del>	<del>2354</del>	<del>2463</del>	<del>2570</del>
<del>Telecommunicator Lead</del>	<del>2153</del>	<del>2218</del>	<del>2285</del>	<del>2354</del>	<del>2463</del>	<del>2570</del>
<del>Telecommunicator Lead</del>	<del>2153</del>	<del>2218</del>	<del>2285</del>	<del>2354</del>	<del>2463</del>	<del>2570</del>
<del>Worker-Command Center</del>	<del>1724</del>	<del>1776</del>	<del>1829</del>	<del>1884</del>	<del>1966</del>	<del>2037</del>
<del>Telecommunicator Trainee</del>	<del>1800</del>	<del>1854</del>	<del>1910</del>	<del>1967</del>	<del>2049</del>	<del>2124</del>
<del>Vehicle Permit Evaluator</del>	<del>1800</del>	<del>1854</del>	<del>1910</del>	<del>1967</del>	<del>2049</del>	<del>2124</del>
<del>Veterans Service Officer</del>	<del>1800</del>	<del>1854</del>	<del>1910</del>	<del>1967</del>	<del>2049</del>	<del>2124</del>
<del>Associate</del>	<del>1800</del>	<del>1854</del>	<del>1910</del>	<del>1967</del>	<del>2049</del>	<del>2124</del>

## S T E P S (cont.)

1742	1793	1846	1937
1870	1931	1995	2102
2115	2189	2268	2394
2321	2405	2497	2636
1580	1625	1670	1747
2321	2405	2497	2636

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

2559	2656	2759	2919
1804	1860	1920	2017
2025	2101	2173	2287
2115	2189	2268	2394
2025	2101	2173	2287
2025	2101	2173	2287
3208	3399	3506	3657
3317	3457	3602	3819
3506	3657	3808	4041
2675	2784	2888	3060
1804	1860	1920	2017
1678	1735	1782	1874
1580	1625	1670	1747
2211	2293	2371	2504
2115	2189	2268	2394
2321	2405	2497	2636
2675	2784	2888	3060
2321	2405	2497	2636
2115	2189	2268	2394
2431	2522	2619	2768
2817	2934	3052	3232
2211	2293	2371	2504
2025	2101	2173	2287
2321	2405	2497	2636
1870	1931	1995	2102
9.72	10.00	10.28	10.75
1628	1674	1722	1811
1742	1793	1846	1937
1870	1931	1995	2102
2115	2189	2268	2394
2025	2101	2173	2287
1870	1931	1995	2102
2025	2101	2173	2287
1678	1735	1782	1874
1804	1860	1920	2017
1950	2013	2082	2192
1580	1625	1670	1747
1804	1860	1920	2017
1950	2013	2082	2192
1678	1735	1782	1874
2025	2101	2173	2287
2115	2189	2268	2394
2211	2293	2371	2504
2559	2656	2759	2919
2675	2784	2888	3060





## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Licensed Practical Nurse II	1746	1798	1867	1942
Medical Records Assistant	1660	1710	1774	1843
Medical Records Technician	1800	1854	1931	2002
Office Administrative Specialist	1885	1942	2024	2100
Office Specialist	1800	1854	1931	2002
Pharmacist Lead Technician	1599	1647	1710	1771
Pharmacist Technician	1495	1540	1592	1644
Public Aid Eligibility Assistant	1599	1647	1710	1771
Radiologic Technologist	1800	1854	1931	2002
Radiologic Technologist Program Coordinator	1885	1942	2024	2100
Ranger	1967	2026	2112	2202
Rehabilitation Counselor Aide I	1660	1710	1774	1843
Rehabilitation Counselor Aide II	1800	1854	1931	2002
Senior Ranger	2062	2124	2216	2309
Site Technician I	1724	1776	1853	1920
Site Technician II	1885	1942	2024	2100
Social Service Community Planner	1800	1942	2024	2100
Statistical Research Technician	1800	1854	1931	2002
Veterans Service Officer	1885	1942	2024	2100
Vocational Instructor	1885	1942	2024	2100

## S T E P S (cont.)

4	5	6	7
2056	2131	2206	2330
1763	1820	1881	1982
1993	2063	2138	2256
1763	1820	1881	1982
1993	2063	2138	2256
2187	2267	2353	2484
2412	2504	2601	2751
1993	2063	2138	2256
2291	2378	2469	2609
2291	2378	2469	2609
2655	2766	2877	3047
3495	3654	3806	4043
1993	2063	2138	2256
2412	2504	2601	2751
2187	2267	2353	2484
1909	1981	2049	2155
1700	1753	1810	1901
1763	1820	1881	1982
2187	2267	2353	2484
1838	1897	1962	2066
2187	2267	2353	2484
2412	2504	2601	2751
1838	1897	1962	2066
1993	2063	2138	2256

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

1700	1753	1810	1901
1909	1981	2049	2155
1909	1981	2049	2155
2291	2378	2469	2609
2412	2504	2601	2751
1580	1623	1674	1760
1838	1897	1962	2066
10.46	10.79	11.14	11.70
1582	1635	1680	1766
1993	2063	2138	2256
2187	2267	2353	2484
2291	2378	2469	2609
1920	1994	2072	2176
2017	2094	2174	2285
1909	1981	2049	2155
2084	2161	2235	2360
2187	2267	2353	2484
2084	2161	2235	2360
1838	1897	1962	2066
1700	1753	1810	1901
1838	1897	1962	2066
2084	2161	2235	2360
2187	2267	2353	2484
2291	2378	2469	2609
1909	1981	2049	2155
2084	2161	2235	2360
2412	2504	2601	2751
1993	2063	2138	2256
2187	2267	2353	2484
2084	2161	2235	2360
2187	2267	2353	2484
2187	2267	2353	2484

Effective: January 1, 1995

la 1/	1	2	3
Apparel Dry Goods Specialist III	1938	1996	2081
	2162		

S T E P S (cont.)	
4	5
2250	2334
	2412
	2549

Effective: April 1, 1995

la 1/	1	2	3

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

State Police Evidence Technician I	1885	1942	2024	2100
State Police Evidence Technician II	1967	2026	2112	2202
	4	5	6	7
	2187	2267	2353	2484
	2291	2378	2469	2609

1/Entry level step in first year of contract.

Effective: July 1, 1995

## S T E P S

	1a	1b 2/	2	3
Apparel Dry Goods Specialist III	1775	1828	1883	1966
Assistant Reimbursement Officer	1546	1592	1640	1696
Child Development Aide III	1724	1776	1829	1909
Clinical Laboratory Associate	1546	1592	1640	1696
Clinical Laboratory Technician I	1724	1776	1829	1909
Clinical Laboratory Technician II	1885	1942	2000	2085
Compliance Officer	2062	2124	2188	2282
Conservation Resource Technician I	1724	1776	1829	1909
Conservation Resource Technician II	1967	2026	2087	2175
Construction Supervisor I	1967	2026	2087	2175
Construction Supervisor II	2262	2330	2400	2511
Crime-Scene-Technician	2942	3038	3121	3225
Crime Studies Associate	1724	1776	1829	1909
Data Processing Administrative Specialist	2062	2124	2188	2282
Data Processing Specialist	1885	1942	2000	2085
Data Processing Technician	1660	1710	1761	1827
Data Processing Technician Trainee	1495	1540	1586	1640
Dental Assistant	1546	1592	1640	1696
Dental Hygienist	1885	1942	2000	2085
Electroencephalograph Technician	1599	1647	1696	1761
Environmental Equipment Operator I	1885	1942	2000	2085
Environmental Equipment Operator II	2062	2124	2188	2282
Environmental Protection Technician I	1599	1647	1696	1761
Environmental Protection Technician II	1724	1776	1829	1909
Hearing & Speech Technician I	1495	1540	1586	1640
Hearing & Speech Technician II	1660	1710	1761	1827
Historic Site Interpreter	1660	1710	1761	1827
Historic Site Lead I	1967	2026	2087	2175
Historic Site Lead II	2062	2124	2188	2282
Housekeeper II	1399	1441	1484	1532
Inhalation Therapist	1599	1647	1696	1761
Intermittent Unemployment	120	9.48	9.76	10.09

10.42

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Insurance Technician	1399	1441	1484	1535	1584
Laboratory Assistant	1724	1776	1829	1909	1978
Laboratory Associate I	1885	1942	2000	2085	2163
Laboratory Associate II	1967	2026	2087	2175	2268
Legal Research Assistant*	1709	1760	1813	1880	1956
Licensed Practical Nurse I	1792	1846	1901	1985	2059
Licensed Practical Nurse II	1660	1710	1761	1827	1966
Medical Records Assistant	1800	1854	1910	1989	2062
Medical Records Technician	1885	1942	2000	2085	2163
Office Administrative Specialist	1800	1854	1910	1989	2062
Office Specialist	1599	1647	1696	1761	1824
Pharmacist Lead Technician	1495	1540	1586	1640	1693
Public Aid Eligibility Assistant	1599	1647	1696	1761	1824
Radiologic Technologist	1800	1854	1910	1989	2062
Radiologic Technologist Program Coordinator	1885	1942	2000	2085	2163
Ranger	1967	2026	2087	2175	2268
Rehabilitation Counselor Aide I	1660	1710	1761	1827	1898
Rehabilitation Counselor Aide II	1800	1854	1910	1989	2062
Senior Ranger	2062	2124	2188	2282	2378
Site Technician I	1724	1776	1829	1909	1978
Site Technician II	1885	1942	2000	2085	2163
Social Service Community Planner	1800	1854	1910	1989	2062
State Police Evidence Technician I	1885	1942	2000	2085	2163
State Police Evidence Technician II	1967	2026	2087	2175	2268
Statistical Research Technician	1800	1854	1910	1989	2062
Veterans Service Officer	1885	1942	2000	2085	2163
Vocational Instructor	1885	1942	2000	2085	2163

## S T E P S (cont.)

	4	5	6	7
	2118	2195	2272	2400
	1816	2195	2272	2400
	2053	2125	2202	2324
	1816	1875	1937	2041
	2053	2125	2202	2324
	2253	2335	2424	2559
	2484	2579	2679	2834
	2053	2125	2202	2324
	2360	2449	2543	2687
	2360	2449	2543	2687
	2735	2849	2963	3138
	3600	3764	3920	4164
	2053	2125	2202	2324
	2484	2579	2670	2834

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

2253	2335	2424	2559
1966	2040	2110	2220
1751	1806	1864	1958
1816	1875	1937	2041
2253	2335	2424	2559
1893	1954	2021	2128
2253	2335	2424	2559
2484	2579	2679	2834
1893	1954	2021	2128
2053	2125	2202	2324
1751	1806	1864	1958
1966	2040	2110	2220
1966	2040	2110	2220
2360	2449	2543	2687
2484	2579	2679	2834
1627	1672	1724	1813
1893	1954	2021	2128
10.78	11.11	11.47	12.05
1629	1684	1730	1819
2053	2125	2202	2324
2253	2335	2424	2559
2360	2449	2543	2687
2120	2107	2175	2291
2140	2218	2298	2446
1966	2040	2110	2220
2147	2226	2302	2431
2253	2335	2424	2559
2147	2226	2302	2431
1893	1954	2021	2128
1751	1805	1864	1958
1893	1954	2021	2128
2147	2226	2302	2431
2253	2335	2424	2559
2360	2449	2543	2687
1966	2040	2110	2220
2147	2226	2302	2431
2484	2579	2679	2834
2053	2125	2202	2324
2253	2335	2424	2559
2253	2335	2424	2559
2360	2449	2543	2687
2147	2226	2302	2431
2253	2335	2424	2559
2253	2335	2424	2559

## ILLINOIS REGISTER

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Effective July 19, 1995

Crime Scene Investigator

	<u>S T E P S</u>		
1a	1		3
2030	3121		3440
			<u>3282</u>
1b 2/			
2942			

  

	<u>S T E P S (cont.)</u>		
4	5		7
3600	3764		4164
			<u>3920</u>

2/ Entry level step in second year of contract.

Effective: July 1, 1996				
	lc 3/	lb	la	S T E P S
Apparel Dry Goods	1775	1828	1883	1939
Specialist III	1938	1996	2056	2118
Assistant Reimbursement Officer	1546	1592	1640	1689
Child Development Aide III	1724	1776	1829	1884
Clinical Laboratory Associate	1546	1592	1640	1689
Clinical Laboratory Technician I	1724	1776	1829	1884
Clinical Laboratory Technician I	1885	1942	2000	2060
Clinical Laboratory Technician II	1885	1942	2000	2060
Compliance Officer	2062	2124	2188	2254
Conservation Resource Technician I	1724	1776	1829	1884
Conservation Resource Technician II	1967	2026	2087	2150
Construction Supervisor I	1967	2026	2087	2150
Construction Supervisor II	1967	2026	2087	2150
Construction Supervisor II	2262	2330	2400	2472
Crime Scene Technician	2942	3030	3121	3215
Crime Scene Investigator	2942	3030	3121	3215
Prime Studies Associate	1724	1776	1829	1884
Data Processing Administrative Specialist	2062	2124	2188	2254
Data Processing Specialist	1885	1942	2000	2060
Data Processing Technician	1660	1710	1761	1814
Data Processing Technician Trainee	1495	1540	1586	1634



## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Dental Assistant	1546	1592	1640	1689	1747	1809
Dental Hygienist	1885	1942	2000	2060	2148	2228
Electroencephalograph Technician	1599	1647	1696	1747	1814	1879
Environmental Equipment Operator I	1885	1942	2000	2060	2148	2228
Environmental Equipment Operator II	2062	2124	2188	2254	2350	2449
Environmental Protection Technician I	1724	1776	1829	1884	1966	2037
Environmental Protection Technician II	1724	1776	1829	1884	1966	2037
Hearing & Speech Technician I	1495	1540	1586	1634	1689	1744
Hearing & Speech Technician II	1660	1710	1761	1814	1882	1955
Historic Site Interpreter	1660	1710	1761	1814	1882	1955
Historic Site Lead I	1967	2026	2087	2150	2240	2336
Historic Site Lead II	2062	2124	2188	2254	2350	2449
Housekeeper II	1399	1441	1484	1529	1578	1628
Inhalation Therapist	1599	1647	1696	1747	1814	1879
Intermittent Unemployment Insurance Technician	9.20	9.48	9.76	10.06	10.39	10.73
Laboratory Assistant	1399	1441	1484	1529	1582	1632
Laboratory Associate I	1724	1776	1829	1884	1966	2037
Laboratory Associate II	1885	1942	2000	2060	2148	2228
Legal Research Assistant*	1967	2026	2087	2150	2240	2336
Licensed Practical Nurse I	1709	1760	1813	1867	1936	2015
Licensed Practical Nurse II	1792	1846	1901	1958	2045	2121
Medical Records Assistant	1660	1710	1761	1814	1882	1955
Medical Records Technician	1800	1854	1910	1967	2049	2124
Office Administrative Specialist	1885	1942	2000	2060	2148	2228
Office Specialist	1800	1854	1910	1967	2049	2124
Pharmacist Lead Technician	1599	1647	1696	1747	1814	1879
Pharmacist Technician	1495	1540	1586	1634	1689	1744
Public Aid Eligibility Assistant	1599	1647	1696	1747	1814	1879
Radiologic Technologist	1800	1854	1910	1967	2049	2124
Radiologic Technologist Program Coordinator	1885	1942	2000	2060	2148	2228
Ranger	1967	2026	2087	2150	2240	2336
Rehabilitation Counselor Aide I	1660	1710	1761	1814	1882	1955
Rehabilitation Counselor Aide II	1800	1854	1910	1967	2049	2124
Senior Ranger	2062	2124	2188	2254	2350	2449
Site Technician I	1724	1776	1829	1884	1966	2037
Site Technician II	1885	1942	2000	2060	2148	2228

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

Social Service Community Planner	1800	1854	1910	1967	2049	2124
State Police Evidence Technician I	1885	1942	2000	2060	2148	2228
State Police Evidence Technician II	1967	2026	2087	2150	2240	2336
Statistical Research Technician	1800	1854	1910	1967	2049	2124
Veterans Service Officer	1885	1942	2000	2060	2148	2228
Vocational Instructor	1885	1942	2000	2060	2148	2228

  

S T E P S (cont.)						
	4	5	6	7		
	2162	2261	2340	2422		
	2388	2476	2559	2701		
	1870	1931	1995	2102		
	2115	2189	2268	2394		
	1870	1931	1995	2102		
	2115	2189	2268	2394		
	2321	2405	2497	2636		
	2559	2656	2759	2919		
	2115	2189	2268	2394		
	2431	2522	2619	2768		
	2431	2522	2619	2768		
	2817	2934	3052	3232		
	3708	3877	4038	4289		
	2115	2189	2268	2394		
	2559	2656	2759	2919		
	1950	2013	2082	2192		
	2115	2189	2268	2394		
	1804	1860	1920	2017		
	1870	1931	1995	2102		
	2321	2405	2497	2636		
	1950	2013	2082	2192		
	2115	2189	2268	2394		
	1804	1860	1920	2017		
	2025	2101	2173	2287		
	2431	2522	2619	2768		
	2559	2656	2759	2919		
	1676	1722	1776	1867		
	1950	2013	2082	2192		
	11.10	11.45	11.82	12.41		
	1678	1735	1782	1874		
	2115	2189	2268	2394		
	2321	2405	2497	2636		
	2431	2522	2619	2768		
	2088	2170	2240	2360		
	2204	2285	2367	2519		

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

2025	2101	2173	2287
2211	2293	2371	2504
2321	2405	2497	2636
2211	2293	2371	2504
1950	2013	2082	2192
1804	1860	1920	2017
1950	2013	2082	2192
2211	2293	2371	2504
2321	2405	2497	2636
2431	2522	2619	2768
2025	2101	2173	2287
2211	2293	2371	2504
2559	2656	2759	2919
2115	2189	2268	2394
2321	2405	2497	2636
2211	2293	2371	2504
2321	2405	2497	2636
2431	2522	2619	2768
2211	2293	2371	2504
2321	2405	2497	2636
2321	2405	2497	2636

3/Entry level step in third year of contract.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

## Section 310 TABLE P RC-029 (Paraprofessional Investigatory and Law Enforcement Employees, IFPE)

Effective: July 1, 1994

				S T E P S				
				1	2	3	4	5
				1942	2024	2100	2187	2267
AGRICULTURAL PRODUCTS PROMOTER				2124	2216	2309	2412	2504
ANIMAL & ANIMAL PRODUCTS INVESTIGATOR				1441	1490	1538	1582	1635
APIARY INSPECTOR				2330	2438	2549	2655	2766
ARSON INVESTIGATOR I				2576	2702	2827	2955	3077
ARSON INVESTIGATOR II				2218	2321	2422	2521	2624
BREATH ALCOHOL ANALYSIS TECHNICIAN				2330	2438	2549	2655	2766
COMMERCE COMMISSION POLICE OFR I				2576	2702	2827	2955	3077
COMMERCE COMMISSION POLICE OFR II				1776	1853	1920	1993	2063
COMMODITIES INSPECTOR				2026	2112	2202	2291	2378
DANGEROUS DRUGS COMPLIANCE OFFICER I				2218	2321	2422	2521	2624
DANGEROUS DRUGS COMPLIANCE OFFICER II				2330	2438	2549	2655	2766
DANGEROUS DRUGS COMPLIANCE OFFICER III				3203	3370	3535	3701	3872
DRUG COMPLIANCE INVESTIGATOR				1776	1853	1920	1993	2063
ENVIRONMENTAL PROTECTION LEGAL INVESTIGATOR I				1942	2024	2100	2187	2267
ENVIRONMENTAL PROTECTION LEGAL INVESTIGATOR II				2124	2216	2309	2412	2504
EXPLOSIVES INSPECTOR I				2444	2561	2680	2792	2906
EXPLOSIVES INSPECTOR II				1647	1710	1771	1838	1897
FINGERPRINT TECHNICIAN I				1776	1853	1920	1993	2063
FINGERPRINT TECHNICIAN II				1942	2024	2100	2187	2267
FINGERPRINT TECHNICIAN III				2218	2321	2422	2521	2624
FIRE PREVENTION INSPECTOR I				2576	2702	2827	2955	3077
FIRE PREVENTION INSPECTOR II				1490	1540	1591	1642	1690
GUARD I				1647	1710	1771	1838	1897
GUARD II				1854	1931	2002	2084	2161
GUARD III				1592	1647	1705	1763	1820
LICENSING ASSISTANT				1854	1931	2002	2084	2161
LICENSING INVESTIGATOR I				2124	2216	2309	2412	2504
LICENSING INVESTIGATOR II				2218	2321	2422	2521	2624
LICENSING INVESTIGATOR III				2444	2561	2680	2792	2906
LICENSING INVESTIGATOR IV				2026	2112	2202	2291	2378
LIQUOR CONTROL SPECIAL AGENT I				1592	1647	1705	1763	1820
MOTORIST ASSISTANCE SPECIALIST				2218	2321	2422	2521	2624
PLANT & PESTICIDE SPECIALIST I				2444	2561	2680	2792	2906
PLANT & PESTICIDE SPECIALIST II				2576	2702	2827	2955	3077
PLUMBING INSPECTOR				2330	2438	2549	2655	2766
POLICE OFFICER I				2576	2702	2827	2955	3077
POLICE OFFICER II				2218	2321	2422	2521	2624

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

	To-be-determined									
	2870	3014	3155	3305	3447	3589	3809			
POLICE OFFICER III	2870	3014	3155	3305	3447	3589	3809			
POLYGRAPH EXAMINER I	2576	2702	2827	2955	3077	3199	3389			
POLYGRAPH EXAMINER II	2870	3014	3155	3305	3447	3589	3809			
POLYGRAPH EXAMINER III	3203	3370	3535	3701	3872	4035	4285			
PRODUCTS & STANDARDS INSPECTOR	1942	2024	2100	2187	2267	2353	2484			
SECURITY OFFICER	1942	2024	2100	2187	2267	2353	2484			
SECURITY OFFICER SERGEANT	2026	2112	2202	2291	2378	2469	2609			
SEED ANALYST I	1854	1931	2002	2084	2161	2235	2360			
SEED ANALYST II	1942	2024	2100	2187	2267	2353	2484			
SITE SECURITY OFFICER	1647	1710	1771	1838	1897	1962	2066			
TRUCK WEIGHING INSPECTOR	1710	1774	1843	1909	1981	2049	2155			
VEHICLE EMISSIONS COMPLIANCE INSPECTOR	1942	2024	2100	2187	2267	2353	2484			
VEHICLE TESTING COMPLIANCE OFFICER	2218	2321	2422	2521	2624	2722	2884			
VITAL RECORDS QUALITY CONTROL INSPECTOR	1942	2024	2100	2187	2267	2353	2484			
WAREHOUSE CLAIMS SPECIALIST	2716	2854	2988	3126	3258	3395	3600			
WAREHOUSE EXAMINER I	1942	2024	2100	2187	2267	2353	2484			
WAREHOUSE EXAMINER II	2218	2321	2422	2521	2624	2722	2884			
WAREHOUSE EXAMINER III	2444	2561	2680	2792	2906	3024	3204			
WELL INSPECTOR I	2124	2216	2309	2412	2504	2601	2751			
WELL INSPECTOR II	2444	2561	2680	2792	2906	3024	3204			

NOTE: Effective July 1, 1994, employees who have 15 years of service and have 3 or more years of creditable service on Step 7 in the same pay grade shall receive an additional \$25.00 monthly.

Effective: January 1, 1995

	S T E P S									
	1	2	3	4	5	6	7			
FINGERPRINT TECHNICIAN	1942	2024	2100	2187	2267	2353	2484			
TRUCK WEIGHING INSPECTOR	1776	1853	1920	1993	2063	2138	2256			

Effective: July 1, 1995

	S T E P S									
	1	2	3	4	5	6	7			
AGRICULTURAL PRODUCTS PROMOTER	2175	2268	2360	2449	2543	2687	2741			
ANIMAL & ANIMAL PRODUCTS INVESTIGATOR	2282	2378	2484	2579	2679	2834	2891			
APIARY INSPECTOR	1535	1584	1629	1684	1730	1819	1855			
ARSON INVESTIGATOR I	2511	2625	2735	2849	2963	3138	3201			
ARSON INVESTIGATOR II	2783	2912	3044	3169	3295	3491	3561			
BREATH ALCOHOL ANALYSIS TECHNICIAN	2391	2495	2597	2703	2804	2971	3030			
COMMERCE COMMISSION POLICE OFR I	2511	2625	2735	2849	2963	3138	3201			
COMMERCE COMMISSION POLICE OFR II	2783	2912	3044	3169	3295	3491	3561			

## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

	To-be-determined									
	1909	1978	2053	2125	2202	2324	2370			
COMMODITIES INSPECTOR	1909	1978	2053	2125	2202	2324	2370			
DANGEROUS DRUGS COMPLIANCE OFFICER I	2175	2268	2360	2449	2543	2687	2741			
DANGEROUS DRUGS COMPLIANCE OFFICER II	2391	2495	2597	2703	2804	2971	3030			
DANGEROUS DRUGS COMPLIANCE OFFICER III	2511	2625	2735	2849	2963	3138	3201			
DRUG COMPLIANCE INVESTIGATOR	3471	3641	3812	3988	4156	4414	4502			
ENVIRONMENTAL PROTECTION LEGAL INVESTIGATOR I	1909	1978	2053	2125	2202	2324	2370			
ENVIRONMENTAL PROTECTION LEGAL INVESTIGATOR II	2085	2163	2253	2335	2424	2559	2610			
EXPLOSIVES INSPECTOR I	2282	2378	2484	2579	2679	2834	2891			
EXPLOSIVES INSPECTOR II	2638	2760	2876	2993	3115	3300	3366			
FINGERPRINT TECHNICIAN	2085	2163	2253	2335	2424	2559	2610			
FIRE PREVENTION INSPECTOR I	2391	2495	2597	2703	2804	2971	3030			
FIRE PREVENTION INSPECTOR II	2783	2912	3044	3169	3295	3491	3561			
GUARD I	1586	1639	1691	1741	1792	1881	1919			
GUARD II	1761	1824	1893	1954	2021	2128	2171			
GUARD III	1989	2062	2147	2226	2302	2431	2480			
LICENSING ASSISTANT	1696	1756	1816	1875	1937	2041	2082			
LICENSING INVESTIGATOR I	1989	2062	2147	2226	2302	2431	2480			
LICENSING INVESTIGATOR II	2282	2378	2484	2579	2679	2834	2891			
LICENSING INVESTIGATOR III	2391	2495	2597	2703	2804	2971	3030			
LICENSING INVESTIGATOR IV	2638	2760	2876	2993	3115	3300	3366			
LIQUOR CONTROL SPECIAL AGENT I	2175	2268	2360	2449	2543	2687	2741			
MOTORIST ASSISTANCE SPECIALIST	1696	1756	1816	1875	1937	2041	2082			
PLANT & PESTICIDE SPECIALIST I	2391	2495	2597	2703	2804	2971	3030			
PLANT & PESTICIDE SPECIALIST II	2638	2760	2876	2993	3115	3300	3366			
PLUMBING INSPECTOR	2940	3078	3220	3356	3497	3708	3782			
POLICE OFFICER I	2511	2625	2735	2849	2963	3138	3201			
POLICE OFFICER II	2783	2912	3044	3169	3295	3491	3561			
POLICE OFFICER III	To-be-determined									
POLYGRAPH EXAMINER I	3104	3250	3404	3550	3697	3923	4001			
POLYGRAPH EXAMINER II	2783	2912	3044	3169	3295	3491	3561			
POLYGRAPH EXAMINER III	3104	3250	3404	3550	3697	3923	4001			
PRODUCTS & STANDARDS INSPECTOR	3471	3641	3812	3988	4156	4414	4502			
SECURITY OFFICER	2085	2163	2253	2335	2424	2559	2610			
SECURITY OFFICER SERGEANT	2085	2163	2253	2335	2424	2559	2610			
SEED ANALYST I	2175	2268	2360	2449	2543	2687	2741			
SEED ANALYST II	1989	2062	2147	2226	2302	2431	2480			
SITE SECURITY OFFICER	2085	2163	2253	2335	2424	2559	2610			
TRUCK WEIGHING INSPECTOR	1761	1824	1893	1954	2021	2128	2171			
VEHICLE EMISSIONS COMPLIANCE INSPECTOR	1909	1978	2053	2125	2202	2324	2370			
VEHICLE TESTING COMPLIANCE OFFICER	2085	2163	2253	2335	2424	2559	2610			
VITAL RECORDS QUALITY CONTROL	2391	2495	2597	2703	2804	2971	3030			
	2085	2163	2253	2335	2424	2559	2610			



## DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

## NOTICE OF PROPOSED AMENDMENTS

INSPECTOR									
WAREHOUSE CLAIMS SPECIALIST	2940	3078	3220	3356	3497	3708	3782		
WAREHOUSE EXAMINER I	2085	2163	2253	2335	2424	2559	2610		
WAREHOUSE EXAMINER II	2391	2495	2597	2703	2804	2971	3030		
WAREHOUSE EXAMINER III	2638	2760	2876	2993	3115	3300	3366		
WELL INSPECTOR I	2282	2378	2484	2579	2679	2834	2891		
WELL INSPECTOR II	2638	2760	2876	2993	3115	3300	3366		

NOTE: Effective July 1, 1995, the Step 7 Longevity clause is terminated for duration of the contract.

Effective: July 1, 1996

	S T E P S						
	1	2	3	4	5	6	7
AGRICULTURAL PRODUCTS PROMOTER	2240	2336	2431	2522	2619	2768	2823
ANIMAL & ANIMAL PRODUCTS INVESTIGATOR	2350	2449	2559	2656	2759	2919	2978
APIARY INSPECTOR	1581	1632	1678	1735	1782	1874	1911
ARSON INVESTIGATOR I	2586	2704	2817	2934	3052	3232	3297
ARSON INVESTIGATOR II	2866	2999	3135	3264	3394	3596	3668
BREATH ALCOHOL ANALYSIS TECHNICIAN	2463	2570	2675	2784	2888	3060	3121
COMMERCE COMMISSION POLICE OFR I	2704	2817	2934	3052	3232	3297	3363
COMMERCE COMMISSION POLICE OFR II	2999	3135	3264	3394	3596	3668	3741
COMMODITIES INSPECTOR	1966	2037	2115	2189	2268	2394	2441
DAANGEROUS DRUGS COMPLIANCE OFFICER I	2240	2336	2431	2522	2619	2768	2823
DAANGEROUS DRUGS COMPLIANCE OFFICER II	2463	2570	2675	2784	2888	3060	3121
DAANGEROUS DRUGS COMPLIANCE OFFICER III	2586	2704	2817	2934	3052	3232	3297
DRUG COMPLIANCE INVESTIGATOR	3575	3750	3926	4108	4281	4546	4637
ENVIRONMENTAL PROTECTION LEGAL INVESTIGATOR I	1966	2037	2115	2189	2268	2394	2441
ENVIRONMENTAL PROTECTION LEGAL INVESTIGATOR II	2148	2228	2321	2405	2497	2636	2688
EXPLOSIVES INSPECTOR I	2350	2449	2559	2656	2759	2919	2978
EXPLOSIVES INSPECTOR II	2717	2843	2962	3083	3208	3399	3467
FINGERPRINT TECHNICIAN	2148	2228	2321	2405	2497	2636	2688
FIRE PREVENTION INSPECTOR I	2463	2570	2675	2784	2888	3060	3121
FIRE PREVENTION INSPECTOR II	2866	2999	3135	3264	3394	3596	3668
GUARD I	1634	1688	1742	1793	1846	1937	1977
GUARD II	1814	1879	1950	2013	2082	2192	2236
GUARD III	2049	2124	2211	2293	2371	2504	2554
LICENSING ASSISTANT	1747	1809	1870	1931	1995	2102	2144
LICENSING INVESTIGATOR I	2049	2124	2211	2293	2371	2504	2554
LICENSING INVESTIGATOR II	2350	2449	2559	2656	2759	2919	2978
LICENSING INVESTIGATOR III	2463	2570	2675	2784	2888	3060	3121

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LICENSING INVESTIGATOR IV	2717	2843	2962	3083	3208	3399	3467
LIQUOR CONTROL SPECIAL AGENT I	2240	2336	2431	2522	2619	2768	2823
MOTORIST ASSISTANCE SPECIALIST	1747	1809	1870	1931	1995	2102	2144
PLANT & PESTICIDE SPECIALIST I	2463	2570	2675	2784	2888	3060	3121
PLANT & PESTICIDE SPECIALIST II	2717	2843	2962	3083	3208	3399	3467
PLUMBING INSPECTOR	3028	3170	3317	3457	3602	3819	3895
POLICE OFFICER I	2704	2817	2934	3052	3232	3297	3363
POLICE OFFICER II	2999	3135	3264	3394	3596	3668	3741
POLICE OFFICER III	to-be-determined						
POLYGRAPH EXAMINER I	3197	3348	3506	3657	3808	4041	4121
POLYGRAPH EXAMINER II	2866	2999	3135	3264	3394	3596	3668
POLYGRAPH EXAMINER III	3197	3348	3506	3657	3808	4041	4121
PRODUCTS & STANDARDS INSPECTOR	3575	3750	3926	4108	4281	4546	4637
SECURITY OFFICER	2148	2228	2321	2405	2497	2636	2688
SECURITY OFFICER SERGEANT	2148	2228	2321	2405	2497	2636	2688
SEED ANALYST I	2240	2336	2431	2522	2619	2768	2823
SEED ANALYST II	2049	2124	2211	2293	2371	2504	2554
SITE SECURITY OFFICER	2148	2228	2321	2405	2497	2636	2688
TRUCK WEIGHING INSPECTOR	1814	1879	1950	2013	2082	2192	2236
VEHICLE EMISSIONS COMPLIANCE INSPECTOR	1966	2037	2115	2189	2268	2394	2441
VEHICLE TESTING COMPLIANCE OFFICER	2148	2228	2321	2405	2497	2636	2688
VITAL RECORDS QUALITY CONTROL INSPECTOR	2463	2570	2675	2784	2888	3060	3121
WAREHOUSE CLAIMS SPECIALIST	3028	3170	3317	3457	3602	3819	3895
WAREHOUSE EXAMINER I	2148	2228	2321	2405	2497	2636	2688
WAREHOUSE EXAMINER II	2463	2570	2675	2784	2888	3060	3121
WAREHOUSE EXAMINER III	2717	2843	2962	3083	3208	3399	3467
WELL INSPECTOR I	2350	2449	2559	2656	2759	2919	2978
WELL INSPECTOR II	2717	2843	2962	3083	3208	3399	3467

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

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- 1) Heading of the Part: Appeal of Foster Family Home License Denials by Relative Caregivers

- 2) Code Citation: 89 Ill. Adm. Code 338

- 3) Section Numbers: Proposed Action:

338.10	New
338.20	New
338.30	New
338.40	New
338.50	New
338.60	New
338.70	New
338.80	New
338.90	New
338.100	New
338.110	New
338.120	New
338.130	New
338.140	New
338.150	New
338.160	New
338.170	New
338.180	New

- 4) Statutory Authority: Section 5 of the Children and Family Services Act [20 ILCS 505/5] (see Public Act 89-21).

- 5) A Complete Description of the Subjects and Issues Involved: These rules describe the appeal process for relatives who provide care for related children who are the legal responsibility of the Department of Children and Family Services and who are denied licensure as a foster family home under the provisions of 89 Ill. Adm. Code 402, Licensing Standards for Foster Family Homes. These rules also provide a temporary appeal process for those relative caregivers who received notice prior to July 1, 1995 that their foster care payments were to be reduced to the Illinois Department of Public Aid child only standard of need amount, but the relative asserts that the home is already licensed or that the home was approved and an application for licensure as a foster family home was made prior to July 1, 1995 and had not yet been denied.

- 6) Will these proposed rules replace an emergency rule currently in effect?  
Yes. Emergency rules published at 19 Ill. Reg. 12305.

- 7) Does this rulemaking contain an automatic repeal date? Yes

- 8) Do these proposed rules contain incorporations by reference? No

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- 9) Are there any proposed amendments to this Part pending? No

- 10) Statement of Statewide Policy Objectives: These rules do not create or expand a State mandate as defined in Section 3(b) of the State Mandates Act [30 ILCS 805/3].

- 11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking:

Comments on this proposed rulemaking may be submitted in writing for a period of 45 days following publication of this notice. Comments should be submitted to:

Jacqueline Nottingham  
Chief, Office of Rules and Procedures  
Department of Children and Family Services  
406 East Monroe, Station # 222  
Springfield, Illinois 62701-1498

Telephone: (217) 524-1983  
TTY: (217) 524-3715

The Department will consider fully all written comments on this proposed rulemaking submitted during the 45-day comment period. Comments submitted by small businesses should be identified as such.

- 12) Initial Regulatory Flexibility Analysis: These rules do not affect small businesses.

- 13) Regulatory Agenda on which this rulemaking was summarized: This rule was not included on either of the 2 most recent agendas because: Public Act 89-21, which was enacted June 8, 1995, specifically amended the Illinois Administrative Procedure Act and found that the State's current financial situation constitutes an emergency. Public Act 89-21 specifically allowed State agencies to use emergency rulemaking to implement the purposes of the Act. These proposed amendments continue the emergency rulemaking to implement some of the budgetary control measures enacted in Public Act 89-21.

The full text of the proposed rules begin on the next page:

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TITLE 89: SOCIAL SERVICES  
 CHAPTER III: DEPARTMENT OF CHILDREN AND FAMILY SERVICES  
 SUBCHAPTER b: PROGRAM AND TECHNICAL SUPPORT

## PART 338

## APPEAL OF FOSTER FAMILY HOME LICENSE DENIALS BY RELATIVE CAREGIVERS

Section	Purpose
338.10	Purpose
338.20	Definitions
338.30	Who May Appeal
338.40	What May Be Appealed
338.50	What May Not Be Appealed
338.60	Concurrent Jurisdiction
338.70	Notices of Department Decisions
338.80	The Appeal Process
338.90	Internal Review
338.100	The Administrative Hearing
338.110	Rights and Responsibilities in Administrative Hearings
338.120	Rules of Evidence
338.130	The Administrative Law Judge
338.140	Combined or Separate Hearings
338.150	Final Administrative Decision
338.160	Records of Administrative Hearings
338.170	Severability of This Part
338.180	Transition Provisions

AUTHORITY: Implementing and authorized by Section 5 of the Children and Family Services Act [20 ILCS 505/5].

SOURCE: Emergency rules adopted at 19 Ill. Reg. 12305, effective August 11, 1995, for a maximum of 150 days; adopted at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

## Section 338.10 Purpose

The purpose of these rules is to explain the internal review and administrative hearing process for relative caregivers providing full-time care to children for whom the Department of Children and Family Services is legally responsible who apply for and are denied a foster family home license. This includes license denials based on background checks, including child abuse/neglect and criminal history information.

## Section 338.20 Definitions

"Administrative hearing" in the context of this Part means a formal review of the Department's decision to deny a foster family home license to the relative who is serving as caregiver of children for

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whom the Department is legally responsible.

"Administrative law judge" means the person who is appointed by the Director of the Department and is responsible for conducting the fair hearing.

"Administrator of the Administrative Hearings Unit" means the person who is responsible for coordinating the administrative hearing appeal process.

"Appeal file" means the correspondence, statements, reports, investigative files, documents and other written material submitted to the Administrative Hearings Unit and the appellant after the commencement of the appeal. It does not include any documents or other material which may be in the custody of any other unit of DCFS, unless the document or material has been submitted to both the appellant and the Administrative Hearings Unit.

"Appellant" means the person who requests a review or administrative hearing or in whose behalf a review and administrative hearing is requested.

"Children for whom the Department is legally responsible" means children for whom the Department has temporary protective custody or guardianship via court order, or children whose parent(s) has signed an adoptive surrender or voluntary placement agreement with the Department.

"Date of action" means the date on which any Department action becomes effective.

"Date of appeal" is the postmark date on the appellant's request to appeal the Department's decision to deny the application for a foster family home license.

"Date of notice" means the date of the written notice of the Department's decision.

"Department's representative" means the person who is responsible for presenting the Department's case.

"Final administrative decision" means the Department's final decision, order or determination on an appealed issue rendered by the Director in a particular case, which affects the legal rights, duties or privileges of participants and which may be further appealed to the circuit court under the Administrative Review Law.

"Full-time care" means the child is a resident of the household,



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whether on a temporary, emergency, or permanent basis, and is receiving family care usually provided by a parent or guardian.

"License" means a document issued by the Department of Children and Family Services which authorizes a relative caregiver to operate a foster family home in accordance with 89 Ill. Adm. Code 402, Licensing Standards for Foster Family Homes, and the provisions of the Child Care Act of 1969 and rules promulgated thereunder.

"Party" to any administrative hearing or other proceeding in the Department is the Department or the appellant as the case may be.

"Relative" for purposes of placement of children for whom the Department is legally responsible, means *any person, 21 years of age or over, other than the parent, who:*

- is currently related to the child in any of the following ways by blood or adoption: grandparent, sibling, great-grandparent, uncle, aunt, nephew, niece, first cousin, great-uncle, or great-aunt, or
- is the spouse of such a relative, or
- is the child's step-father, step-mother, or adult step-brother or step-sister.

Relative also includes a person related in any of the foregoing ways to a sibling of a child, even though the person is not related to the child, when the child and its sibling are placed together with that person. [20 ILCS 505/7(b)]

**Section 338.30 Who May Appeal**

- a) Relative caregivers who have full-time care and custody of a related child for whom the Department is legally responsible have the right to appeal the denial of an application for a foster family home license.
- b) If an appellant has an authorized representative or an individual legally acting on the appellant's behalf, that representative or individual may exercise the rights of the appellant in the appeal process. These rights include the right to:
  - 1) review and copy record material;
  - 2) receive Department notices;
  - 3) speak in the administrative hearing process; and
  - 4) take any other actions permitted an appellant during the appeal process.

**Section 338.40 What May Be Appealed**

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Relative caregivers providing full-time care to related children who have applied for a foster home license and been denied licensure may appeal the denial of a foster family home license.

**Section 338.50 What May Not Be Appealed**

The following circumstances are not appropriate for the appeal process:

- a) when the Department has already made a final administrative decision on the issue as a result of a previous appeal;
- b) when a court has made a judicial decision on the issue being appealed; or
- c) when the appellant has not met the time frame for requesting an appeal.

**Section 338.60 Concurrent Jurisdiction**

If an appeal may be filed under either 89 Ill. Adm. Code 337, Service Appeal Process, or under this Part, the appeal shall be heard under this Part.

**Section 338.70 Notices of Department Decisions**

a) Relative caregivers who apply for a foster family home license have a right to receive a written notice informing them:

- 1) whether their application for licensure is approved or denied;
- 2) if denied, the reason for the denial;
- 3) of their right to appeal a denial of their application; and
- 4) how to file an appeal.

b) All written notices used in this Part shall be in the appellant's primary language.

c) The following notices shall be hand delivered with a certificate of delivery or sent by certified mail, return receipt requested, to "the addressee only":

- 1) the final administrative decision that no genuine issue of material fact exists;
  - 2) the final administrative decision of an administrative hearing; and
  - 3) the first notice of hearing.
- d) All other notices referenced in this Part shall be sent by regular mail.

**Section 338.80 The Appeal Process**

- a) To begin the appeal process the relative caregiver shall request in writing that the Department review its decision to deny the application for license as a foster family home. The request for a hearing must be postmarked within 15 calendar days after the date of notice of the Department's decision to deny the appellant's application for a foster home license. The request must be submitted

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- to the Department staff person designated in the written notice.
- b) If the appellant is unable to request an appeal in writing, the Department or provider agency shall help the appellant put the request in writing upon request.

**Section 338.90 Internal Review**

- a) After the Administrative Hearings Unit has received the appellant's request for an appeal, the Administrator of the Administrative Hearings Unit shall notify the Department that the appellant has appealed and the Department shall send to the Administrator a copy of the notice of denial of the application for a foster family home license. The notice of denial shall be prima facie evidence that the Department had a basis for refusing to license the home.
- b) The Administrator shall ask both the Department and the appellant to submit any documents, records, statements, or other materials pertinent to the Department's denial of the application for licensure to create an appeal file. The Administrator shall further advise the Department and the appellant of the intent to examine the appeal file, including all materials submitted for the appeal file, to determine whether a genuine issue of material fact exists. Within fifteen days after the date of the Administrator's request for materials, both the Department representative and the appellant shall submit to the Administrative Hearings Unit and to the opposing party any and all documents, records, statements, materials, or evidence to establish that the Department's decision to deny the license was either correct or incorrect. Fifteen days after the Administrator's request for materials, the Administrator shall then proceed to complete the internal review based on the materials received.
- c) The Administrator shall examine the entire appeal file, including all materials submitted by both parties, and shall determine if a genuine issue of material fact exists.
- d) If the Administrator determines that no genuine issue of material fact exists, the Administrator shall dismiss the appeal. The letter dismissing the appeal shall be the final administrative decision of the Department.
- e) If the Administrator determines that there is no genuine issue of material fact as to one or more of the major issues in the case but that substantial controversy exists with respect to other major issues, the Administrator shall specify in writing the major issue(s) about which there is no dispute. The Administrator shall direct that a hearing be held only on the contested issues. At the hearing, facts specified by the Administrator as without dispute shall be deemed established and the hearing conducted accordingly. The Administrator shall notify the parties of the matters which may be appealed.
- f) If the Administrator determines that all the issues are contested, the Administrator shall direct that a hearing be held and notify the parties of the decision.

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**Section 338.100 The Administrative Hearing**

- a) The Administrator of the Administrative Hearings Unit may grant a request for a hearing only when:
- 1) the original written request for appeal was postmarked within 15 calendar days after the date of notice to the appellant that the Department has denied the appellant's application for a foster family home license; and
  - 2) the issue is within the jurisdiction of the Administrative Hearings Unit as set forth in Sections 338.30 and 338.40 of this Part.
- b) The Administrator of the Administrative Hearings Unit may dismiss a request for an administrative hearing for the following reasons only:
- 1) the Administrator has determined that no genuine issue of material fact exists pursuant to Section 338.90;
  - 2) the appeal has been withdrawn in writing;
  - 3) the appeal has been abandoned. Abandonment shall be deemed to have occurred if the appellant, the appellant's authorized representative, or an individual legally authorized to act on behalf of the appellant fails to appear at the hearing, and the appellant does not have an adequate cause for failing to appear. Adequate cause for failing to appear at an administrative hearing may include, but is not limited to:
    - A) death in the family of the appellant or in the family of the appellant's representative;
    - B) serious illness of the appellant or the appellant's representative or serious illness in either person's immediate family;
    - C) transportation difficulties that make it impossible for the appellant or representative to appear at the hearing;
    - D) failure of the Department to give notice of the hearing to the appellant or representative at the last known address available to the Department. However, it is the appellant's responsibility to keep the Department updated on any change of address;
  - 4) the issue is not within the jurisdiction of the Administrative Hearings Unit as set forth in Sections 338.30 and 338.40 of this Part;
  - 5) the request for the appeal was not postmarked within 15 calendar days after the date of the notice that the application for license was denied; or
  - 6) the appellant failed to notify the Administrator of the Administrative Hearings Unit of a change of address, and a notice of the administrative hearing cannot be delivered.
- c) If the appeal is not dismissed, the appeal shall be scheduled for hearing.
- d) The Department shall provide written notice of the decision to grant or deny the request for an administrative hearing within 20 calendar

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days after receipt of the request for an administrative hearing. If the Administrator of the Administrative Hearings Unit finds that the issue is not appealable under this Part but can be appropriately heard through another appeal process, in accordance with 89 Ill. Adm. Code 435, Administrative Appeals and Hearings, the Department shall forward the appeal to the proper hearing authority and notify the appellant of this action.

e) The Administrator of the Administrative Hearings Unit shall:

- 1) schedule the hearing at a date within a reasonable time period after the Administrator determines a genuine issue of material fact exists;
- 2) ensure that the administrative hearing is scheduled at a time and place reasonably convenient for all parties. If the parties cannot agree to a reasonably convenient time and place, the Administrator shall make this determination and proceed to schedule the hearing; and
- 3) provide a written notice to the appellant at least 15 calendar days before the scheduled hearing, which shall contain the following information:
  - A) the date, time and location of the hearing;
  - B) a statement that the failure of the appellant or the appellant's representative to appear at the hearing without adequate cause may be deemed an abandonment of the request, thus constituting a waiver by the appellant of the right to a hearing; and
  - C) a statement of the parties' rights during the appeal process.

**Section 338.110 Rights and Responsibilities in Administrative Hearings**

- a) An appellant may bring a representative, including legal counsel, to the hearing. Expenses of a representative or of an appellant's witnesses shall be paid by the appellant.
- b) An appellant may request the Department employee who had direct involvement in the case or other persons who may have information relevant to the issues in dispute to attend the hearing by asking the Administrator of the Administrative Hearings Unit to issue appropriate subpoenas. Witness fees and travel expenses for persons other than Department employees are the responsibility of the party requesting the subpoena.
- c) Any motions from the appellant or the Department shall be filed with the administrative law judge at least ten calendar days before the hearing. Copies shall be sent to the Department's representative and the appellant.
- d) At the appellant's request, the Department shall provide an interpreter at no cost to the appellant if English is not the appellant's primary language or a sign interpreter if the appellant is hearing impaired.

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- e) During the administrative hearing, the appellant and the Department have the right to:
  - 1) present any question witnesses;
  - 2) present any information relevant to the issues;
  - 3) question or disprove any information, including an opportunity to question opposing witnesses; and
  - 4) dispose of any disputed issue by mutually agreeing to a resolution any time prior to the conclusion of the administrative hearing.
- f) In an administrative hearing, the appellant bears the burden of proving, by a preponderance of the evidence, that the Department was in error when it denied the appellant's application for a foster family home license.

**Section 338.120 Rules of Evidence**

- a) All evidence helpful in determining these questions, including oral and written reports, may be relied upon to the extent of its probative value, even though not competent under the common law or statutory rules of evidence.
- b) All Department licensing records and investigatory files shall be admissible to prove the matters contained within the record or investigatory file.

**Section 338.130 The Administrative Law Judge**

a) Appointment of the Administrative Law Judge

The Administrator of the Administrative Hearings Unit shall select and the Director shall appoint a trained, impartial administrative law judge from the available pool to conduct the appeal hearing. The administrative law judge shall:

- 1) possess knowledge and information acquired through training and/or experience relevant to the field of child and family welfare law, including familiarity with Department rules, procedures and functions;
  - 2) not have been involved in the decision to take the action being appealed or have rendered legal advice to the decision maker on the issue; and
  - 3) not have a personal or professional interest that interferes with exercising objectivity or have any bias against the parties or issues appealed. An adverse ruling, in and of itself, shall not constitute bias or conflict of interest.
- b) Functions of the Administrative Law Judge
- The administrative law judge shall have all authority allowed under the Illinois Administrative Procedure Act [5 ILCS 100]. This authority shall include, but is not limited to, the following:
- 1) conduct a fair, impartial and formal hearing in which the strict rules of evidence do not apply;



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- 2) provide for the recording of the hearing;
- 3) inform participants of their individual rights and their responsibilities;
- 4) conduct preliminary and pre-hearing telephone conferences, if necessary, between the parties and/or their attorneys to provide information about the procedural aspects of the hearing, narrow the issues and discuss possible stipulations and contested points of law, in order to expedite the actual hearing;
- 5) take necessary steps to develop a full and fair record which contains all relevant facts;
- 6) administer an oath or an affirmation to all witnesses;
- 7) quash or modify subpoenas for good cause, including but not limited to, relevance, scope, materiality and emotional harm or trauma to the subpoenaed witness;
- 8) preserve all documents and evidence for the record;
- 9) rule upon evidentiary issues and contested issues of law at the hearing or take matters under advisement pending issuance of the written opinion and recommendation;
- 10) order the removal of any person from the hearing room who is creating a disturbance, whether by physical actions, profanity or otherwise engaging in conduct which disrupts the hearing;
- 11) identify the issues, consider all relevant facts and receive or request any additional information necessary to decide the matter in dispute, including but not limited to the submission of briefs, memoranda of law, affidavits or post hearing briefs; and
- 12) present a written opinion and recommendation to the Director within 30 calendar days after the record of the administrative hearing is completed or transcript is received. This report shall include a recommended decision on whether the Department's decision to deny the appellant's application for a foster home license was correct or incorrect based on information considered at the hearing contained in the administrative record. The opinion shall contain findings of fact, conclusions of law and a recommendation.

**Section 338.140 Combined or Separate Hearings**

- a) When a common issue is raised, the Department may respond to requests for hearings from more than one appellant by conducting a single group hearing. The Department may also combine all issues raised by a single appellant in all pending appeals arising under this or any other Department rule in a single hearing. In all group hearings, the appeal system in this Part shall apply. Individuals shall be permitted to present their own cases separately.
- b) The Department, if required for the fair, efficient administration of the hearing or to prevent possible prejudice to the appellant, may sever any party or any issue from the combined hearing. The severed party or issue shall be heard separately.

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**Section 338.150 Final Administrative Decision**

- a) Making the Final Administrative Decision  
The Director of the Department shall receive the recommended decision from the administrative law judge and shall agree, disagree, or modify the recommended decision based upon the preponderance of the evidence. The Director's decision is the final administrative decision of the Department. If the decision requires corrective action by the Department, the Director shall appoint a Department staff person who shall be responsible for assuring compliance with the decision.
- b) Notice of the Availability of Judicial Review  
The Department shall include a notice to appellants as part of the final administrative decision. This notice shall advise the appellants that, under the provisions of the Administrative Review Law [735 ILCS 5/Art. III], they may seek within the statutory time frame judicial review of the Department's decision if it is unfavorable to them.
- c) Who Receives Copies of the Final Administrative Decision  
The appellant or authorized representative, the Department's Licensing Unit, the Department's representative, the administrative law judge (except for notices of internal review decisions), and the Administrator of the Administrative Hearings Unit shall receive a copy of the final administrative decision.

**Section 338.160 Records of Administrative Hearings**

The permanent record of the administrative hearing and the final administrative decision shall be maintained by the Administrator of the Administrative Hearings Unit. All hearing decisions shall be available for public inspection during regular business hours. However, confidential information shall be deleted in conformance with 89 Ill. Adm. Code 431, Confidentiality of Personal Information of Persons Served by the Department, and State and federal laws and rules and regulations on confidentiality.

**Section 338.170 Severability of This Part**

If any Court of competent jurisdiction finds that any Section, clause, phrase, or provision of this Part is unconstitutional or invalid for any reason whatsoever, this finding shall not affect the validity of the remaining portions of this Part.

**Section 338.180 Transition Provisions**

- a) Definitions

"Approved under 89 Ill. Adm. Code 335, Relative Home Placement" means that a relative family home had been approved as meeting the standards of that Part prior to July 1, 1995.

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"Child only standard of need" means the assistance standard for cases in which no adult member is included, as established by the Illinois Department of Public Aid in 89 Ill. Adm. Code 111, Assistance Standards.

"Foster care payment" means the amount paid by the Department for a child's room, board, clothing, and personal allowance in a licensed foster family home.

"Timely application for licensure" means a relative caregiver whose home had been approved under 89 Ill. Adm. Code 335, Relative Home Placement, submitted an application for a foster family home license postmarked no later than June 30, 1995.

- b) Relative Caregivers Not Approved Under 89 Ill. Adm. Code 335, Relative Home Placement
- Relative caregivers whose payments for the care of related children were reduced to the child only standard of need effective July 1, 1995, because the Department determined the relative home was not a licensed foster family home and had not been approved under 89 Ill. Adm. Code 335, Relative Home Placement, may appeal the proposed reduction of these payments, as notified in a letter from the Department to the appellant on June 12, 1995. Requests for a hearing under this subsection must have been postmarked on or before July 31, 1995, as stated in the letter from the Department to the appellant dated June 12, 1995, and submitted to the Bureau of Administrative Hearings, 160 N. LaSalle Street, Sixth Floor, Chicago, Illinois 60601 in order for the request to be accepted. The basis of the appeal must be that the relative caregiver:

- 1) was licensed as of July 1, 1995, under the provisions of 89 Ill. Adm. Code 402, Licensing Standards for Foster Family Homes. If a request for a hearing submitted under this subsection (b)(1) was postmarked no later than June 30, 1995, foster care payments will be continued throughout the appeal process; or
  - 2) had been approved under 89 Ill. Adm. Code 335, Relative Home Placement, and submitted an application for a foster family home license postmarked no later than June 30, 1995, which has not been denied. If a request for a hearing submitted under this subsection (b)(2) was postmarked no later than June 30, 1995, foster care payments will be continued throughout the appeal process, until a decision is made on the application for license, or until September 30, 1995, whichever occurs first.
- c) Relative Caregivers Approved Under 89 Ill. Adm. Code 335, Relative Home Placement, Who Did Not Submit a Timely Application for Licensure
- Relative caregivers whose payments for the care of related children will be reduced to the child only standard of need effective July 1, 1995, because the Department has determined that they were approved under 89 Ill. Adm. Code 335, Relative Home Placement, but who,

## DEPARTMENT OF CHILDREN AND FAMILY SERVICES

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according to Department records, did not submit a timely application for a foster family home license, may appeal the proposed reduction of these payments as notified in a letter from the Department to the appellant on June 12, 1995. Requests for a hearing under this subsection must be postmarked on or before August 31, 1995, and submitted to the Bureau of Administrative Hearings, 160 N. LaSalle Street, Sixth Floor, Chicago, Illinois 60601 in order for the request for a hearing to be accepted. The basis of the appeal must be that the relative caregiver:

- 1) was licensed as of July 1, 1995, under the provisions of 89 Ill. Adm. Code 402. If a request for a hearing submitted under this subsection (c)(1) was postmarked no later than June 30, 1995, foster care payments will be continued throughout the appeal process; or
  - 2) had been approved under 89 Ill. Adm. Code 335, Relative Home Placement, and submitted a timely application for a foster family home license which has not been denied. If a request for hearing submitted under this subsection (c)(2) is postmarked within ten days after the date of notice of the intended reduction of payments, foster care payments will be continued throughout the appeal process, until a decision is made on the application for licensure, or until September 30, 1995, whichever occurs first.
- d) Relative Caregivers Who Reside Out of State
- Relative caregivers whose payments for the care of related children will be reduced to the child only standard of need because they reside outside the State of Illinois and, according to Department records, failed to submit proof postmarked no later than July 15, 1995, to the Interstate Compact Unit, 406 East Monroe Street, Springfield, Illinois 62701 as required by Section 359.4, Payments for Substitute Care Services (89 Ill. Adm. Code 359, Authorized Child Care Payments) may appeal the proposed reduction of these payments. Requests for a hearing under this subsection must be postmarked within thirty days after the date of notice of the intended reduction of payments and submitted to the Bureau of Administrative Hearings, 160 N. LaSalle Street, Sixth Floor, Chicago, Illinois 60601 in order for a request for a hearing to be accepted. The basis of the appeal must be that the relative caregiver submitted proof of licensure, certification, or approval, as required by 89 Ill. Adm. Code 359.4. If a request for hearing submitted under this subsection (d) is postmarked within ten days after the date of notice of the intended reduction of payments, foster care payments will be continued throughout the appeal process.
- e) Recoupment of Overpayments
- If the Department continues the payment at the current level while the appeal is pending and the hearing upholds the Department's decision to reduce the payment, the Department shall fully recoup the amount of overpayments made. This may be achieved by reducing future payments made by the Department to the appellant or by other appropriate action against the appellant's income or resources, as provided in Section

## DEPARTMENT OF CHILDREN AND FAMILY SERVICES

## NOTICE OF PROPOSED RULES

402 of the Social Security Act (42 U.S.C.A. 602(a)(22)). When an overpayment results from willful misstatements made by the appellant to the Department, or from the willful withholding of relevant information by the appellant from the Department, the Department may recoup the overpayment from any available income and resources as provided in 45 CFR Section 233.20(12).

## DEPARTMENT OF INSURANCE

## NOTICE OF PROPOSED AMENDMENTS

1) Heading of the Part: Uniform Medical Claim and Billing Forms

2) Code Citation: 50 Ill. Adm. Code 2017

3) Section Numbers: Proposed Action:

2017.20

Amended

2017.30

Amended

2017.40

Amended

2017.50

Amended

2017.60

Amended

2017.70

Amended

4) Statutory Authority: Implementing and authorized by Section 143.31 of the Illinois Insurance Code [215 ILCS 5/143.31].

5) A Complete Description of the Subjects and Issues Involved: Pursuant to P.A. 88-662, as of January 1, 1996, providers as defined in this Part, are required to use uniform claim and billing forms as defined in this Part.

6) Will this proposed amendment replace emergency rule currently in effect?  
No

7) Does this amendment contain an automatic repeal date? No

8) Does this proposed amendment contain incorporations by reference? No

9) Are there any other proposed amendments pending on this Part? No

10) Statement of Statewide Policy Objectives: These amendments will not necessitate that the Department establish, expand or modify its activities in such a way as to necessitate additional expenditures from local revenues.

11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Persons who wish to comment on this proposed rulemaking may submit written comments no later than 45 days after the publication of this Notice to:

Tim Cena, Deputy Counsel

Mary Meyer

Department of Insurance

Department of Insurance

James R. Thompson Center

320 West Washington

100 W. Randolph Street

Springfield, IL 62767-0001

Chicago, IL 60601-3251

(217) 785-8220

(312) 814-2420

12) Initial Regulatory Flexibility Analysis: The Department has determined that these amendments will not impact small businesses.



## DEPARTMENT OF INSURANCE

## NOTICE OF PROPOSED AMENDMENTS

- 13) Regulatory Agenda on which this amendment was summarized: July 1995  
The full text of the Proposed Amendment begins on the next page:

## DEPARTMENT OF INSURANCE

## NOTICE OF PROPOSED AMENDMENTS

TITLE 50: INSURANCE  
CHAPTER I: DEPARTMENT OF INSURANCE  
SUBCHAPTER 2: ACCIDENT AND HEALTH INSURANCE

PART 2017  
UNIFORM MEDICAL CLAIM AND BILLING FORMS

Section	Purpose
2017.10	Purpose
2017.20	Applicability and Scope
2017.30	Definitions
2017.40	Requirements for Use of HCFA Form 1500
2017.50	Requirements for Use of UB92/HCEA Form 1450
2017.60	Requirements for Use of J510/J511/J512 Form
2017.70	General Provisions

**AUTHORITY:** Implementing and authorized by Section 143.31 of the Illinois Insurance Code [215 ILCS 5/143.31].

**SOURCE:** Adopted at 18 Ill. Reg. 12777, effective August 9, 1994; amended at 19 Ill. Reg. \_\_\_\_\_, effective January 1, 1996.

**Section 2017.20 Applicability and Scope**

- a) Except as otherwise specifically provided, the requirements of this Part apply to health plans, health care practitioners and institutional health care providers ~~issuers~~.
- b) A health plan An-issuer or provider of health care treatment shall not refuse to accept a claim or bill submitted on the uniform claim and billing forms defined in Section 2017.30 of this Part. ~~An-issuer however, may accept--claims--and--bills--submitted--on--any--other--forms--~~
- c) The adoption of uniform claim forms and uniform billing forms by the Director under this Part does not preclude a health plan an-issuer hospital--medical--or--dental--service--corporation--or--other--prepayment organization from obtaining any necessary additional information regarding a claim from the claimant, provider of health care or treatment, or certifier of coverage, as may be required.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective January 1, 1996)

**Section 2017.30 Definitions****~~As-used-in-this-Part:~~**

CDT Codes means the current dental terminology prescribed by the American Dental Association.

CPT Codes means the current procedural terminology published by the

## DEPARTMENT OF INSURANCE

## NOTICE OF PROPOSED AMENDMENTS

American Medical Association.

HCFA means the Health Care Financing Administration of the U.S. Department of Health and Human Services.

HCFA Form 1500 means the current health insurance claim form published by HCFA, or its revision following the effective date of this Part, for use by health care practitioners.

HCPCS Codes means the HCFA's Common Procedure Coding System that is based upon the current American Medical Association's (AMA) Physician Current Procedural Terminology.

HCPCS Level 1 Codes means the AMA's current CPT codes with the exception of anesthesiology services.

HCPCS Level 2 Codes means the codes for physician and non-physician services that are not included in current CPT.

## Health Care Practitioner means:

A chiropractor licensed under the Medical Practice Act of 1987 [225 ILCS 60] to treat human ailments without the use of drugs and without operative surgery.

A dentist licensed under the Illinois Dental Practice Act [225 ILCS 25].

A nurse licensed under the Illinois Nursing Act of 1987 [225 ILCS 65].

An occupational therapist licensed under the Illinois Occupational Therapy Practice Act [225 ILCS 75].

An optometrist licensed under the Illinois Optometric Practice Act of 1987 [225 ILCS 80].

A pharmacist licensed under the Pharmacy Practice Act of 1987 [225 ILCS 85].

A physical therapist licensed under the Illinois Physical Therapy Act [225 ILCS 90].

A physician licensed under the Medical Practice Act of 1987 [225 ILCS 60] to practice medicine in all of its branches.

A podiatrist licensed under the Podiatric Medical Practice Act of 1987 [225 ILCS 100].

## DEPARTMENT OF INSURANCE

## NOTICE OF PROPOSED AMENDMENTS

A psychologist licensed under the Clinical Psychologist Licensing Act [225 ILCS 15].

A social worker licensed under the Clinical Social Work and Social Work Practice Act [225 ILCS 20].

A speech-language pathologist and/or audiologist licensed under the Illinois Speech-Language Pathology and Audiology Practice Act [225 ILCS 110].

Other Health Care Practitioners licensed by the Illinois Department of Professional Regulation.

A supplier of health care services not described herein, including but not limited to a physician assistant, nurses aide, or supplier of durable medical equipment.

ICD-CM Codes means the disease codes in the current International Classification of Diseases, clinical modifications published by the U.S. Department of Health and Human Services.

## Institutional Health Care Provider means:

Ambulatory Surgical Treatment Center licensed under the Ambulatory Surgical Treatment Center Act [210 ILCS 5].

Home Health Agency licensed under the Home Health Agency Licensing Act [210 ILCS 55].

Hospice licensed under the Hospice Program Licensing Act [210 ILCS 60].

Hospital licensed under the Hospital Licensing Act [210 ILCS 85].

Skilled Nursing and Intermediate Care Facility licensed under the Nursing Home Care Act [210 ILCS 45].

Trauma Center licensed under the Emergency Medical Services (EMS) Systems Act [210 ILCS 50].

Other Institutional Health Care Providers licensed by the Illinois Department of Public Health.

Health Plan ~~Issuer~~ means an insurance company, fraternal benefit society, dental service plan, limited health service organization, pharmaceutical service plan, vision service plan, voluntary health services plans ~~health-care-service-plan~~, health maintenance organization, workers' compensation insurance, and third party

## DEPARTMENT OF INSURANCE

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administrator, third party prescription program administrator, and any other entity paying or reimbursing the costs of health care expenses.

J510, J511 or J512 Form means the current uniform dental claim form or its revision following the effective date of this Part, approved by the American Dental Association for use by dentists.

Medicare means the Health Insurance for the Aged Act, Title XVIII of the Social Security Amendments of 1965, as then constituted or later amended.

Medical Assistance or Medicaid means Title XIX of the federal Social Security Act (42 U.S.C. 1396, et seq.) as then constituted or later amended.

Revenue Codes means the current codes established for use by institutional health care providers by the National Uniform Billing Committee and the Illinois Uniform Billing Committee.

UB92/HCFR Form 1450 means the current health insurance claim form, or its revision following the effective date of this Part, developed by the National Uniform Billing Committee for use by institutional health care providers.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective January 1, 1996)

## Section 2017.40 Requirements for Use of HCFA Form 1500

a) Health plans ~~issuers~~ shall accept an appropriately completed HCFA Form 1500 from health care practitioners. Health care practitioners, other than dentists, shall use the HCFA Form 1500 when filing claims with health plans for professional services. Health care practitioners that bill patients directly shall provide a properly completed HCFA Form 1500 in addition to any other explanatory information used to bill the patient when requested by the patient.

b) Health plans ~~issuers~~ shall not require health care practitioners to use any coding system for the filing of claims for health care services other than the following:

- 1) current HCPCS Codes or current CPT Codes;
- 2) current ICD-CM Codes; and
- 3) For anesthesia services, current HCPCS Level 1 Codes.

c) Health plans ~~issuers~~ shall not require health care practitioners to use any other descriptor with a code or to furnish additional information with the submission of an HCFA Form 1500 except under the following circumstances:

- 1) When the procedure code used describes a treatment or service that is not otherwise classified; or
- 2) When the procedure code is followed by the CPT modifier 22, 52

## DEPARTMENT OF INSURANCE

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or 99. Health care practitioners may use Box 19 of the HCFA Form 1500 to explain multiple modifiers.

d) Health care practitioners may use Box 19 of the HCFA Form 1500 to indicate the form is an amended version of a form previously submitted to the health plan ~~issuer~~ by inserting the word "amended" in the space provided.

e) Health care practitioners billing for services based on the amount of time involved shall define in Box 19 the time interval in Box 24 G of the HCFA Form 1500. If not defined, units will be assumed to be days of treatment.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective January 1, 1996)

## Section 2017.50 Requirements for Use of UB92/HCFR Form 1450

a) Institutional health care providers shall use the UB92/HCFR Form 1450 when filing claims with health plans for health care services. Institutional health care providers that bill patients directly shall provide a properly completed UB92/HCFR Form 1450 in addition to any other explanation information used to bill the patient when requested by the patient.

b) ~~a~~ Health plans ~~issuers~~ shall accept the UB92/HCFR Form 1450 from institutional health care providers when completed in accordance with instructions provided by the National Uniform Billing Committee and the Illinois Uniform Billing Committee.

c) ~~b~~ Health plans ~~issuers~~ shall not require institutional health care providers to use any coding system for the filing of claims for health care services other than the following:

- 1) ICD-CM Codes;
- 2) Revenue Codes;
- 3) HCPCS Codes or CPT Codes.

The ICD-CM Codes, Revenue Codes, HCPCS and CPT Codes must be used in accordance with the instructions provided by the National Uniform Billing Committee and the Illinois Uniform Billing Committee.

d) ~~c~~ Institutional providers may use the HCFA Form 1500 to supplement a UB92/HCFR Form 1450 if necessary.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective January 1, 1996)

## Section 2017.60 Requirements for Use of J510/J511/J512 Form

a) Dentists shall use the J510/J511/J512 Form and instruction provided by the American Dental Association CDT-1 for use of the J510/J511/J512 Form for filing claims with health plans for professional services. Dentists that bill patients directly shall provide a properly completed J510/J511/J512 Form in addition to any other form used to bill the patient when requested by the patient.



## DEPARTMENT OF INSURANCE

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- b) ~~a)~~ Health plans ~~issuers~~ shall accept the J510/J511/J512 Form from dentists when completed in accordance with instructions provided by the American Dental Association.
- c) ~~b)~~ Health plans ~~issuers~~ shall not require a dentist to use any code other than the CPT codes for the filing of claims for dental care services or to routinely furnish additional information with the submission of a J510/J511/J512 Form, unless the use of supplemental codes is defined and permitted in a written contract between the issuer and dentist.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective January 1, 1996)

## Section 2017.70 General Provisions

- a) Nothing in this Part shall preclude the filing of a claim electronically.
- b) Health plans ~~issuers~~ shall accept forms submitted in compliance with this Part for the processing of claims.
- c) Health care practitioners, institutional health care providers and health plans ~~issuers~~, ~~it using the forms referenced in this Part~~, shall use and accept the most current editions of the HCFA Form 1500, UB92/HCFA Form 1450 or J510/J511/J512 Form.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective January 1, 1996)

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Real Estate Appraiser Certification
- 2) Code Citation: 68 Ill. Adm. Code 1455
- 3) Section Numbers:
- |          |           |
|----------|-----------|
| 1455.70  | Amendment |
| 1455.200 | Amendment |
| 1455.210 | Repealed  |
| 1455.300 | Amendment |
| 1455.305 | New       |

- 4) Statutory Authority: Implementing Article 2 of the Real Estate License Act of 1983 [255 ILCS 455/Art. 2] (see P.A. 89-0023, effective July 1, 1995) and authorized by Section 60(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/60(7)].

- 5) A Complete Description of the Subjects and Issues Involved: Section 1455.305 is a new Section of the rules adopted pursuant to the Real Estate License Act of 1983. It sets forth the fees to be paid by applicants and licensees under the Act for initial license and license renewal for State licensed real estate appraisers, certified general and certified residential real estate appraisers, and appraisers education providers. It also sets forth fees to be paid in relation to pre-license/certification and continuing education course approval. It also sets forth general fees relating to administration of the Act to cover the costs of such things as duplicate licenses, certification of a licensee's record, wall licenses, licensee rosters, examinations, and copies of records of proceedings. Section 1455.305 replaces statutory fee provisions in the Act which were repealed.

In conjunction with creating the new Section 1455.305 on fees, this rulemaking repeals existing Section 1455.210 which set forth fees and other requirements relating to education providers and courses. The fee language from Section 1455.210 is replaced by new language in Section 1455.305. Other non-fee language from Section 1455.210 is moved to Section 1455.300.

Similarly, language from existing Section 1455.70 relating to temporary permit fees is deleted and replaced by language in new Section 1455.305.

Section 1455.200 is modified to provide that State agencies, like Illinois colleges and universities, will not be required to pay application fees required for education providers.

Sections 1455.70, 1455.200, and 1455.300 are amended to update references reflecting creation of the new Section 1455.305.

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Section 1455.305 is patterned largely on the old fee structure formerly set by statute. After consultation and agreement with industry representatives, the levels of the various fees established in Section 1455.305 have been established pursuant to Section 36.6 of the Act which provides for the promulgation by rule of fees to be paid by applicants and licensees "to cover the reasonable costs (of the Commissioner's Office) in administering and enforcing the provisions" of Article 2 of the Act and to "provide by rule for general fees to cover the reasonable expenses of carrying out other functions and responsibilities" under Article 2 of the Act.

The only difference between the Emergency and Proposed Amendments can be found in new Section 1455.305(a) and (b). To accommodate an imminent, major two-year renewal for appraisers, appraiser application and renewal fees are being left at previous levels effective through November 30, 1995, when they will be replaced by new, permanent fee levels. The Emergency Amendments reflect both the old and new fee levels; the Proposed Amendments reflect only the new permanent fee levels.

Similar fee rules under the Act applying to licensed real estate salespersons, brokers, and education providers are being promulgated in a separate rulemaking reflecting the separate treatment of salesperson and broker regulation under the Act.

Section 10(g) of the real Estate Regulation Transfer Act (P.A. 89-23; effective July 1, 1995) provided that the "rules adopted by the Department of Professional Regulation relating to the powers and/or duties transferred to the (Commissioner's Office) under this Act are not affected by this Act, except that on July 1, 1995, those rules become the rules of the (Commissioner's Office)." Because of this, Section 1455.305 is being added to rules which appear to be rules of the Department of Professional Regulation, but which in fact have now been made rules of the Office of the Commissioner of Savings and Residential Finance. The Commissioner's Office intends to promulgate a clean-up revisory of these rules to update agency names, references, etc., in conjunction with the transfer of real estate regulation, but the immediate need for a fee structure requires emergency rulemaking on fees before the revisory clean-up will be promulgated.

- 6) Will this rulemaking replace any emergency rulemaking currently in effect?  
Yes
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Does this rulemaking contain incorporations by reference? No
- 9) Are there any other proposed rulemakings pending on this part? No

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

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- 10) Statement of Statewide Policy Objectives: This rule will not affect local government.

- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Interested parties should submit written comments or views concerning the proposed rulemaking to the attention of:

Mr. John E. Arthur, Legislative Liaison  
Commissioner of Savings & Residential Finance  
500 East Monroe Street, Suite 800  
Springfield, Illinois 62701-1509  
Telephone: (217) 782-6169.

The Agency will consider all written comments it receives in writing within 45 days of the date of publication of the Illinois Register.

- 12) Initial Regulatory Flexibility Analysis:

- A) Types of small businesses, affected: None
- B) Reporting, bookkeeping or other procedures required for compliance: None
- C) Types of professional skills necessary for compliance: None

- 13) Regulatory Agenda on which this rulemaking was summarized: July 1995

The full text of the Proposed Amendments begins on the next page:

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

## NOTICE OF PROPOSED AMENDMENT

## TITLE 68: PROFESSIONS AND OCCUPATIONS

## CHAPTER VII: DEPARTMENT OF PROFESSIONAL REGULATION

## SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

## PART 1455

## REAL ESTATE APPRAISER CERTIFICATION

## SUBPART A: RESIDENTIAL AND GENERAL CERTIFICATION

Section	
1455.10	Definitions
1455.15	Uniform Standards of Professional Appraisal Practice
1455.16	Jurisdictional Exceptions/Supplemental Standards
1455.20	Education and Experience Requirements for State Licensed Real Estate Appraiser
1455.30	Education and Experience Requirements for Certified Residential and Certified General Real Estate Appraiser
1455.40	Application as a State Licensed Real Estate Appraiser, Certified Residential Real Estate Appraiser or Certified General Real Estate Appraiser
1455.50	Examination
1455.60	Nonresident Licensure/Certification
1455.70	Nonresident/Temporary Practice

## SUBPART B: EDUCATION PROVIDERS

Section	
1455.200	Approval of Education Providers/Courses
1455.205	Appraiser Continuing Education (CE)
1455.210	Fees - Education Providers/Courses (Repealed)

## SUBPART C: GENERAL

Section	
1455.300	Renewals
1455.305	Fees
1455.310	Granting Variances

**AUTHORITY:** Implementing Article 2 of the Real Estate License Act of 1983 [225 ILCS 455/Art. 2] and authorized by Section 60(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/60(7)].

**SOURCE:** Emergency rules adopted at 16 Ill. Reg. 16196, effective September 30, 1992, for a maximum of 150 days; rules adopted at 17 Ill. Reg. 1589, effective January 26, 1993; emergency amendment at 17 Ill. Reg. 6668, effective April 19, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 13494, effective July 30, 1993; amended at 18 Ill. Reg. 2379, effective January 28, 1994; emergency amendment at 18 Ill. Reg. 3006, effective February 10, 1994,

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

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for a maximum of 150 days; amended at 18 Ill. Reg. 8428, effective May 24, 1994; amended at 19 Ill. Reg. 9176, effective June 26, 1995; emergency amendment at 19 Ill. Reg. \_\_\_\_\_, effective August 16, 1995, for a maximum of 150 days; amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_,

## SUBPART A: RESIDENTIAL AND GENERAL CERTIFICATION

## Section 1455.70 Nonresident/Temporary Practice

- a) A nonresident appraiser, licensed/certified in another jurisdiction, may apply for a temporary appraisal practice permit by filing with the Department, on an application provided by the Department. The information submitted on the application shall include, but not be limited to, the following:
- 1) The applicant's name, address, social security number, any other such information as might be necessary to identify the applicant.
  - 2) A certification from the agency in the applicant's home state of licensure/certification, certifying that the applicant is a duly licensed/certified real estate appraiser in good standing; and, setting forth any discipline taken (or pending) by the agency against the applicant.
  - 3) An estimate of the amount of time required to perform the appraisal assignments(s) and a description of the property or properties to be appraised by the applicant.
  - 4) An irrevocable consent that service of process in any action against the applicant that may arise from the applicant's Illinois appraisal activities may be made by delivery of process on the Illinois Appraisal Administrator.
  - 5) Such other information as may be necessary to determine the applicant's eligibility for temporary appraisal privileges within the State of Illinois.
- b) Limitations and requirements for temporary appraisal practice are as follows:
- 1) The temporary practice permit shall be for a period of 60 days from the date of issuance. The permit may not be renewed but may be extended for 30 days upon written request and payment of an extension fee, at least 14 business days prior to the expiration of the original temporary practice permit;
  - 2) Each applicant is limited to 2 temporary appraisal practice permits in any calendar year;
  - 3) The fee for each temporary permit shall be \$80.00; shall accompany the application and is non-refundable; the fee for extension of an appraisal permit is \$80.00; shall accompany the written request for extension and is not refundable;
  - 4) Persons granted temporary appraisal practice permits shall not advertise, solicit or otherwise represent themselves as State Licensed Real Estate Appraisers, Certified Residential Real



## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

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Estate Appraisers or Certified General Real Estate Appraisers; and

45) Applicants will be required to pay any fee required by the federal government under Title XI of the Federal Institutions Reform, Recovery and Enforcement Act of 1989.

(Source: Amended at 15 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## SUBPART B: EDUCATION PROVIDERS

## Section 1455.200 Approval of Education Providers/Courses

a) An entity seeking approval as an appraisal education provider shall submit an application, on forms provided by the Department, and shall meet the following minimum criteria:

- 1) The provider shall:
  - A) Maintain a fixed office that is adequate for the maintenance of all records, office equipment, files, telephone equipment and office space necessary for customer service;
  - B) Offer a minimum of one curriculum that conforms to the standards of subsections (c) and (d) of this Section;
  - C) Administer a mandatory final examination for each pre-license course offering;
  - D) Provide each student within 21 days of completion of each course (or within 21 days of a request by a student or the Department), a certification of completion, transcript or other document verifying hours of attendance, successful course completion and identifying the course by name and number, if any. In addition, such certificate, transcript or other document shall indicate the provider's address and telephone number, the location and date of the course, and include an authorized signature of the course provider's representative. Documentation for CE courses may be in the form of a Uniform Request for Continuing Education, which is a form supplied by national appraisal organizations;
  - E) Submit the fee(s) set forth in Section 1455.305 +455-210;
  - F) Comply with all applicable fire, building, zoning, health, safety and accessibility codes and standards pertaining to the premises, equipment and facilities of the course site;
  - G) Provide the student with information which specifies the course of study to be offered; the tuition to be charged; the school's policy regarding refund of unearned tuition when a student is dismissed or withdraws voluntarily or through hardship; any additional fee to be charged for supplies, materials or books which become the property of the student upon payment; and such other matters as are material to the relationship between the school and the

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

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student (e.g., cost of retaking a course, current status of licensure, any disciplinary action taken by the Department and attendance requirements):

- H) Maintain for each student a record which shall include the course of instruction undertaken, dates of attendance, and areas of study completed satisfactorily. Each student's record shall be maintained by the school for a period of at least 7 years and shall be available for inspection by the student or by the Department or its designee during regular business hours; and
- I) Employ competent instructors.
  - i) Beginning December 31, 1993, instructors for courses in the IL IV and IL V curricula shall be Certified General Real Estate Appraisers or full time faculty members of a 4-year college or university.
  - ii) Beginning December 31, 1993, instructors for courses in the IL I, IL II and IL III curricula shall be Certified Residential or Certified General Real Estate Appraisers or full time faculty members of a 4-year college or university.
  - iii) For CE courses and courses in the IL E curriculum, instructors should be Certified Residential or General Real Estate Appraisers or persons with education and/or experience in appraisal or the subject matter of the course.

- 2) Approved course providers shall not advertise as being endorsed, recommended or accredited by the Department. Course providers may indicate that the provider and course of study have been approved by the Department.

## 3) Illinois Colleges, Universities, and Agencies

- A) Colleges and universities which apply as appraisal education providers under subsection (a)(1) above shall be accredited by the regional accrediting body and offer either or both an associate's and baccalaureate degree program.
- B) Illinois Colleges and universities will not be required to pay the application fees required by Section 1455.305 +455-210.
- C) Agencies under the jurisdiction of the Governor of the State of Illinois will not be required to pay the application fees required for education providers by Section 1455.305.

## b) Appraisal Education Sub-Providers

- 1) Sub-organizations (such as chapters, branch schools and local associations) may seek CE course approval (licensure) under the appraisal education provider's license of the parent organization. Such sub-providers may not seek approval for pre-license appraisal courses. Sub-providers may offer pre-license courses as a co-sponsor with the parent provider.
- 2) Sub-organizations need not apply to the Department to become an

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

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approved CE course provider but may seek course approval under the providership of the parent organization.

A) A sub-provider need not comply with (A), (C), (D) or (H) of subsection (a)(1) of this Section.

B) The license of the parent organization may not be jeopardized or disciplined as a result of the actions of the sub-provider.

3) The appraisal education sub-provider, on each application for CE course approval, must certify:

A) The sub-organization has reviewed the CE course and approves the course content;

B) The sub-organization is an authorized affiliate of the parent organization;

C) The parent organization has given the sub-organization permission to seek course approval (licensure) under the umbrella of the parent organization's provider's license; or, that the parent organization will recognize the course for CE credit within its own CE program.

4) Each CE course sub-provider shall issue to each registered student a certificate of attendance that shall indicate the student's name, social security number or appraiser license/certification number, the date(s) and location of the course, the signature of an authorized representative of the sub-provider and a statement that the student did or did not attend a minimum of 90% of the course. A certificate of attendance may be in the form of a course attendance diploma, a certification letter, an official transcript or a "Uniform Request for Continuing Education Credit".

5) Within twenty-one (21) days after completion of each CE course presentation, the sub-provider shall certify to the Department, Office of the Appraisal Administrator, a roster of all duly registered students. The certification shall be on forms provided by the Department and shall include:

A) The CE course license number;

B) The license number of the parent provider;

C) The date(s) and location of the CE presentation;

D) The name of the instructor(s);

E) A listing of students by full name, appraiser license/certification number (or social security number) and an indication that the student did or did not attend a minimum of 90% of the course (the names shall be listed in alphabetical order); and

F) The authorized signature of a representative of the sub-organization.

c) Required Pre-License/Certification Course Curriculum

1) Standards of Professional Appraisal Practice--15 hours (IL I). This course curriculum reviews USPAP adopted by the Appraisal Subcommittee. Topics are:

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A) Ethics Provision - USPAP

B) Competency Provision - USPAP

C) Departure Provision - USPAP

D) Standard 1 - USPAP

E) Standard 2 - USPAP

F) Standard 3 - USPAP

G) Standard 4 - USPAP

H) Standard 5 - USPAP

I) Standard 6 - USPAP

2) Basic Principles of Appraisal--30 hours (IL II). This course curriculum shall include an overview of the appraisal process covering the principles of market and valuation analysis necessary for appraising real property and an introduction to appraisal theory, concepts, techniques and the level of competence required to perform professional appraisal analyses. Topics are:

A) Influences on Real Estate

B) Real Estate/Real Property/Personal Property

C) Real Estate Ownership

D) Legal Descriptions

E) Types of Value

F) Economic Principles

G) Real Estate Markets and Market Analysis

H) Money and Capital Markets

I) Real Estate Financing

J) Valuation Process

K) Neighborhood Data and Analysis

L) Site Data and Analysis

M) Improvement Data and Analysis

N) Basic Construction and Design

O) Highest and Best Use Analysis

P) Sources of Valuation Data

Q) Accumulation of Valuation Data

R) Overview of the Three Approaches to Value

S) Reconciliation and Final Value Estimate

T) Overview of the Appraisal Report

3) Residential Valuation Procedures/Single Family Appraisal--30 hours (IL III). This course curriculum shall be designed to provide an understanding and working knowledge of the procedures and techniques required to estimate the market value of residential properties. Emphasis should be placed on the extraction of data and the correct application of the three approaches to real estate valuation. Topics are:

A) Basic Statistics

B) Residential Site Valuation - Sales Comparison

C) Residential Site Valuation - Allocation

D) Residential Site Valuation - Extraction

E) Cost Approach - Cost New Estimates

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- F) Cost Approach - Entrepreneurial Profit  
 G) Cost Approach - Types of Depreciation  
 H) Cost Approach - Depreciation - Age-Life Method  
 I) Cost Approach - Depreciation - Market Extraction Method  
 J) Cost Approach - Depreciation - Breakdown Method  
 K) Cost Approach - Application  
 L) Sales Comparison Approach - Units of Comparison  
 M) Sales Comparison Approach - Elements of Comparison  
 N) Sales Comparison Approach - Cash Equivalency  
 O) Sales Comparison Approach - Making Adjustments  
 P) Sales Comparison Approach - Application  
 Q) Income Capitalization Approach - Gross Rent Estimates  
 R) Income Capitalization Approach - Gross Rent Multiplier  
 S) Income Capitalization Approach - Application  
 T) Residential Appraisal Reports
- 4) Valuation Procedures, Nonresidential Properties--30 hours (IL IV). This course curriculum focuses on the appraisal of nonresidential properties and provides a practical solution for estimating value by an in-depth study of appraisal theory and the development of advanced valuation skills. Topics are:
- A) Basic Statistics  
 B) Site Valuation - Sales Comparison  
 C) Site Valuation - Allocation/Extraction  
 D) Site Valuation - Subdivision Analysis/Other Methods  
 E) Cost Approach - Cost New Estimates  
 F) Cost Approach - Entrepreneurial Profit  
 G) Cost Approach - Types of Depreciation  
 H) Cost Approach - Depreciation - Age-Life Method  
 I) Cost Approach - Depreciation - Market Extraction Method  
 J) Cost Approach - Depreciation - Breakdown Method  
 K) Cost Approach - Application  
 L) Sales Comparison Approach - Units of Comparison  
 M) Sales Comparison Approach - Elements of Comparison  
 N) Sales Comparison Approach - Cash Equivalency  
 O) Sales Comparison Approach - Making Adjustments  
 P) Sales Comparison Approach - Application  
 Q) Income Approach - Income Estimates  
 R) Income Approach - Expense Estimates  
 S) Income Approach - Capitalization Rates  
 T) Income Approach - Direct Capitalization  
 U) Income Approach - Income Multipliers  
 V) Income Approach - Application  
 W) Appraisal Reports
- 5) Income Capitalization--30 hours (IL V). Courses in this curriculum are to provide alternative methods of estimating present value based on income forecasts. There courses focus on more advanced capitalization methods and techniques. Topics include:

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- A) Six Functions of SI  
 B) Gross Income Estimates  
 C) Vacancy and Collection Loss  
 D) Operating Expense Estimates  
 E) Reserves for Replacement  
 F) Operating Statement Ratios and Multipliers  
 G) Debt Service/Equity Dividend  
 H) Direct Capitalization  
 I) Overall Rate Development - Market Extraction  
 J) Overall Rate Development - Band of Investment  
 K) Overall Rate Development - Ratios/Multipliers  
 L) Overall Rate Development - Residual Techniques  
 M) Equity Dividend Rate  
 N) Debt Coverage Ratio  
 O) Cash Flow Estimates  
 P) Reversion Estimates  
 Q) Discount and Yield Rates  
 R) Yield Capitalization Overview  
 S) Discounted Cash Flow Analysis Overview  
 T) Lease Provisions, Analysis and Valuation  
 U) Lease Analysis  
 V) Partial Interest Valuation
- 6) Courses in the IL E curriculum (electives) are courses with topics that are considered more advanced; and/or cover appraisal topics not covered in the core course curricula. Credit for elective hours can be achieved by successful completion of courses approved in the IL E curriculum or by successful completion of courses with excess hours approved and allocated for elective credit in accordance with subsection (c)(9) of this Section.
- 7) Each pre-license/certification course shall be a minimum of 15 credit hours.
- 8) All pre-license/certification courses shall include a final examination.
- A) Each final exam for curricula IL II, IL III, IL IV, IL V and IL E (elective) courses shall consist of a minimum of 50 questions; however, courses approved for 15 hours credit may have a final examination with 25 questions.
- B) The final exam for IL I courses shall consist of a minimum of 25 questions.
- C) The applicant shall pass the examination in order to obtain credit for a course. A passing score shall be a minimum of 70% of examination questions answered correctly.
- 9) If 80% of the required topics for IL II through IL V courses are presented, the course shall be approved for the minimum required hours. Two 15 hour courses from a single provider may be approved to meet a 30 hour curriculum requirement, provided the courses together cover a minimum of 80% of the required



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contribute to the integrity, extension and enhancement of professional skills and knowledge in the practice of Real Estate Appraisal. Courses submitted for approval should be designed to cover at least one of the following topics:

- A) Ad Valorem Taxation
- B) Arbitration
- C) Business Courses (related to practice of real estate appraisal)
- D) Construction Cost Estimating
- E) Ethics and Standards of Professional Practice
- F) Illinois Appraiser Licensing Laws and/or Rules
- G) Land Use, Planning, and Zoning
- H) Property Development
- I) Real Estate Appraisal (valuation/evaluation)
- J) Real Estate Management, Leasing, Brokerage, Timeshare
- K) Real Estate Law
- L) Real Estate Litigation
- M) Real Estate Finance or Investment
- N) Appraisal Computer Applications
- O) Real Estate Securities and Syndications
- P) Real Property Exchange
- Q) Other topics deemed appropriate by the Committee/Administrator.

- 5) The Committee/Administrator shall not approve:
  - A) Motivation courses or seminars
  - B) Courses that focus instruction to increase appraiser income
  - C) Courses or seminars that focus on the recruitment of employees or clients
  - D) Courses or seminars with instructional material relative to associations
  - E) Courses or seminars with instructional material relative to passing the State's appraiser examination
  - F) Having less than three classroom hours of instruction exclusive of examination (if any)
  - G) A course for more than 20 hours CE credit
- 6) Subsequent to approval of any CE course, revisions in course content and/or course material shall be submitted for re-evaluation and re-approval. Failure to report course changes may result in revocation of the CE course license. The fee for re-approval shall be in accordance with Section 1455.305.
- 7) Approval (license) for CE courses shall expire on March 31 of even numbered years. The provider or sub-provider may renew the approval (license) by completing a renewal application and paying the renewal fee, in accordance with Sections 1455.300 and 1455.305.
- 8) Audits and Inspections. The Department may conduct on site inspections of the course provider's (or sub-provider's) place of

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curriculum topics. An application for one 15 hour course in a curriculum requiring 30 hours will be denied. For courses in the IL I curriculum 100% of the listed topics must be covered. IL E courses will be approved based upon the Committee's review of the course as to the value of topics to be presented and their relationship to the appraisal process.

- A) Classroom hours in excess of the curriculum requirement may be approved for elective credit. Such approval is limited to 9 excess hours for courses in a 30 hour curriculum requirement and 5 excess hours for courses in a 15 hour curriculum requirement;
- B) Excess hours may be approved, within the above limits based upon the Committee's evaluation of the appraisal educational value of the excess hours.
- 10) All changes in course content shall be submitted to the Department for review and evaluation.
- 11) The license for all pre-license/certification courses shall expire 36 months from the date of issue. An approved provider may renew the course approval by completing a renewal application and paying the renewal fee, in accordance with Sections 1455.300 and 1455.305.

- d) CE Course Requirement
  - 1) Courses licensed by the Department for pre-license/certification appraiser education are approved for CE credit. The renewal applicant will be awarded credit for attendance at these courses provided the license for the course was valid and in good standing at the time of attendance; and provided the course is not repetitious as indicated by Section 1455.205. CE credit for pre-licensure certification education will be awarded as 15 hours for 15 hour courses and 20 hours for 30 (or more) hour courses.
  - 2) CE courses shall be approved by the Appraisal Administrator, upon the recommendation of the Committee, for courses with or without a final examination.
  - 3) The application for each course approval shall include a description of the course, a course (or instructor's) outline that shall list the time frame for topic presentation, the number of classroom instruction hours excluding examination, the time allotted for examination (if any), the specific course name as it will appear on transcripts or course certifications, a sample of the certificate, the transcript or other documentation that will be used to document the student's attendance, and any other information that may be required by the Department.
  - A) An applicant may be required to submit texts and all other course materials for evaluation by the Appraisal Committee.
  - B) The application for CE courses being offered by a sub-provider shall also include a certification in accordance with subsection (b)(3) of this Section.
- 4) The Committee/Administrator shall approve courses that would

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business and may audit any session of any course approved for pre-license or CE credit.

1) At the request of the Appraisal Administrator, a course provider shall provide a list of all courses that the provider is planning to offer within a 6 month period subsequent to the request. The list shall include the name and license number of each course, as well as the date, time and location of each presentation.

2) In the event of a course audit, the provider shall provide the Department representative, at no cost, any and all course materials used in the presentation of the course being audited.

3) The Appraisal Administrator, a member of the Administrator's staff, an Appraisal committee member or other designated Department employee may inspect the business office of any course provider (or sub-provider) during normal business hours.

## f) Withdrawal of Approval

1) The Department, upon recommendation of the Real Estate Appraisal Committee, shall withdraw, suspend or place on probation in accordance with 68 Ill. Adm. Code 1110 the approval of the real estate appraiser education provider when the quality of the program fails to continue to meet the established criteria of an approved provider as set out in this Section or upon determination that the decision to approve the program was based upon false or deceptive information.

2) The provider's license will terminate immediately upon the failure to renew. Course licenses will terminate upon the expiration date or immediately upon the termination of the provider's license. The provider may thereafter reapply for approval as an appraiser education provider and for course approval.

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## Section 1455.210 Fees - Education Providers/Courses (Repealed)

## a) Application/Renewal Fees for Appraiser Education Providers

1) The fee for application as a real estate appraiser education provider shall be \$1000, plus course approval fees set forth in subsection (b) below, which are non-refundable.

2) The fee for renewal of an approved real estate appraiser education provider shall be \$500 per year, which is non-refundable.

A) The fee to renew an appraiser education provider license that has expired for less than 60 days shall be \$500 plus a penalty of \$100.

B) An appraiser education provider's license that has expired for more than 60 days may not be renewed. The provider may reapply for licensure in accordance with Section 1455.200.

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b) Application Fees for Pre-license/certification and CE Course Approval  
1) The application fee for a pre-license/certification appraisal course shall be \$500 and each approved course will expire 3 years from the date of issuer or upon the expiration of the provider license (for which the course license is subordinate).

A) The course may be renewed (subject to a valid provider's license) for an additional 3 years by completion of a renewal application provided by the Department and payment of a non-refundable renewal fee of \$350.

B) Renewal applications received after the expiration date shall be \$300. Applications received 366 days or more after the expiration date shall not be renewed. The applicant may submit a new application for approval of the pre-license/certification course under a different course title.

C) The renewal application shall include a confirmation of the provider's original certification and same course as previously the course is essentially the same course as previously approved in addition to the application. The applicant must explain any course revisions in detail, submit a listing of texts and other materials used in the course as well as the current final examination and the current course outline which shall contain a time schedule for topic presentation.

2) The application fee for CE course approval shall be \$300 and the approval license for each course may be renewed prior to its expiration date which is March 31 of even numbered years. A course meeting the requirements of a pre-license/certification course as set forth in Section 1455.200(c)(1) through (5) will be denied licensure as a CE course, however, such course may be approved by application for approval as a pre-license/certification course and payment of the appropriate fee.

A) The CE course may be renewed for an additional 2 year licensure term by completion of a renewal application which shall be provided by the Department and payment of a renewal fee of \$150.

B) The renewal fee if submitted after the expiration date shall be \$300. Any application for CE course renewal received by the Department 366 days or more after the expiration date shall not be renewed. The applicant may submit a new application for approval of the course under a different course title.

C) The renewal application shall include a confirmation of the provider's original certification and a certification that the course is essentially the same course as previously approved. In addition to the application, the applicant must explain any course revisions in detail, submit a



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expired for a period of less than 3 years may renew the license or certificate in accordance with the provisions of this Section. Licensees may not reapply for licensure or certification in the same appraiser category until the certificate has been expired for 3 years.

- b) Approved real estate appraiser education providers shall renew December 31 each year by paying the required fee set forth in Section 1455.305 (a)(5)-(a)(7) of this Part. An appraiser education provider's license that has expired for more than 60 days may not be renewed. The provider may reapply for licensure in accordance with Section 1455.200.

- c) Approved pre-license/certification courses will expire 3 years from the date of issue, or upon the expiration of the provider license (for which the course license is subordinate), and may be renewed by renewal application, reapplication and payment of fees, in accordance with Sections Section 1455.200 and 1455.305 (a)(5)-(a)(7), 60 days prior to expiration.

1) The renewal application shall include a confirmation of the provider's original certification and a certification that the course is essentially the same course as previously approved. In addition to the application, the applicant must explain any course revisions in detail, submit a listing of tests and other materials used in the course as well as the current final examination, and submit the current course outline, which shall contain a time schedule for topic presentation.

- 2) Applications received 366 days or more after the expiration date shall not be renewed. The applicant may submit a new application for approval of the pre-license/certification course under a different course title.

- d) Approved appraisal CE courses will expire on March 31 of even numbered years and may be renewed by renewal application, reapplication and payment of fees, in accordance with Sections Section 1455.200 and 1455.305 (a)(5)-(a)(7), 60 days prior to expiration.

1) The renewal application shall include a confirmation of the provider's original certification and a certification that the course is essentially the same course as previously approved. In addition to the application, the applicant must explain any course revisions in detail, submit a listing of tests and other materials used in the course, and submit the current course outline, which shall contain a time schedule for topic presentation.

- 2) Any application for CE course renewal received 366 days or more after the expiration date shall not be renewed. The applicant may submit a new application for approval of the course under a different course title.

- 3) A course meeting the requirements of a pre-license/certification course as set forth in Section 1455.200(c)(1) through (5) will be denied licensure as a CE course; however, such course may be

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listing-of-texts-and-other-materials-used-in-the-course--and the-current-course--outline--which--shall--contain-a-time schedule-for-topic-presentation: 3) The fee for evaluation of revisions to approved courses shall be \$200--for--pre-license/certification--courses--and--\$35--for--CE courses:

(Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

SUBPART C: GENERAL

Section 1455.300 Renewals

- a) Every license or certificate issued under the Act as a State Licensed Real Estate Appraiser, Certified Residential Real Estate Appraiser or Certified General Real Estate Appraiser shall expire on September 30 of each odd-numbered year. The holder of a license or certification may renew the license or certification during the month preceding the expiration date by paying the required fee specified in Section 1455.305 of this Part. 36-6-of-the-Act--A-penalty-fee-of-\$20-shall-be charged-for-renewal-of-an-expired-license-or-certification:

- 1) In order to renew a license or certification in 1995, and thereafter, an applicant will be required to comply with the continuing education requirements pursuant to Section 36.17 of the Act and Section 1455.205 of this Part.

- 2) A license with the title of State Licensed Real Estate Appraiser may be renewed by providing evidence of completion of experience as required by Section 1455.20(b), evidence of 20 hours CE course work and payment of renewal fees set forth in Section 1455.305 of this Part. 36-6-of-the-Act: For a license expired between 2 years and 3 years, a renewal applicant shall complete the 20 hours of CE after the expiration date on the license.

- 3) An expired license for Certified Residential or General Real Estate Appraiser may be renewed by payment of renewal fees set forth in Section 1455.305 of this Part. 36-6-of-the-Act and evidence of completion of 20 hours of CE coursework. For a license expired between 2 years and 3 years, a renewal applicant shall complete 20 hours of CE after the expiration date on the license.

- 4) A license or certificate for State Licensed, Certified Residential or Certified General Real Estate Appraiser expired for more than 3 years will not be renewed. The appraiser may reapply for license or certification by meeting the licensure or certification requirements in effect at the time of application and by passing the appropriate State Appraiser Examination.

- 5) The holder of a license or certificate for State Licensed, Certified Residential or Certified General Appraiser that is



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approved by application for approval as a pre-license/certification course and payment of the appropriate fee.

e) It is the responsibility of each individual holding certification or licensure to notify the Department of any change of address. Failure to receive a renewal form from the Department shall not constitute an excuse for failure to pay the renewal fee and to renew the certification in a timely manner.

f) A certificate for State Licensed Real Estate Appraiser will not be renewed until the Department has received documentation of 500 hours of experience in accordance with Section 1455.20(b). To expedite processing, the documentation may be submitted with the original application for licensure or as soon as the experience is met; otherwise, it shall be submitted with the renewal application.

Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## Section 1455.305 Fees

## a) Appraiser Application Fees

- 1) The application fee for licensure as a State licensed real estate appraiser (whether by examination, examination acceptance, or reciprocity) is \$175, effective December 1, 1995.
- 2) The application fee for licensure as a Certified General or Certified Residential Real Estate Appraiser (whether by examination, examination acceptance, or reciprocity) is \$175, effective December 1, 1995.
- 3) The initial registry fee for original permanent licensure/certification as an appraiser is \$75, effective December 1, 1995.
- 4) The fee for each temporary practice permit, in accordance with Section 1455.70, is \$100, effective December 1, 1995.
- 5) The fee for extension of a temporary practice permit, in accordance with Section 1455.70, is \$100, effective December 1, 1995.

## b) Appraiser Renewal Fees

- 1) The fee for renewal of an active appraiser license or certification is \$450, effective December 1, 1995.
- 2) The fee for renewing an expired license or certification is \$550, effective December 1, 1995.

## c) Application/Renewal Fees for Appraiser Education Providers

- 1) The fee for application as a real estate appraiser education provider shall be \$1000, plus necessary course approval fees as set forth in subsection (d) below.
- 2) The fee for renewal as an approved real estate appraiser education provider shall be \$500 per year.
- 3) The fee to renew an appraiser education provider license that has

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

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been expired for less than 61 days shall be \$600.

d) Application/Renewal Fees for Pre-license/Certification and CE Course Approval

1) The application fee for approval of a pre-license/certification appraisal course shall be \$500.

A) The fee for renewal of a pre-license/certification appraisal course shall be \$250.

B) The fee for renewal of a pre-license/certification appraisal course that has been expired for less than 366 days shall be \$350.

2) The application fee for CE course approval shall be \$300.

A) The fee for renewal of an approved CE course shall be \$150.

B) The renewal fee for an approved CE course that has been expired for less than 366 days shall be \$250.

3) The fee for evaluation of revisions to approved courses shall be \$200 for pre-license/certification courses and \$75 for CE courses.

## e) General

1) All fees paid pursuant to the Act and this Section are non-refundable.

2) Applicants for examination and reexamination for appraiser certification and licensing shall pay a fee covering the cost of providing such examination. If a designated testing service is utilized for the examination, such fee shall be paid directly to the designated testing service.

3) The fee for certification of a registrant's record (e.g., license status, examination information, discipline, etc.) is \$25.

4) There is no fee for license/certification verification.

5) The fee for issuance of a duplicate license or certification or replacement of a lost license or certification is \$25.

6) The fee for a license or certification with name and/or address change (other than name and/or address change at renewal) is \$25.

7) The fee for a decorative wall certificate is the actual cost of the certificate which shall include shipping and handling costs.

8) The fee for a roster of persons licensed under the Act is the cost of producing the roster including shipping and handling costs.

9) The fee for requesting a waiver of the real estate appraiser experience requirement pursuant to Section 36.11 of the Act shall be \$25.

10) The fee for furnishing a record of proceedings under Section 36.20 of the Act is \$1 per page of the record.

11) National Registry fees payable to the Appraisal Subcommittee pursuant to federal regulations and laws shall be paid by the agency from funds appropriated by the General Assembly from the Appraisal Administration Fund.

Source: Amended at 19 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_

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## CIVIL SERVICE COMMISSION

## NOTICE OF ADOPTED AMENDMENTS

1) Heading of the Part: Civil Service Commission2) Code Citation: 80 Ill. Adm. Code 13) Section Numbers: Adopted Action:

1.10	Amended
1.40	Repealed
1.45	Renumbered
1.50	Amended
1.80	Amended
1.90	Amended
1.100	Amended
1.120	Amended
1.130	Amended
1.140	Renumbered
1.141	Renumbered, amended
1.142	Renumbered
1.143	Renumbered
1.145	New
1.146	New
1.147	New
1.150	Amended
1.154	New
1.158	New
1.160	Amended
1.170	Amended
1.180	Repealed
1.190	Amended
1.200	Amended
1.205	New
1.210	Amended
1.212	New
1.216	New
1.218	New
1.220	Amended
1.222	New
1.224	New
1.226	New
1.230	Amended
1.232	New
1.233	New
1.234	New
1.235	New
1.236	New
1.237	New
1.240	Amended
1.250	Amended

## CIVIL SERVICE COMMISSION

## NOTICE OF ADOPTED AMENDMENTS

1.270 Amended  
 1.280 Amended  
 1.290 Amended  
 1.300 Amended  
 1.302 Renumbered, amended  
 1.320 Renumbered  
 1.330 Renumbered  
 1.340 Renumbered  
 1.350 Renumbered

4) Statutory Authority: The Personnel Code [20 ILCS 415/1]

5) Effective Date of Rulemaking: August 21, 1995

6) Does this rulemaking contain an automatic repeal date? No

7) Does this rulemaking contain incorporations by reference? No

8) Date Filed in Agency's Principal Office: August 21, 1995

9) Notice of Proposal Published in Illinois Register: September 9, 1994 at 18 Ill. Reg. 13525.

10) Has JCAR issued a Statement of Objections to these rules? No

11) Difference(s) between proposal and final version: There were no substantive changes between the proposed and final versions.

12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes

13) Will this rulemaking replace an emergency rule currently in effect? No

14) Are there any amendments pending on this Part? No

15) Summary and Purpose of Rulemaking: This rulemaking governs the conduct of contested hearings held before the Commission and the regulatory actions for which the Commission is responsible in order to ensure compliance with the Personnel Code.

Contested hearings lie in the areas of discipline appeals, most typically discharge of an employee from a certified employment position, appeals of layoff, and appeals of allocation. With regard to regulatory matters the rules govern Commission actions in approval of requests for exemption of Code coverage for policy making positions and also approval of amendments to the plan of classification of positions of employment subject to the Code. The rules are also amended to provide for more detailed information about requests to the Commission for information.

## CIVIL SERVICE COMMISSION

## NOTICE OF ADOPTED AMENDMENTS

16) Information and questions regarding these adopted amendments shall be directed to:

Name: Illinois Civil Service Commission  
 Address: Attention: Bruce J. Finne  
 425 1/2 South Fourth Street  
 Springfield, Illinois 62701  
 Telephone: (217)782-7373 FAX #: (217)524-3706

The full text of the Adopted Amendment begins on the next page:



## CIVIL SERVICE COMMISSION

## NOTICE OF ADOPTED AMENDMENTS

TITLE 80: PUBLIC OFFICIALS AND EMPLOYERS  
SUBTITLE A: MERIT EMPLOYMENT SYSTEMS  
CHAPTER I: CIVIL SERVICE COMMISSION

## PART 1

## CIVIL SERVICE COMMISSION

## Section

- 1.110 Meetings of the Commission  
1.140 Procedures Before the Commission (Repealed)  
~~1.201.1.45~~ Classification Plan  
1.50 Ex Parte Consultations  
1.80 Declaratory Rulings  
1.90 Allocation Appeals Procedure  
1.100 Appeal of Layoff  
1.110 Allegations of Personnel Code and Rule Violations  
1.120 Appeal of Geographical Transfers  
1.130 Appeals of Disciplinary Action or Demotion  
1.140 Response to Proposed Decisions (Renumbered)  
~~1.301.1.141~~ Collective Bargaining Agreements  
~~1.301.1.142~~ Jurisdiction B Exemptions  
~~1.350.1.143~~ Orders of Compliance  
~~1.350.1.145~~ Appearances - Representation  
1.146 Service of Pleadings  
1.147 Appeal Hearing File  
1.150 Filing Procedure - Computation of Time  
1.154 Notice, Time, and Place of Hearing  
1.158 Public Hearing - Recording - Confidentiality  
1.160 Disciplinary Charges and Amendments  
1.170 Cause for Discharge  
1.180 Conduct of Hearings (Repealed)  
1.190 Subpoena - Fees and Mileage of Witnesses  
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AUTHORITY: Implementing and authorized by Section 10 of the Personnel Code [20 ILCS 415/10].

SOURCE: Adopted June 28, 1972; rules repealed and new rules adopted at 6 Ill. Reg. 3551 and 3553, effective March 23, 1982; codified at 8 Ill. Reg. 16419; amended at 9 Ill. Reg. 15826, effective October 4, 1985; amended at 19 Ill. Reg. **12451**, effective **AUG 21 1995**.

## Section 1.10 Meetings of the Commission

- a) The Illinois Civil Service Commission (hereinafter called "Commission") shall hold an open and public meeting each month. The meetings shall be held when practicable on alternate months in Chicago and Springfield.  
b) A schedule of meeting dates shall be made at the beginning of each calendar year stating the date, time, and place of the monthly meetings.  
c) Changes in regular meeting dates and the holding of special meetings shall be made in compliance with the Illinois Open Meetings Act [5 ILCS 120] and the Illinois Personnel Code [20 ILCS 415].  
d) Meetings may be held by telephone conference call or by video conferencing if done in compliance with all applicable laws.

(Source: ~~Amended~~ at 19 Ill. Reg. **12451** effective **AUG 21 1995**)

## Section 1.40 Procedures Before the Commission (Repealed)

~~the provisions of the Illinois Administrative Procedure Act (Ill. Rev. Stat. 1901-127, pars. 1001 et seq.) concerning procedures in contested cases shall be applicable in all proceedings before this Commission.~~

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(Source: Repealed at 19 Ill. Reg. 12401, effective AUG 21 1995)

**Section 1-320 1.45 Classification Plan**

The Commission will review the class specifications requiring Commission approval under the Classification Plan and will approve those which meet the requirements of the Personnel Code and Personnel Rules and conform to the following currently accepted principles of position classification:

- The specifications are descriptive of the work being done or which will be done;
- Identifiable differentials are set forth among classes which are sufficiently significant to permit the assignment of individual positions to the appropriate class;
- Reasonable career promotional appointments are provided;
- The specifications provide a reasonable and valid basis for selection screening by merit examinations;
- All requirements of the positions are consistent with classes similar in difficulty, complexity, and nature of work.

(Source: Section 1.45 renumbered from Section 19320 and amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

**Section 1.50 Ex Parte Consultations**

- Except in the disposition of matters which they are authorized by law to entertain or dispose of on an ex parte basis, neither commission members, employees, nor ~~hearing-examiners~~ Administrative Law Judges shall, after notice of hearing in a contested case, communicate, directly or indirectly, in connection with any issue of fact, with any person or party, or in connection with any other issue with any party or his representative, except upon notice and opportunity for all parties to participate. ~~However, a commission member may communicate with other members of the commission and a commission member or hearing examiner may have the aid and advice of one or more personal assistants.~~

- Communications regarding procedure, such as format of pleadings, number of copies required, manner of service, status of proceedings, and continuances are not considered to be ex parte communications. However, requests for continuances shall not be granted until the opposing party is notified either orally or in writing that a request is going to be made.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

**Section 1.80 Declaratory Rulings**

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- Upon petition from an interested or affected person or agency the Commission ~~shall~~ may make declaratory rulings as to material questions involving the applicability and interpretation of the Personnel Code, the Personnel Rules of the Department of Central Management Services (80 Ill. Adm. Code 301, 302, 303, 304 and 305) or any order or final decision of the Commission.
- The Commission may refuse to issue such rulings if the question is in issue in a contested case before the Commission, if the ruling would not resolve a substantial issue of law, if the request presents an issue already determined by the Commission or court of competent jurisdiction, or if the issue is pending in another court or administrative body.
- Declaratory rulings shall not be appealable but are only advisory.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

**Section 1.90 Allocation Appeals Procedure**

- An employee shall, within 15 days of receipt of the Director of the Department of Central Management Services' decision on reconsideration, serve notice upon the Commission of his intent to appeal the reconsideration decision of the Director. A copy of the notice of intent shall be served upon the Director of the Department of Central Management Services. Such notice should state the name of the employee, his appointing agency, a description of the disputed classification issue, and the class for which the employee is appealing.

- Upon receipt of a notice of intent to appeal, the Director of the Department of Central Management Services shall file with the Commission within 20 days a submission setting forth the facts and reasons for the reconsideration decision. A copy shall be served upon the employee. In such submission there shall be a clear and brief recitation of all relevant facts, argumentative facts, and documentary evidence submitted in exhibit form.

- Within 20 days of the receipt of the Director's submission, the employee shall file with the Commission an answer setting forth all relevant facts, argumentative facts, and documentary evidence in exhibit form. A copy of such answer shall be served upon the Director of the Department of Central Management Services. The employee shall point out with particularity his disagreement with the submission of the Department of Central Management Services.

- Within 30 days of receipt by the Commission of the submissions of the parties notification will be served by the Commission of a date of hearing which shall be held for the purpose of presenting argument and/or accepting evidence on material and substantial issues of fact. By agreement of the parties and Commission the hearing may be waived.

- ~~if either party intends to present evidence at the hearing on the~~



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~~material--issue--of--fact--notice--of--that--intent--shall--be--served--on--the opposing--party--15--days--before--the--date--of--hearing--the--notice--shall set--forth--the--material--and--substantive--issue--of--fact--on--which--the party--intends--to--present--evidence:~~

~~fe) Parties may represent themselves, be represented by counsel, or by other representatives as they may elect.~~

~~gf) After the completion of the hearing a proposal for decision by the hearing-officer Administrative Law Judge shall be served upon the parties. The parties shall have 20 15 days after service to file written comments and arguments before the Commission renders its final decision. The filing of the parties' response shall be in accordance with Section 1.150 of this Part.~~

~~g) Employees who are subject to collective bargaining agreements which permit an appeal to the Commission of class study reclassifications not resolvable under the applicable contract shall use the procedure set forth in this rule if they appeal to the Commission.~~

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.100 Appeal of Layoff

a) An appeal of layoff shall be filed with the Commission by the affected employee within 15 calendar days following the effective date of layoff. The effective date of layoff is that date designated by the Director of Central Management Services in his the approved notice of layoff which is served on the employee.

b) The appeal shall set forth with particularity a statement of facts and a designation of the applicable provisions of the Personnel Code or Rules of the Department of Central Management Services which are alleged to have been violated.

c) An investigation shall be conducted by the Commission and the proposed findings shall be served upon all parties to the dispute. The parties shall then have 21 days to file in the office of the Commission a response to the proposed findings and a request for hearing if either party so desires.

d) If in the judgment of the Commission a substantial issue of fact or law exists which cannot be resolved by investigation the parties will be notified of a date of hearing. The notice will set forth a short statement of the issue of fact and/or law. If the Commission determines that no material issue of fact or law exists it will issue its decision based upon the findings of the investigation and the parties' responses thereto.

~~et) After the completion of a hearing--a--proposal--for--decision--by--the hearing--officer--shall--be--served--upon--the--parties--the--filing--of--the parties--response--shall--be--in--accordance--with--Section--1.150--of--this Part--~~

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(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.120 Appeal of Geographical Transfers

a) In appeals to the Commission from permanent transfers from one geographical area in the State to another, the employee shall have the burden of introducing sufficient, competent, and credible evidence showing that the transfer was unreasonable, unjust, or capricious and was not a bona fide attempt to serve the best interests of the operating agency.

b) Under normal circumstances, a transfer of an employee for a period in excess of 60 days will be considered a permanent transfer.

c) The appeal of geographical transfer shall be filed with the Commission within 15 days of the date the employee is required to report to the new location.

~~dt) After the completion of the hearing a proposal for decision by the hearing-officer shall be served upon the parties. The parties shall file written comments and arguments before the Commission renders its final decision. The filing of the parties' response shall be in accordance with Section 1.150 of the Part.~~

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.130 Appeals of Disciplinary Action or Demotion

a) A certified employee who has been served with written charges approved by the Director of Central Management Services for removal, discharge, demotion, or suspension for a period of more than 30 days within a twelve-month period, may appeal to the Civil Service Commission. Such appeal shall be in writing and filed with the Commission within 15 days of receipt of such approved charges.

~~b) After the completion of the hearing a proposal for decision by the hearing-officer shall be mailed to the parties. The parties may then file written comments and arguments before the Commission renders its final decision. The filing of the parties' response shall be in accordance with Section 1.150 of this Part.~~

~~b) Employees whose positions are subject to collective bargaining agreements may appeal disciplinary actions either through the procedure set forth in the agreement or through the Commission but not both.~~

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.140 Response to Proposed Decisions (Renumbered)



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(Source: Section 1.140 renumbered to Section 1.302 at 19 Ill. Reg. 12451, effective AUG 21 1995)

**Section 1-300 1.141 Collective Bargaining Agreements**

The Commission shall give full recognition and effect to provisions of collective bargaining agreements ~~reached under Executive Order 6-6(1973)-unless a provision directly conflicts with the Personnel Code or Rules of the Department of Central Management Services with regard to merit principles or the competitive examination system in which case the Code or Rule shall prevail~~ relating to wages, hours, and conditions of employment reached under the provisions of the Illinois Public Labor Relations Act [5 ILCS 315].

(Source: Section 1.141 renumbered from Section 1.330 and amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

**Section 1-300 1.142 Jurisdiction B Exemptions**

a) Before a position shall qualify for exemption from Jurisdiction B under Section 4d(3) of the Personnel Code, the position shall be directly responsible to:

- 1) The Governor, or
  - 2) A departmental director or assistant director appointed by the Governor, or
  - 3) A board or commission appointed by the Governor, or
  - 4) The head of an agency created by Executive Order, or the director or assistant director of an agency carrying out statutory powers, whose offices are created by the Governor subject to legislative veto under Article V, Section 11, of the Constitution of 1970, which agency head, director, or assistant director may themselves be subject to exemption under Section 4d(3), or
  - 5) In an agency having a statutory assistant director, a deputy director exercising full line authority under the director for all operating entities of the agency, provided the statutory role of assistant director is vacant or is assigned clearly distinct and separate duties from the deputy director and as a colleague to him, or
  - 6) A line position organizationally located between the director and/or assistant director and a subordinate statutorily exempt position(s), provided the position proposed for exemption has line authority over the statutorily exempt position(s), or
  - 7) The elected head of an independent agency in the executive, legislative, or judicial branch of government.
- b) If a position meets the above criterion, it must, in addition, be responsible for one or more of the following before it shall be approved as exempt:

- 1) Directs programs defined by statute and/or departmental, board, or commission policy or possess significant authority when acting

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in the capacity of a director of programs to bind the agency.

- 2) Makes decisions in exercising principal responsibility for the determination or execution of policy which fix objectives or state the principles to control action toward operating objectives of one or more divisions, such decisions being subject to review or reversal only by the director, assistant director, board, or commission.
- 3) Participates in the planning and programming of departmental, board, or commission activities, integrating the plans and projections of related divisions, and the scheduling of projected work programs of those agencies.
- c) The Commission may upon its own action after 30 days notice to the Director of Central Management Services or upon the recommendation of the Director of the Department of Central Management Services rescind the exemption of any position which no longer meets the requirements for exemption as set forth in subsections (a) and (b) of this Section. However, withdrawal of exemption shall be approved after the Commission has determined that an adequate level of managerial control exists in exempt status which will insure responsive and accountable administrative control of the programs of the agency.
- d) For all positions currently exempt by action of the Commission, the Director of Central Management Services shall inform the Commission promptly in writing of all changes in duties, responsibilities, organization, location, allocation, or identity.
- e) Prior to granting an exemption from Jurisdiction B the Commission will notify the incumbent of the position, if any, of its proposed action, whereupon the incumbent may appear at the Commission meeting at which such action is to be taken and present objections to such exemption.

(Source: Section 1.142 renumbered from Section 1.346 and amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

**Section 1-300 1.143 Orders of Compliance**

- a) The Commission may, from time to time, review and investigate personnel policies, actions, or activities and administrative practices to insure that they are in compliance with the Personnel Code. Such review and investigation will be utilized by the staff in rendering reports to the Commission.
- b) Findings by the Commission of probable discrepancies with respect to the Personnel Code or Rules, when communicated in writing to the Director of Central Management Services and the appropriate agency head, are considered as an order to the Director either to correct the probable discrepancy or to furnish an explanation to support a conclusion that a probable discrepancy does not exist. If, within 30 days after receipt of such order, neither appropriate corrective action has been initiated nor a satisfactory explanation has been submitted by the Director, the Commission may record such violations

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in the minutes of its meeting and take such other action as is appropriate to correct such violations.

(Source: Section 1.143 renumbered from Section 1.350 at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.145 Appearances - Representation

Parties may choose to represent themselves or be represented by an attorney licensed to practice law in the State of Illinois. An attorney representing a party shall file a written notice of appearance with the Commission identifying the attorney by name, address, telephone and facsimile number, and attorney registration number.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.146 Service of Pleadings

a) Manner of Service. When copies of papers filed with the Commission are required to be served on the opposing party, these copies shall be served either personally or by first class mail.

b) Proof of Service. Proof that these copies were served on the opposing party must be filed with the papers required to be filed with the Commission. Proof of service shall consist of the statement of the individual making service specifying the manner and date of such service.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.147 Appeal Hearing File

After an appeal to the Commission has been filed, an appeal file shall be established which shall contain all documents pertinent to the appeal. Either party to the appeal may inspect the file during regular business hours in the office of the Commission.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.150 Filing Procedure - Computation of Time

a) Filing and Form of Papers

1) The original copy of a complaint, appeal, pleading, written motion, notice or other paper, except for responses to proposed decisions which is governed by Section 1.140, must be filed in the Springfield office of the Commission. Holidays, Saturdays,

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and Sundays will be excluded from computing filing dates if the last day for filing falls upon a weekend or legal holiday, in which event the last date for filing would be the first business day subsequent to such weekend or legal holiday.

2) Papers shall be signed in ink by the party filing the paper or by his the party's representative and contain the address of the party, or if represented, the name, business address, and telephone number of such representative. Copies of all filed papers shall be served on all parties to the proceedings, and notice of such service shall be given to the Commission.

3) Each document shall show on the first page the caption and case number assigned by the Commission, and shall identify the party on whose behalf the document is filed. The final page of each filed document shall contain the name, address, and telephone number of the attorney or the party if the party is self-represented.

b) Notice

Notice to a designated representative is notice to his client. Notice to an employee who is not represented shall be served at the address specified in the employee's appeal or, in the absence of such specification, to the last address shown in the employee's personnel file.

c) Computation of Time

Whenever a time period commences upon a person's receipt of service or notice and service is by mail, receipt shall be presumed to have occurred on the fourth day after mailing.

d) Filing by Facsimile

Filings may be by facsimile if done in accordance with all other rules in this Part.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.154 Notice, Time, and Place of Hearing

Disciplinary hearings shall be scheduled for hearing within 30 days after the request for hearing is filed with the Commission. At least 10 days notice of the time and date of the first hearing shall be given to all parties. This may, however, be waived in an emergency. Ordinarily, appeals will be heard in the Commission's Chicago or Springfield office, but either party may request another location for the convenience of all parties.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.158 Public Hearing - Recording - Confidentiality

a) All hearings shall be open to the public.



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- b) Upon motion of either party the hearing may be closed to the public where testimony or exhibits would refer to and reveal matters which constitute an exception to public disclosure under Section 7(1)(c) of the Illinois Freedom of Information Act [5 ILCS 140/7(1)(c)].
- c) Recording of hearings by devices used by individuals other than the officially designated stenographer or Administrative Law Judge is not allowed.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.160 Disciplinary Charges and Amendments

- a) Charges must be specific enough to apprise the employee of the nature and substance of the cause alleged for discharge. Written charges approved by the Director of Central Management Services seeking an employee's discharge, demotion, or suspension totaling more than 30 days in any twelve-month period, shall contain a specific statement of facts which allege the cause for the proposed action sought against the employee. If a breach of a statutory duty or a rule of the agency is alleged, the statute or rule shall be cited in connection with the charge.
- b) Charges shall be set forth in separately numbered paragraphs and contain the dates, names of persons, places, and facts necessary to properly allege cause.
- c) At any time prior to commencement of hearing or prior to the close of hearing the hearing officer Administrative Law Judge may upon motion of a party permit amendment of charges if no undue surprise results which would prejudice the opposing party's right to a prompt hearing or impose a substantial injustice on either side.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.170 Cause for Discharge

- a) Cause for discharge consists of some substantial shortcoming which renders the employee's continuance in his position in some way detrimental to the discipline and efficiency of the service and which the law and sound public opinion recognize as good cause for his the employee no longer holding the position.
- b) In addition to cause as defined in (a) of this Section cause for discharge of individuals holding significant managerial positions shall consist of but not be limited to demonstrated inability
- 1) to manage, organize, plan, execute, control, and/or evaluate agency programs and activities; and/or
  - 2) to initiate new and revised methods and procedures of agency programs and/or

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- 3) to fulfill all other such requirements which are a part of the managerial process.
- c) Charges for discharge based on causes considered remedial against individuals holding significant managerial positions referred to in (b) of this Section shall be preceded by reasonable warning in writing stating specifically the causes which, if not remedied, will result in charges for discharge being brought against the individual.
- b) In determining the appropriate penalty for an offense of which the employee is found guilty, the Commission shall consider the employee's performance record and the employee's length of continuous service unless the offense would warrant immediate discharge.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.180 Conduct of Hearings (Repealed)

## a) Witnesses

Each party at his own expense may call witnesses to testify in his own behalf and to have the aid of representatives. The parties may cross-examine opposing witnesses and present documentary evidence. Upon motion of either party or the hearing officer witnesses may be excluded from the hearing.

## b) Evidence

The rules of evidence and privilege as applied in civil cases in the Circuit Courts of this State shall be followed. However, evidence not admissible under such rules of evidence may be admitted except where precluded by statute. If it is a type commonly relied upon by reasonably prudent persons in the conduct of their affairs.

## c) Opening and Closing Statements

1) Upon the opening of the hearing, the hearing officer may allow the petitioner and the respondent to make opening statements. Opening statements may not be made at any other time except in the discretion of the hearing officer.

2) Upon the close of the hearing, each side may make a closing statement orally and/or by written brief at the discretion of the hearing officer incorporating arguments of fact and law.

## d) Examination of Adverse Party or Agent

In the hearing of any case, any party or his agent may be called and examined as if under cross-examination at the instance of any adverse party. The party calling for the examination is not precluded thereby but may rebut the testimony thus given by counter-testimony and may impeach the witness by proof of prior inconsistent statement.

## e) Hostile Witnesses

If the hearing officer determines that a witness is hostile or unwilling, the witness may be examined by the party calling him as if under cross-examination. The party calling an occurrence witness may under showing that he called the witness in good faith but is surprised



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- by--his--testimony--impeach--the--witness--by--proof--of--prior--inconsistent statements--
- f) ~~Public-Hearings~~  
All--hearings--shall--be--public--individuals--who--display--disruptive behavior--will--be--excluded--from--the--hearing--
- g) ~~Practice-by-Telephone~~  
Upon--request--of--either--party--and--at--the--discretion--of--the--hearing officer--motions--conferences--and--arguments--may--be--held--by--telephone conference--call--in--ruling--on--the--motion--the--hearing--officer--will consider--factors--including--but--not--limited--to--potential--savings--of time--expenses--of--travel--and--the--importance--of--personal--contact--

(Source: Repealed at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.190 Subpoena - Fees and Mileage of Witnesses

- a) ~~Upon--written--request--of--either--side--the--Commission--may--issue subpoenas--to--compel--the--production--of--documents--or--persons--having relevance--to--the--issues--of--the--dispute--in--a--contested--case--~~  
Witnesses--at--a--hearing--or--investigation--are--entitled--to--the--same--fees and--mileage--as--are--allowed--witnesses--in--civil--cases--in--courts--of record--

- a) Upon written request by a party to a contested case the Commission will issue a subpoena for attendance of a witness or production of books, papers, documents, or other tangible things at a hearing or deposition.

- b) Subpoena forms may be obtained by applying to the Executive Director at the Commission's Springfield office.

- c) Witness and Mileage Fees - The cost of service and witness and mileage fees shall be borne by the person requesting the subpoena. Witness and mileage fees shall be the same as are paid witnesses in the circuit courts of the State of Illinois.

- d) Service and Contents - The person requesting a subpoena shall be responsible for its service. A subpoena shall be served reasonably in advance of its return date. The subpoena shall state the number and address of the person initiating its issuance, and shall identify the person or evidence subpoenaed and the person to whom and the place, date, and the time at which it is returnable.

- e) Petition to Quash or Modify - Within five (5) days after service of a subpoena on any person, such person may file a petition to quash or modify said subpoena, stating reasons in support of such relief. A copy of the petition shall be served at the same time on the person serving the subpoena. Whenever a petition to quash a subpoena is properly filed under this Section the petitioner shall not be required to respond to such subpoena until the petition has been ruled upon.

- f) Any witness subpoenaed for a deposition may be required to attend only

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in the county in which he or she resides or maintains an office address, or in any other place ordered by the Administrative Law Judge.

- g) Enforcement - Whenever any person shall knowingly fail or refuse to comply with a subpoena served in accordance herewith, the party serving the subpoena or the Commission shall petition the appropriate circuit court pursuant to the Personnel Code for an order enforcing said subpoena.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.200 Authority of Hearing-Officer Administrative Law Judge

The hearing-officer Administrative Law Judge has the authority to conduct a hearing, take all necessary action to avoid delay, maintain order, and insure the development of a clear and complete record. The hearing-officer Administrative Law Judge shall have all powers necessary to conduct a hearing including the power to:

- a) Administer oaths and affirmations;  
b) Regulate the course of hearings, set the time and place for continued hearings, fix times for filing of documents, provide for the taking of testimony by deposition if necessary, and generally conduct the proceedings according to generally recognized administrative law and this Part;  
c) Examine witnesses and direct witnesses to testify;  
d) Limit the number of times any witness may testify, limit repetitious or cumulative testimony and set reasonable limits on the amount of time each witness may testify and be cross-examination-examined;  
e) Rule upon offers of proof and receive relevant evidence;  
f) Direct parties to appear and confer for the settlement or simplification of issues, and to otherwise conduct prehearing conferences;

- g) Dispose of procedural requests or similar matters;  
h) Render findings of fact, ~~opinions~~ conclusions of law and recommendations for an order of the Commission;

- i) ~~Enter any order that further carries out the purpose of this Part--~~  
j) Reprimand or exclude from the hearing any person for indecorous or improper conduct committed in the presence of the Administrative Law Judge;

- k) Take official notice of generally recognized facts, administrative rules and regulations, and statutes;

- l) Enter any order that further carries out the purpose of this Part.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.205 Motions

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- a) Unless made orally on the record during a hearing, all motions shall be in writing and shall briefly state the order or relief requested and the specific grounds upon which relief is sought. Motions based on a matter which does not appear on record shall be supported by affidavit.
- b) A written motion shall be served at the same time upon all parties and filed with the Commission's Springfield office.
- c) Written motions and responses thereto should set forth the arguments and authorities relied upon to permit the Administrative Law Judge to make a decision without oral argument on the motion. Parties may request a hearing which will be granted or denied based on the need for a hearing according to the decision of the Administrative Law Judge.
- d) Within seven (7) days after service of a motion, a participant or party may file a response to the motion. If no response is filed, such participant or party shall be presumed to have waived objection to the granting of the motion, but such waiver of objection does not bind the Administrative Law Judge in the decision on the motion. Unless undue delay or material prejudice would result, the Administrative Law Judge will not grant any motion before expiration of the seven-day response period.
- The moving person shall not have the right to reply, except as permitted by the Administrative Law Judge to prevent material prejudice.
- e) Upon request of any party, arguments on preliminary motions may be held by telephone conference call.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

### Section 1.210 Extensions of Time - Continuances of Hearing - Waivers of Compensation for Continuances

- a) The Commission, or ~~a hearing-officer~~ an Administrative Law Judge appointed by it to conduct a hearing may, for good cause shown on timely motion after notice to the opposite party, extend the time for filing any pleading or paper or may continue the date of a scheduled hearing for a limited period.
- b) Granting or denying a continuance of a scheduled hearing is within the discretion of the Commission or ~~its hearing-officer~~ the Administrative Law Judge.
- c) Motions for extensions or continuances are not timely unless asserted at least 48 hours prior to the time scheduled for filing or hearing except for emergencies, including but not limited to serious illness, family death or emergency, or act of God relating to the party or the attorney for the party.
- d) The granting of a request for continuance by the employee in a discharge appeal will constitute a voluntary waiver by him of any

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- claim to compensation for the period of such continuance if he is ordered retained in his position.
- e) Requests for continuances must be preceded by contacting the opposing party and asking for agreement to the continuance.
- f) An employee's request for the first continuance in the case of a disciplinary appeal must be made in writing.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

### Section 1.212 Consolidation

Two or more proceedings may be consolidated on motion of either party or the Administrative Law Judge where the cases involve common issues of law or fact, consolidation would not prejudice the rights of the parties, and consolidation would result in the efficient and expeditious resolution of cases.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

### Section 1.216 Qualification of Administrative Law Judge

An Administrative Law Judge shall possess a license to practice law in the State of Illinois.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

### Section 1.218 Disqualification of Administrative Law Judge

- a) An Administrative Law Judge assigned to a proceeding may, upon written request to and approval of the Executive Director, recuse himself or herself therefrom.
- b) Whenever any party believes an Administrative Law Judge for any reason should be disqualified from conducting, or continuing to conduct, a proceeding assigned to him or her, such party may file a motion to disqualify the Administrative Law Judge, setting forth by affidavit the alleged grounds for disqualification. The Administrative Law Judge shall have seven (7) days after filing of the motion within which to enter a written ruling thereon. A copy of such ruling shall be served upon all parties. The Commission may, on its own motion, review rulings denying or granting a motion for disqualification.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

### Section 1.220 Discovery



## CIVIL SERVICE COMMISSION

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- a) ~~Request for list of persons having knowledge upon timely request made either party must furnish to the other party a list of the names and addresses of persons having knowledge of relevant facts.~~
- b) ~~Right to inspect and interview~~  
~~Any party or their representative shall have the right upon timely motion to inspect any relevant documents in the possession or control of any other party and to interview employees having knowledge of relevant facts interviews of employees and inspection of documents shall be at times and places reasonable for the employee and for the employing agency.~~
- c) ~~Evidence Depositions~~  
~~Upon order of the hearing officer the Civil Service Commission may cause a deposition of any witness to be taken for use as evidence in a Commission proceeding. The deposition may be taken in the manner provided by law for depositions in civil actions in the courts of this State.~~
- d) ~~Admission of Fact or of Genuineness of Documents~~  
~~A party may serve on any other party a written request for the admission by the latter of the truth of any specified relevant fact set forth in the request or for the admission of genuineness of any relevant documents described in the request. Copies of the documents shall be served with the request unless copies have already been furnished.~~

## Discovery shall be attained through the following methods:

- a) ~~Bill of Particulars~~ - An employee who is the subject of disciplinary charges may request additional information regarding the charges. Written demands for relevant information concerning the charges shall be answered within ten (10) days after service unless objected to.
- b) ~~Written Interrogatories~~ - A party may direct written interrogatories to any other party. Such interrogatories shall be restricted to the subject matter of the complaint or defense and shall avoid placing undue detail, excessive burden, or expense on the answering party. Within ten (10) days after service the answering party shall serve on the propounding party an answer under oath or affirmation, or an objection to each interrogatory. Where appropriate a document may be served in answer to an interrogatory. Supplemental interrogatories shall not be allowed except on leave of the Administrative Law Judge for good cause shown.
- c) ~~Production, Inspection, Copying or Photographing of Documents and Tangible Things~~ - A party, by written request served upon the other parties, may require production for inspection, copying or photographing any document, object or tangible thing which is relevant to the subject matter of the complaint or defense. The party upon whom the request is served shall respond to the request within ten (10) days, stating with respect to each item or category that inspection and related activities will be permitted as required, unless the request is objected to, in which event the reasons for

## CIVIL SERVICE COMMISSION

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- objection shall be stated.
- d) ~~List of Witnesses and Documents~~ - Upon timely request prior to a hearing on the merits, each party to the proceeding shall serve on the other party:
- 1) A list of names and home or work addresses of the witnesses the party proposes to call in its case in chief.
  - 2) All documents the party proposes to offer in its case in chief.
  - 3) All written or recorded statements of the party's witnesses which may be used by an adverse party for the purpose of cross-examination.
- e) ~~Deposition~~ - A party may take discovery depositions either for good cause shown or by agreement. A discovery deposition, taken for good cause or by agreement, may be taken only upon leave of the Administrative Law Judge. No party shall serve a notice of deposition without leave of the Administrative Law Judge.
- f) ~~Admission of Fact or of Genuineness of Documents~~ - A party may serve on any other party a written request for the admission by the latter of the truth of any specified relevant fact set forth in the request or for the admission of genuineness of any relevant documents described in the request. Copies of the documents shall be served with the request unless copies have already been furnished.
- g) ~~Privileges~~ - All matters that are privileged against disclosure in civil cases in the courts of the State of Illinois shall be privileged against disclosure through any discovery procedure hereunder.
- h) ~~Limitation of Discovery~~ - At any time the Administrative Law Judge may, on his/her own motion or on motion of any party or witness, make such protective orders as justice and fairness may require, denying, limiting, conditioning or regulating discovery to prevent unreasonable annoyance, expense, embarrassment, disadvantage or oppression.

(Source: Amended at 19 Ill. Reg. **12451**, effective **AUG 21 1995**)

## Section 1.222 Evidence Depositions

Upon order of the presiding officer or by agreement of the parties, a deposition of any witness may be taken for use as evidence in a Commission proceeding. The depositions may be taken in the manner provided by law for depositions in civil actions in the courts of this State.

(Source: Added at 19 Ill. Reg. **12451**, effective **AUG 21 1995**)

## Section 1.224 Prehearing Conference

- a) Upon written notice by the Administrative Law Judge in any proceeding, parties or their attorneys may be directed to appear at a specified time and place for a conference, prior to or during the course of



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- a) The proponent of any matter asserted shall have the burden of proof to establish by a preponderance of evidence that the matter asserted is more probably true than not true.
- b) When a party has the burden of proof and establishes the matter asserted by the required quantity of evidence, the party has made a prima facie case, and the burden of disproving the matter asserted goes to the opposing party by the same quantity of evidence.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.233 Evidence

- a) Irrelevant, immaterial or unduly repetitious evidence shall be excluded. The rules of evidence and privilege as applied in civil cases in the circuit courts of Illinois shall be followed. However, evidence not admissible under such rules of evidence may be admitted (except where precluded by statute) if it is of a type commonly relied upon by reasonably prudent persons in the conduct of their affairs.
- b) Objections to evidentiary offers may be made and shall be noted in the record.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.234 Offer of Proof

Any party who has had evidence excluded may make an offer of proof.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.235 Exhibits

- a) Marking - All exhibits shall be marked by a stenographer designated to record the hearing in numerical order with a party designation.
- b) Designation of Part of Document as Evidence - When relevant material matter offered into evidence is included in a book, paper, or document containing other material not relevant, the person offering the same must plainly designate the matter so offered.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.236 Order of Hearing

- a) The Administrative Law Judge shall open the hearing by explaining the procedure to be followed in the hearing. Upon motion of either party

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hearing for the purposes of:

1) Simplifying the issues;

2) Amending the pleadings for clarifications, amplification, or limitation;

- 3) Making admissions of facts or stipulating to the admissibility of any matters to expedite the hearing;
- 4) Limiting the number of witnesses;
- 5) Exchanging prepared testimony and exhibits; and
- 6) Aiding in the simplification of the evidence and disposition of the proceeding.

- b) After a prehearing conference, the Administrative Law Judge shall provide all parties with a statement which recites:

- 1) Any ruling on motions or other actions taken by the Administrative Law Judge;
- 2) Any agreements made by the parties as to any of the matters considered; and
- 3) Those issues remaining for hearing.

- c) A stenographer may be present to transcribe the proceedings at a prehearing conference. All costs related to the stenographic services shall be borne by the party requesting such service.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.226 Stipulations

The parties to any proceeding may, by stipulation in writing filed with the Commission or entered orally in the record, agree upon the facts or any part thereof involved in the proceeding. It is the policy of the Commission to encourage stipulations of fact whenever practicable.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.230 Default

Failure of a party to appear on the date set for hearing or failure to file materials or submissions required by this Part or by order of the hearing officer Administrative Law Judge or Commission, shall constitute a default. The hearing-officer Administrative Law Judge may upon motion of the party who has appeared or upon his or her own motion dismiss the appeal subject to approval of the Commission.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

Section 1.232 Burden of Proof

## CIVIL SERVICE COMMISSION

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or at the discretion of the Administrative Law Judge any or all witnesses may be sequestered.

- b) Preliminary matters such as objection to charges, disputes involving discovery, stipulation of facts and documents, and scheduling of witnesses may be resolved.
- c) Each party shall be given the opportunity to make a brief opening statement identifying the issues and indicating what is to be proven.
- d) All witnesses shall testify under oath or affirmation.
- e) Each party may conduct such cross-examination as shall be required for a full and true disclosure of the facts. The Administrative Law Judge may also examine witnesses.
- f) Before closing the hearing the Administrative Law Judge may allow both parties the opportunity to make brief oral or written closing statements.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.237 Hostile Witness

If the Administrative Law Judge determines that a witness is hostile or unwilling, examination of the witness by the calling party may be conducted as if under cross-examination. The party calling an occurrence witness may, upon showing the witness was called in good faith but the calling party is surprised by the testimony, impeach the witness by proof of prior inconsistent statements.

(Source: Added at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.240 Interlocutory Appeal

When in the course of a hearing the hearing-officer Administrative Law Judge finds a question of law, fact, or policy that if resolved by the Commission will materially advance the resolution of the dispute, the hearing-officer Administrative Law Judge on his own motion or the motion of one of the parties may refer the issue to the Commission for resolution.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.250 Past Work Record

In a disciplinary hearing the performance records of the employee or past disciplinary records are relevant for the purpose of mitigation or aggravation of penalty in the event the employee is found guilty of the disciplinary charge.

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(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.270 Authority of Commission to Modify Hearing--Officers Administrative Law Judge's Decision - Finality of Decision

- a) The Commission shall have the authority to affirm, reverse, modify, or set aside in whole or in part the decision of the hearing-officer Administrative Law Judge.
- b) A decision or action of the Commission shall become final at the time it is made in writing and announced at an open and public meeting of the Commission and cannot be further reviewed by or appealed to the Commission.
- c) The Commission's final administrative decision shall be served on the parties or their legal representative by United States mail to the last known address of the party or counsel.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.280 Record of Proceedings

- a) Oral--proceedings--in--all--contested--cases--shall--be--recorded stenographically--and--the--agency--which--is--a--party--to--the--proceedings shall--arrange--for--its--transcription--and--filing--of--the--record--in--the Springfield--office--of--the--Commission--unless--such--requirement--is--waived by--the--Commission--or--its--hearing--officers--at--a--pretrial--conference.

- a) Whenever a hearing is held under the Code or these rules, it shall be recorded by stenographic or other means which adequately preserves the record. The Administrative Law Judge or Commission may order that the recording be transcribed. The agency which is a party to the hearing shall bear the costs of the stenographer and original transcript. Parties who order copies of the transcript are responsible for the cost of the copies.

- b) The written record of the proceeding shall be filed with the Commission within ten days of the receipt of the transcript of the final hearing by either the agency or its representative. Written notice of filing shall be served on all parties to the proceedings.

- c) Any such record will be available for examination by the public at reasonable times in the Springfield office; and, upon written request made at least forty-eight (48) hours (exclusive of Saturdays, Sundays, and official State holidays) in advance, the Commission will make any such record available for examination at its Springfield Chicago office.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

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## Section 1.290 Remandment

Until the decision in a case is final, the Commission may remand it to the hearing-officer Administrative Law Judge for the purpose of taking additional evidence.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.300 Administrative Review

All final decisions of the Commission shall be subject to appeal by the parties to the proceedings under the "Administrative Review Act" (Ill. Rev. Stat. 1981 1991, ch. 110, pars. 3-101 et seq.) [735 ILCS 5/Art. III] by the filing of a complaint and the issuance of summons within 35 days from the date that a copy of the Commission decision was served upon the party affected thereby. A decision is deemed to have been served either when personally delivered or when deposited in the United States mail in a sealed envelope or package, with postage prepaid, addressed to the party affected thereby at his last known residence or place of business.

(Source: Amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.401.302 Response to Proposed Decisions

a) In a contested case where the members of the Commission have not heard the case or read the record, the findings and decision of the hearing officer Administrative Law Judge appointed by the Commission to conduct the hearing or the results of the investigation shall be mailed to the parties prior to the Commission rendering a final decision.

b) Five-Six copies of the response shall be filed in the Springfield office of the Commission. The responses shall be accompanied by proof that a copy of the response was served upon the opposing side.

(Source: Section 1.302 renumbered from Section 1.140 and amended at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.320 Classification Plan (Renumbered)

(Source: Section 1.320 renumbered to Section 1.45 at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.330 Collective Bargaining Agreements (Renumbered)

(Source: Section 1.330 renumbered to Section 1.141 at 19 Ill. Reg. 12451, effective AUG 21 1995)

## CIVIL SERVICE COMMISSION

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## Section 1.340 Jurisdiction B Exemptions (Renumbered)

(Source: Section 1.340 renumbered to Section 1.142 at 19 Ill. Reg. 12451, effective AUG 21 1995)

## Section 1.350 Orders of Compliance (Renumbered)

(Source: Section 1.350 renumbered to Section 1.143 at 19 Ill. Reg. 12451, effective AUG 21 1995)



## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Hospital Price Information
- 2) Code Citation: 77 Ill. Adm. Code 2530
- 3) Section Numbers:            Adopted Action:  
2530.40                            Amendment  
2530.Appendix B                Repealed
- 4) Statutory Authority: Section 4-4 of Article IV and authorized by Section 2-3 of Article II of the Illinois Health Finance Reform Act [20 ILCS 2215/4-4 and 2-3]
- 5) Effective Date of Rulemaking: August 21, 1995
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) Date Filed in Agency's Principal Office: August 18, 1995
- 9) Notice of Proposal Published in Illinois Register: April 28, 1995, 19 Ill. Reg. **6091**
- 10) Has JCAR issued a Statement of Objections to these rules? No
- 11) Difference(s) between proposal and final version: None
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will this rule replace an emergency rule currently in effect? No
- 14) Are there any amendments pending on this Part? No
- 15) Summary and Purpose of Rulemaking: These amendments change the rules to be consistent with recent changes to the Illinois Health Finance Reform Act. These changes enable the agency to apply criminal penalties to all intentional breaches of patient confidentiality by hospitals or other entities that are not authorized by the agency or statute.
- 16) Information and questions regarding these adopted amendments shall be directed to:  

Britt Hagen  
Deputy Executive Director  
Illinois Health Care Cost Containment Council  
4500 South Sixth Street Road

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

Suite 215  
Springfield, IL 62703-5118  
(217) 786-7001

The full text of the Adopted Amendment begins on the next page:

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

TITLE 77: PUBLIC HEALTH  
CHAPTER XI: ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

PART 2530  
HOSPITAL PRICE INFORMATION

## Section

2530.10 Price Information

2530.20 Posting Price Information

2530.30 Size and Place of Posting

2530.40 Reporting Information

APPENDIX A Current Established Charges For Services

APPENDIX B Report of Current Charges for Outpatient Services and Procedures (Repealed)

AUTHORITY: Implementing Section 4-4 of Article IV and authorized by Section 2-3 of Article II of the Illinois Health Finance Reform Act [20 ILCS 2215/4-4 and 2-3].

SOURCE: Adopted at 9 Ill. Reg. 12764, effective August 5, 1985; amended at 12 Ill. Reg. 20089, effective November 21, 1988; amended at 15 Ill. Reg. 1821, effective January 29, 1991; emergency amendment at 17 Ill. Reg. 14172, effective August 10, 1993, for a maximum of 150 days; emergency expired on January 7, 1994; amended at 18 Ill. Reg. 5343, effective March 21, 1994; amended at 19 Ill. Reg. 12478, effective AUG 21 1995.

## Section 2530.40 Reporting Information

Hospitals shall notify the Council annually of the prices posted pursuant to Section 2530.20 of this Part. Additionally, hospitals shall report the prices of those inpatient and outpatient out-patient services and procedures identified by the Council in Appendix-B as well as the number of available beds on June 30 of the current calendar year, December-31st-of-the-previous-year the number of inpatient in-patient days provided in the previous calendar year, and the total inpatient in-patient revenues and the total outpatient out-patient revenues for the twelve months ending June 30 of the current calendar year previous-calendar-year. The information required to be submitted pursuant to this Part shall be submitted on a form provided (with the accompanying instructions) to the hospital by the Council. The information shall be submitted by August 31 of the current calendar year February--28th--of-the--following--calendar--year. The Council shall designate a committee to annually review the inpatient and outpatient out-patient services and procedures reported by hospitals. The committee shall make annual recommendations prior to May 1 October--1 to the Council regarding the reporting of the twenty most relevant inpatient and outpatient out-patient services and procedures to be collected and disseminated in the current following year. The Council may designate additional inpatient and outpatient services and procedures, or may delete specific outpatient services and procedures, to be

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

reported.

(Source: Amended at 19 Ill. Reg. 12478, effective AUG 21 1995 )

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

## Section 2530. APPENDIX B Report of Current Charges for Outpatient Services and Procedures (Repealed)

## SERVICES-70-BB-REPORTED

## PRICE

Mammography	-----
CAG-Scan-(computerized-axial-tomography-of-head)	-----
Upper-GI-Series	-----
HIV-Antibody---EISA	-----
HIV-Antibody---Western-Biot	-----

## MEAN-PRICE

PROCEEDURES-70-BB-REPORTED	-----
B-a-C-(Non-Obstetrical)	-----
Arthroscopy---knee	-----
Cystoscopy	-----
Tonsillectomy-w/o-adenoidectomy	-----
Tonsillectomy-v/adenoidectomy	-----
Capsular-extraction-of-lens (cataract-removal)	-----
Bone-Marrow	-----
Excision-of-Bunion-and-Bunionette	-----

## OUT-PATIENT-SERVICES-AND-PROCEEDURES-70-BB-REPORTED

## REPORT-SERVICES

SERVICES---State---the-most-common-price-for-the-following-services-as-listed-on the-hospital-charge-master, as-of-December-31-19---

1. Mammography:  
State-the-most-common-price-for-a-mammography-test-(bilateral-view)
2. Computerized-axial-tomography-of-head:  
State-the-most-common-price-for-a-CAG-scan-of-the-head:

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

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## 3. Upper-GI-Series

State-the-most-common-price-for-an-Upper-GI-Series-

## 4. HIV-(HIV-III)-Antibody-Detection-Immunoassay-(EISA):

State-the-most-common-price-for-a-HIV-(HIV-III)-Antibody-Detection-Immunoassay-(EISA)-test:

## 5. HIV-(Western-Biot)-Confirmatory:

State-the-most-common-price-for-a-HIV-(Western-Biot)-Confirmatory-Test-

## REPORT-PROCEEDURES

Calculate--and--state--the--mean--charge--for--each--of--the--following--eight--(8)--out-patient--procedures--performed--as--listed--below--by--a--specific--ICB-9-EM--or--CPT-4--code--the--charges--to--be--calculated--are--to--represent--the--charges--billed--on--the--hospital--UB-03--form--for--out-patient--procedures--performed--December-1-31--of--the--calendar--year--the--following--definition--shall--be--used--in--calculating--each--coded--procedures--mean--charge:

Total-Aggregate-Charge-Per-Coded-Procedure = Mean-Average  
Total-number-of-such-coded-procedures-performed

Procedures-to-be-reported--ICB-9-EM-means-procedures-as-described--in--the--International-Classification-of-Diseases--13th-Revision--Clinical-Modification--Annotated--Edition--Eighth--Printing--October-1990--published--by--the--United-States-National-Center-for-Health-Statistics--and--does--not--include--any--later--amendments--or--editions--CPT-4--means--procedures--as--described--in--Physicians--Current-Procedural-Terminology--Fourth-Edition--CPT-1997--November--1997--published--by--the--American-Medical--Association--and--does--not--include--any--later--amendments--or--editions:

1. ICB-9-EM-69-09--or--CPT-4-50120-B-a-C-(Non-obstetrical)
2. ICB-9-EM-80-26--with--or--without--00-36--CPT-4-39070-Arthroscopy---Knee
3. ICB-9-EM-57-32-57-33-01050--or--CPT-4-52000-52007-Cystoscopy
4. ICB-9-EM-20-22--or--CPT-4-49026-Tonsillectomy-without-Adenoidectomy
5. ICB-9-EM-20-33--or--CPT-4-49021-Tonsillectomy-with-Adenoidectomy
6. ICB-9-EM-13-11-13-59--or--CPT-4-66030-66044-Capsular-Extraction-of--Lens  
(Cataract-removal)



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- 7- ~~IEB-9-EM-41-31-er-EPW-4-05095-er-05102-Bone-Marrow-(Biopsy/Aspiration)~~  
8- ~~IEB-9-EM-77-51-77-59-er-EPW-4-20290-20299-and-20110-Excision-of-Bunton~~  
~~and-Buntonette~~

(Source: Repealed at 19 Ill. Reg. 12478, effective  
AUG 21 1995.)

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Penalties
- 2) Code Citation: 77 Ill. Adm. Code 2540
- 3) Section Numbers: 2540.10 Adopted Action: Amendment
- 4) Statutory Authority: Section 2-3 of Article II of the Illinois Health Finance Reform Act [20 ILCS 2215/Art. V and 2-3]
- 5) Effective Date of Rulemaking: August 21, 1995
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) Date Filed in Agency's Principal Office: August 18, 1995
- 9) Notice of Proposal Published in Illinois Register: April 28, 1995, Issue 17, Page 6098
- 10) Has JCAR issued a Statement of Objections to these rules? No
- 11) Difference(s) between proposal and final version: Deleted ILCS citation on lines 23-24 and added ILCS citation on line 29
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any amendments pending on this Part? No
- 15) Summary and Purpose of Rulemaking: These amendments change the rules to be consistent with recent changes to the Illinois Health Finance Reform Act. These changes enable the agency to apply criminal penalties to all intentional breaches of patient confidentiality by hospitals or other entities that are not authorized by the agency or statute.
- 16) Information and questions regarding this adopted amendment shall be directed to:

Name: Britt Hagen,  
Deputy Executive Director  
Illinois Health Care Cost Containment Council  
Address: 4500 South Sixth Street Road  
Suite 215  
Springfield, Illinois 62703-5118

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

Telephone: 217/786-7001

The full text of the Adopted Amendment begins on the next page:

## ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

## NOTICE OF ADOPTED AMENDMENTS

## TITLE 77: PUBLIC HEALTH

## CHAPTER XI: ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

PART 2540  
PENALTIESSection  
2540.10 Criminal Penalties  
2540.20 Referral to State's Attorney  
2540.30 Request for Injunction

AUTHORITY: Implementing Article V and authorized by Section 2-3 of Article II of the Illinois Health Finance Reform Act [20 ILCS 2215/Art. V and 2-3].

SOURCE: Adopted at 9 Ill. Reg. 12778, effective August 5, 1985; amended at 12 Ill. Reg. 6114, effective March 21, 1988; emergency amendment at 16 Ill. Reg. 19223, effective November 25, 1992, for a maximum of 150 days; amended at 17 Ill. Reg. 9713, effective June 10, 1993; amended at 19 Ill. Reg. **12485**, effective **AUG 21 1995**.

## Section 2540.10 Criminal Penalties

- a) Any individual hospital or other organization or entity willfully violating the provisions of the Illinois Health Finance Reform Act [20 ILCS 2215] or this Chapter promulgated by the Council, shall be guilty of a business offense punishable by a fine of \$10,000 and each day's violation shall constitute a separate offense. These penalties apply to all intentional breaches of patient confidentiality not authorized by statute or the Council. ~~iii--Rev--Stat--1984-Supp--7-ch--iii--1727 par--6595--27-~~

- b) The State's Attorney of the county in which the violation occurred, or the Attorney General, shall, upon the request of the Council, bring an action for an injunction against any hospital violating the provisions of the Act. [20 ILCS 2215/5-2]

(Source: Amended at 19 Ill. Reg. **12485**, effective **AUG 21 1995**)

## DEPARTMENT OF PROFESSIONAL REGULATION

## NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Veterinary Medicine and Surgery Practice Act of 1994
- 2) Code Citation: 68 Ill. Adm. Code 1500
- 3) Section Numbers: Adopted Action:  
1500.51 New Section
- 4) Statutory Authority: The Veterinary Medicine and Surgery Practice Act of 1994 [225 ILCS 115].
- 5) Effective Date of Amendments: August 18, 1995
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Do these Amendments contain incorporations by reference? No
- 8) Date Filed in Agency's Principal Office: August 18, 1995
- 9) Date Notice of Proposal Published in Illinois Register: June 2, 1995, at 19 Ill. Reg. 7296
- 10) Has JCAR issued a Statement of Objections to these amendments? No
- 11) Difference(s) between proposal and final version: There were no substantive changes between the proposed and final versions.
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will these Amendments replace Emergency Amendments currently in effect?  
No
- 14) Are there any Amendments pending on this Part? No
- 15) Summary and Purpose of Amendments: The sunset rewrite of the Veterinary Medicine and Surgery Practice Act (P.A. 88-0424, certified August 20, 1993, and effective January 1, 1994) requires the Department of Professional Regulation to establish by rule a program of care, counseling or treatment for impaired veterinarians. This rulemaking establishes that a veterinarian who has been determined by a qualified health care professional to be impaired shall enter into an agreement with the Department in which the veterinarian agrees to participate in a program designed to provide care and treatment specifically for health care professionals.
- 16) Information and questions regarding this amended part shall be directed to:

## DEPARTMENT OF PROFESSIONAL REGULATION

## NOTICE OF ADOPTED AMENDMENTS

Department of Professional Regulation  
Attention: Jean Courtney  
320 West Washington, 3rd Floor  
Springfield, Illinois 62786  
217/785-0800 Fax #: 217/782-7645

The full text of the Adopted Amendments begins on the next page:



## DEPARTMENT OF PROFESSIONAL REGULATION

## NOTICE OF ADOPTED AMENDMENTS

TITLE 68: PROFESSIONS AND OCCUPATIONS  
 CHAPTER VII: DEPARTMENT OF PROFESSIONAL REGULATION  
 SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

## PART 1500

## VETERINARY MEDICINE AND SURGERY PRACTICE ACT OF 1994

Section	
1500.5	Approved Veterinary Medicine and Surgery Programs
1500.10	Application for Examination by Graduates of Approved Programs
1500.11	Application by Graduates of Unapproved Programs
1500.15	Temporary Permit
1500.20	Examination
1500.25	Continuing Education
1500.30	Endorsement
1500.35	Restoration
1500.45	Renewals
1500.50	Standards of Professional Conduct
1500.51	Impaired Veterinarian Program of Care, Counseling or Treatment
1500.55	Advertising
1500.60	Conduct of Hearings (Repealed)
1500.65	Annual Report of Board
1500.70	Granting Variances

**AUTHORITY:** Implementing the Veterinary Medicine and Surgery Practice Act of 1994 [225 ILCS 115] and authorized by Section 60(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/60(7)].

**SOURCE:** Rules and Regulations promulgated for the Administration of the Illinois Veterinary Medicine and Surgery Practice Act, effective January 1, 1984; amended at 2 Ill. Reg. 23, p. 13, effective June 10, 1978; codified at 5 Ill. Reg. 11070; amended at 6 Ill. Reg. 2004, effective January 30, 1982; Part repealed, new Part adopted at 9 Ill. Reg. 16377, effective October 10, 1985; amended at 11 Ill. Reg. 20966, effective December 9, 1987; transferred from Chapter I, 68 Ill. Adm. Code 500 (Department of Registration and Education) to Chapter VII, 68 Ill. Adm. Code 1500 (Department of Professional Regulation) pursuant to P.A. 85-225, effective January 1, 1988, at 12 Ill. Reg. 2982; amended at 13 Ill. Reg. 3826, effective March 10, 1989; amended at 15 Ill. Reg. 16702, effective October 30, 1991; amended at 18 Ill. Reg. 11212, effective June 30, 1994; amended at 19 Ill. Reg. **12488**, effective **AUG 18 1995**.

**Section 1500.51 Impaired Veterinarian Program of Care, Counseling or Treatment**

- a) Section 24.1 of the Act requires the Department to establish a program of care, counseling or treatment for impaired veterinarians.
- b) Definitions

1) "Impaired veterinarian" means a veterinarian who is unable to

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practice veterinary medicine with reasonable skill and safety because of a physical or mental disability as evidenced by a written determination or written consent based on clinical evidence, including deterioration through the aging process, loss of motor skills, or abuse of drugs or alcohol of sufficient degree to diminish a person's ability to deliver competent patient care.

- 2) "Program of care, counseling, or treatment" means a written schedule of organized treatment care, counseling, activities, or education satisfactory to the Board, designed for the purpose of restoring an impaired person to a condition whereby the impaired person can practice veterinary medicine with reasonable skill and safety of sufficient degree to deliver competent patient care.  
 [225 ILCS 115/24.1]

c) Program of Care, Counseling or Treatment

- 1) A veterinarian who has been determined by a qualified health care professional to be impaired shall enter into an agreement with the Department in which the veterinarian agrees to participate in a program designed to provide care and treatment specifically for health care professionals and which has been approved by the Department. The agreement may include, but not be limited to, the length of the program, the status of the licensee while in a treatment program, and a termination clause whereby both parties may terminate the agreement at any time.

- 2) All progress reports of treatment and participation in a treatment program shall be sent to the Department every 60 days. A relapse or non-compliance with the treatment program shall be reported to the Department immediately. All reports shall be signed by a licensed physician, clinical psychologist, licensed clinical social worker, licensed professional counselor or clinical professional counselor or other substance abuse professional approved by the Department.

- 3) An impaired veterinarian shall continue in an after care program until he/she is released upon successful completion of the structured treatment program.

- 4) If a veterinarian is being treated for alcohol or drug abuse:

- A) The person shall submit progress reports from any sponsors in Narcotics Anonymous or Alcoholics Anonymous or other after care programs to the Department on a quarterly basis. The person shall submit to random drug and alcohol screenings and the results shall be submitted to the Department by the treatment program. The impaired veterinarian is responsible for the cost of such reports. The Department shall be notified immediately by the treatment program if the person fails to submit to the random drug and alcohol screenings.
- B)

- d) All reports required shall be submitted to the Probation Compliance Supervisor, Illinois Department of Professional Regulation, 100 West

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e) Randolph Street, Suite 9-300, Chicago, Illinois 60601.  
The contents of any report shall be strictly confidential and shall be exempt from public disclosure. The reports shall be reviewed only by the following:

- 1) The Veterinary Licensing and Disciplinary Board.
- 2) Designated Department attorney(s).
- 3) Administrative personnel assigned to open mail containing reports and to process and distribute the reports to authorized persons, and to communicate with senders of reports.
- 4) The individual who is the subject of the report, his/her attorney or his/her authorized representative.

f) The reports may also be handled or processed by other designated person(s) in a limited manner necessary to implement reports required under the Act or this Section by computer, word processing equipment or other mechanical means. The data record shall be limited to the name and address of the originator of the report, the date the initial report was received, the date of the most recent report and the professional license number of the subject of the report.

g) The contents of the confidential reports relating to impaired person(s) shall not be used or made available in any other administrative proceedings before the Department of Professional Regulation or any other department; however, violations of the treatment or supervision plan will result in a review of the person's status by the Veterinary Licensing and Disciplinary Board or its designee for possible discipline or revision in the treatment program. The reports shall not be disclosed, made available or subject to subpoena or discovery proceedings in any civil or criminal court proceedings.

h) Upon determination by the Board that a report(s) on an impaired person is no longer required for review and consideration, the Board shall notify the maker of the reports to cease sending the reports, and the Board and Department records shall be purged of information contained in the reports. Such determinations shall be based on, but not be limited to: the type of impairment and the type of rehabilitation program, length of supervision, occurrence of any relapses and present status of the licensee.

(Source: Added 19 Ill. Reg. effective  
AUG 18 1995 12488 )

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1) Heading of the Part: Public Library Construction Grants

2) Code Citation: 23 Ill. Adm. Code 3060

3) Section Numbers: Proposed Action:

3060.400	Amendment
3060.800	Amendment
3060.900	Amendment
3060.1100	New Section

4) Statutory Authority: Implementing Section 3 of the Capital Development Bond Act of 1972 (30 ILCS 420/3) and authorized by Sections 3 and 8 of the Illinois Library System Act (75 ILCS 10/3 and 8)

5) Effective Date of Rules Amendments: August 22, 1995

6) Does this rulemaking contain an automatic repeal date? No

7) Does this amendment contain incorporations by reference? No

8) Date Filed in Agency's Principal Office: August 22, 1995

9) Notice of Proposal Published in Illinois Register: April 21, 1995, 19 Ill. Reg. 5982.

10) Has JCAR issued a Statement of Objections to this Rule? No

11) Differences Between Proposal and Final Version: A new subsection was added in 3060.800 that requires applicant libraries to submit an assurance that adequate methods of obtaining competitive bidding will be employed prior to awarding architectural, engineering and land surveying contracts and that the award of contracts will be made in accordance with the Local Government Professional Services Selection Act.

12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Not applicable.

13) Will this rule replace an emergency rule currently in effect? No

14) Are there any amendments pending on this Part? No

15) Summary and Purpose of Rule: Requires libraries and library systems applying for construction grants to include assurances that the library will expend the grant funds within twelve months of execution of the grant agreement, that the library will secure a fidelity bond naming the Secretary of State's Office as the exclusive beneficiary, and that any interest earned on the grant funds will be expended exclusively on the

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subject construction project. Financial reports must include interest earned on grant funds and expenditures made from grant funds and interest. Provides a schedule for disbursement of grant funds. The amended rules require applicant libraries to submit an assurance that adequate methods of obtaining competitive bidding will be employed prior to awarding architectural, engineering and land surveying contracts and that the award of contracts will be made in accordance with the Local Government Professional Services Selection Act.

16) Information and questions regarding this adopted amendment shall be directed to:

Kathleen Bloomberg  
Associate Director for Administration  
Illinois State Library  
300 S. Second Street  
Springfield, IL 62701-1796  
(217) 785-0052 or Fax: (217) 782-6062  
Internet: kbloom@library.sos.state.il.us

The full text of the adopted amendments begins on the next page:

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TITLE 23: EDUCATION AND CULTURAL RESOURCES  
SUBTITLE B: CULTURAL RESOURCES  
CHAPTER I: SECRETARY OF STATE

## PART 3060

## PUBLIC LIBRARY CONSTRUCTION GRANTS

## SUBPART A: INTRODUCTION

Section  
3060.100  
3060.200  
3060.400

Program Purpose  
Duty to Administer  
Definitions

## SUBPART B: GRANT APPLICATION

Section  
3060.500  
3060.600  
3060.700  
3060.800  
3060.900  
3060.1000  
3060.1100

Priorities in Library Grant Construction Proposals  
Grant Funding Limitations  
The Chicago Public Library Branches  
Grant Application Procedure  
Requirements and Conditions of Grant Funds  
Remodeling for Accessibility  
Disbursement of Grant Funds

## SUBPART C: APPEAL PROCEDURE

Section  
3060.2000

Appeal Procedure

## APPENDIX A EDA Qualified Areas (Repealed)

AUTHORITY: Implementing Section 3 of the Capital Development Bond Act of 1972 [30 ILCS 420/3] and authorized by Sections 3 and 8 of the Illinois Library System Act [75 ILCS 10/3 and 8].

SOURCE: Emergency rules adopted and codified at 7 Ill. Reg. 2017, effective January 28, 1983, for a maximum of 150 days; emergency expired June 27, 1983; adopted at 8 Ill. Reg. 2510, effective February 10, 1984; Part repealed, new Part adopted by emergency action at 9 Ill. Reg. 4560, effective March 20, 1985, for a maximum of 150 days; emergency expired August 17, 1985; Part repealed, new Part adopted at 9 Ill. Reg. 15004, effective September 25, 1985; emergency amendment at 9 Ill. Reg. 17885, effective November 4, 1985, for a maximum of 150 days; emergency expired April 3, 1986; amended at 10 Ill. Reg. 20002, effective November 19, 1986; amended at 12 Ill. Reg. 11264, effective July 1, 1988; emergency amendment at 17 Ill. Reg. 18687, effective October 12, 1993, for a maximum of 150 days; amended at 18 Ill. Reg. 4996, effective March 14, 1994; amended at 19 Ill. Reg. 12493, effective AUG 22 1995.



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## SUBPART A: INTRODUCTION

## Section 3060.400 Definitions

For the purposes of this Part:

"Act" means the Illinois Library System Act [75 ILCS 10].

"Application round" means the period in which applications for grants are available to prospective applicants and completed applications are reviewed and grants awarded. ~~If additional monies remain after the first application round (held in the fall), a second application round (in the spring) shall be held.~~ Prospective grant applicants may apply during any ~~either~~ round ~~offered~~ or both rounds.

"Appropriation" means the amount of funds actually approved by the General Assembly for a particular fiscal year and allocated to fund the construction grant program under Section 8 of the Illinois Library System Act.

"Construction" includes, but is not limited to:

The construction of new public library and library systems buildings.

The acquisition, expansion, remodeling and/or alteration of existing buildings.

The purchase of initial equipment for new buildings or existing buildings which are being expanded, remodeled, or altered, under this grant.

Any combination of such activities (including architect's fees and the cost of the site if acquired in the last 2 years).

"Equipment" includes:

Machinery, utilities and built-in equipment and any necessary enclosures or structures to house them, and all other items necessary for the functioning of a particular facility as a library or as a library system facility. By way of illustration, "equipment" includes, for example, fixtures, furnishings, shelving, and carpeting. "Equipment" does not include, for example, books, periodicals, films, or recordings.

"Intersystem reciprocal borrowing" means reciprocal borrowing transactions involving a lending library and a patron registered as a borrower at a library in another system.

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"Library" means a tax-supported public library within an Illinois Library System. "Library" also means a branch library of a main library facility.

"Library system" means an organization defined at Section 2 of the Library System Act [75-ILCS-10].

"Political unit" refers to the local governing authority.

"State fiscal year" means the period from July 1 through June 30.

(Source: Amended at 19 Ill. Reg. **12493**, effective **AUG 22 1995**)

## SUBPART B: GRANT APPLICATION

## Section 3060.800 Grant Application Procedure

The following application procedures shall apply:

- a) An "Intent to Apply" letter shall be submitted to the respective Regional Planning Commission in advance of the application for a construction grant. A copy of the reply from the applicable Regional Planning Commission and a copy of the "Intent to Apply" letter shall be submitted to the Illinois State Library.
- b) The Illinois State Library shall issue application forms for library construction grants under this program.
- c) Applying libraries and library systems shall submit the completed library construction grant application together with the following documents or written assurances to be eligible for library construction grants:
  - 1) An assurance that the real estate affected by the proposed construction is available to the library or library system.
  - 2) The legal description of the affected real estate.
  - 3) An assurance that other funds are available or how they will be secured by the library. Funds which will be available upon the grant award may include a mortgage commitment letter from a lender or a promise to donate funds. Assurances from the applicant that various fund-raising activities will be undertaken in the future, where the amount to be raised remains uncertain, shall not be counted as part of the local matching funds for the purposes of Section 3060.100.

4) An assurance that the library will expend Secretary of State

library construction grant funds within 12 months after the execution of the grant agreement.

5) A building program including preliminary construction plans.

6) A site plan of the proposed building.

7) An estimated cost per square foot (for additions and new construction).

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- 8)77 A statement describing the necessity for the proposed project.
- 9)87 A statement of plans to meet existing library standards of service ("Avenues to Excellence II: Standards for Public Library Service in Illinois" - Chicago IL, Illinois Library Association, 1989). The material incorporated by reference includes no later amendments or editions. This subsection shall not apply to library systems.
- 10)97 A description of the project's potential contribution to the improvement of library services within the library's area of service and in any other portions of the State.
- 11) An assurance that the library will secure a fidelity bond naming the Office of the Illinois Secretary of State as the exclusive beneficiary in an amount equal to 1.25 times the grant award.
- 12)107 An assurance that construction work will be performed by the lump sum (fixed price) contract method.
- 13)117 An assurance that adequate methods of obtaining competitive bidding will be employed prior to awarding the construction contract, either by public advertising or circularizing three or more bidders, and that the award of the contract will be made to the responsible bidder submitting the lowest acceptable bid.
- 14)127 An assurance that all laborers and mechanics employed by the contractor or subcontractors on all construction projects assisted by the Act shall be paid wages at rates not less than those prevailing on similar construction in the locality, as determined by the Illinois Department of Labor in accordance with the Prevailing Wage Act [820 ILCS 130].
- 15)137 An assurance that a copy of the building permit shall be supplied to the Illinois State Library prior to the actual construction and that the permit shall be posted in a prominent place on the construction site.
- 16)147 An assurance that all contractors and subcontractors shall comply with the provision of the Copeland Anti-Kick Back Act (40 U.S.C. 276c (1982)) supplemented in U.S. Department of Labor regulations (29 CFR 3 (1985)). The material incorporated by reference includes no later amendments or editions.
- 17)157 An assurance that contractors and subcontractors shall comply with all applicable provisions of the Illinois Human Rights Act [775 ILCS 5] and all Federal and State laws, rules, and regulations which prohibit discrimination because of race, color, religion, sex, marital status, national origin, ancestry, age, and physical or mental handicap.
- 18)167 An assurance that architectural, engineering and land surveying contracts will be made in accordance with the Local Government Professional Services Selection Act [50 ILCS 510].
- 19) An assurance that construction contracts signed by both the library board (or library system board) and contractors will be prepared on standard American Institute of Architecture (AIA) forms that are submitted to the Illinois State Library prior to

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- the start of construction; also, all subcontractors are to perform work in accordance with the conditions and standards contained in the contracts signed by the board and the Illinois State Library. The Illinois State Library shall have the right to disapprove any such contracts between the library board or library system board and contractors if:
- A) The bidding procedure outlined in subsection (c)(11) was not followed.
- B) The conditions and standards specified in the contract between the Illinois State Library and the library board are not incorporated into the contracts between the library board or library system board and the contractors.
- 20)177 An assurance that a revised budget will be prepared after bids have been accepted and will be submitted to the Illinois State Library for approval prior to actual construction. Such approval will be based on the exercise of professional judgment to insure that the provision of library services will not be harmed by the changes reflected in the revised budget. Such approval will also be based on the reduction in the contingency line item from ~~five percent~~ 5% in the original budget to ~~two percent~~ 2% of total project cost in the revised budget. Grant monies awarded are based on the amount specified in the original budget; grant awards will not be increased because of subsequent increases in revised budgets.
- 21)207 An assurance that a plaque will be placed in the completed building stating that State funds administered by the Secretary of State and State Librarian were used for the building's construction.
- 22)197 An assurance that permits any agent authorized by the Illinois State Library, upon presentation of credentials to, in accordance with the constitutional limitation on administrative searches, have full access to and the right to examine any records, books, papers, or documents, of the grantee involving transactions related to the grant.
- 23)207 An assurance that the construction will commence within ~~one hundred--forty--~~ 140 days after of the effective date of the grant contract, and that the Project will be completed within a reasonable length of time.
- 24)217 An assurance that a sign will be displayed on the construction site stating that State funds administered by the Secretary of State and State Librarian are being used for the construction.
- 25)227 An assurance that the following reports and records will be completed and transmitted to the Illinois State Library: Monthly reports of interest earned on grant funds, quarterly ~~quarterly~~ narrative and financial reports; notification within 15 days of completion of the project; a close-out report which is a final financial and narrative report within 90 days after of the completion of the Project; and other reports and documents, such

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as prevailing wage rates and receipts to verify vouchers, as reasonably may be required by the State.

A) Financial reports shall show the amount of authorized State and local funds, interest earned on grant funds, expenditures made from grant funds and from interest earned on grant funds, obligated funds by amount and by percentage of line item remaining as compared to the original budget.

B) Narrative reports shall state the progress of the Project, accomplishments to date, problems encountered, objectives met and unmet, changes implemented, and the percentage of completion of the Project to date.

C) The close-out report shall evaluate the degree to which the grantee achieved the goals and objectives of the Project. The close-out report shall include a project audit report which shall be completed by an independent certified public accountant or accounting firm using generally accepted accounting principles. The project audit report shall include financial statements and compliance statements (which indicate that grant monies have been obligated in compliance with applicable laws and regulations of the State of Illinois and this Part).

26) ~~23~~ 24) An assurance that the building will remain in use as a public library or library system facility for not less than twenty years after its construction unless other use is approved by the Illinois State Library.

27) ~~24~~ 25) An assurance letter from the Historic Preservation Agency stating the project is in compliance with all of the requirements related to the National Register of Historic Places.

28) ~~25~~ 26) An assurance letter from the Illinois State Water Survey Division of the Illinois Department of Energy and Natural Resources stating that the project site is not located in a Special Flood Hazard Area. If the project site is located in a Special Flood Hazard Area, the applicant shall submit an assurance letter from the Division of Water Resources, the Illinois Department of Transportation, stating that the project meets the requirements of Executive Order 79-4 regarding flood damages ~~(this citation is for reference purposes and is not an incorporation by reference).~~

29) ~~26~~ 27) An assurance that any change in the Plans and Specifications requiring a work change order will be submitted to the Illinois State Library; any change order of ~~ten thousand dollars~~ \$10,000 or more will be submitted to the Illinois State Library for approval prior to being effected. The change order will be approved if the change does not have an adverse impact on library services.

30) An assurance that any interest earned on the grant funds will be expended, without limitation or exception, exclusively on the subject construction project.

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d) All applications will be considered by the Illinois State Library Advisory Committee in accordance with the provisions of this Part.

(Source: Amended at 19 Ill. Reg. 12493, effective AUG 22 1995)

## Section 3060.900 Requirements and Conditions of Grant Funds

a) Building Construction Plans

1) Library buildings are to be planned for ~~twenty~~ 20 year population projection (for new construction and additions to buildings).

2) A library building consultant shall be retained by the grantee throughout the planning and construction if the total cost of the project exceeds \$150,000.

3) The architects and/or engineers employed in the design and construction of the project must be registered to practice in the State of Illinois.

4) The library must meet the eligibility criteria to qualify for per capita grants provided in 75 ILCS 10/8.1, and submit an application for such grants. This subsection shall not apply to library systems.

5) The library or system facility shall provide access for the physically handicapped as required in Accessibility Standards Illustrated (71 Ill. Adm. Code 400), published by the Illinois Capital Development Board, and shall display the symbol of accessibility.

b) The library or library system shall own the proposed building site in fee simple title, or show the legal right to use the said premises for an unlimited duration.

c) A project will not be advertised or placed on the market for bidding until the final working drawings and specifications have been approved by the Illinois State Library.

d) All contracts for library construction shall be awarded to the lowest qualified bidder on the basis of open competitive bidding; however, if one or more items of construction are covered by an established alternative procedure used by a local unit of government, consistent with State and local laws and regulations, and approved by the Illinois State Library as designed to assure construction in an economical manner consistent with sound business practices, such alternative procedure may be followed, as is consistent with State statutes and local ordinances.

e) Contractors and subcontractors shall submit with each request for payment the weekly payroll forms required by the Davis-Bacon Act (40 U.S.C. 327 et seq. (1982)).

f) The library system of which the applicant is a member shall be notified of the proposed project; a copy of the completed application shall be sent to the library system director by the applicant. This



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- subsection shall not apply where the library system is the applicant.
- g) The grant recipient must secure a fidelity bond naming the Office of the Illinois Secretary of State as the exclusive beneficiary in an amount equal to 1.25 times the grant award. Failure to submit said fidelity bond by scheduled award date may result in loss of grant.
- h) The Library Board shall establish and maintain such records and accounts as will permit accurate and expeditious audits at any time, before, during, and after completion of construction; such records shall be retained for not less than the time provided for by the Local Records Act [50 ILCS 205].
- i) The Library Board shall comply with all applicable provisions of the Illinois Purchasing Act [30 ILCS 505].
- j) The library must permit intersystem reciprocal borrowing.

(Source: Amended at 19 Ill. Reg. 12493, effective AUG 22 1995)

## Section 3060.1100 Disbursement of Grant Funds

The Illinois State Library shall disburse grant funds in accordance with the following schedule:

- a) 45% upon approval of the subject application and execution of the grant agreement;
- b) 45% upon receipt by the Illinois State Library of the following items as listed in the Assurance of Compliance:
  - 1) a revised construction schedule
  - 2) a copy of building permit
  - 3) a revised budget (after bid acceptance)
  - 4) a copy of subject library's contract with general contractor
  - 5) notification of the erection on the construction site of a sign stating that library construction funds administered by the Secretary of State and State Librarian are being used for the construction
  - 6) quarterly narrative and financial reports to date; and
- c) 10% upon completion of the project and receipt and approval of the close-out reports by the Illinois State Library.

(Source: Added at 19 Ill. Reg. 12493, effective AUG 22 1995)

## COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

## NOTICE OF EMERGENCY AMENDMENTS

- 1) Heading of the Part: Real Estate Appraiser Certification
- 2) Code Citation: 68 Ill. Adm. Code 1455
- 3) Section Numbers: Emergency Action:

1455.70	Amendment
1455.200	Amendment
1455.210	Repealed
1455.300	Amendment
1455.305	New
- 4) Statutory Authority: Implementing Article 2 of the Real Estate License Act of 1983 [225 ILCS 455/Art. 2] (see P.A. 89-0023, effective July 1, 1995) and authorized by Section 60(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/60(7)].
- 5) Effective Date of Rules: August 16, 1995
- 6) If this Emergency Rule is to expire before the end of the 150-day period, please specify the date on which it is to expire: This Emergency Rule will not expire before the end of the 150-day period.
- 7) Date Filed in Agency's Principal Office: August 15, 1995
- 8) Reason for Emergency: This emergency rulemaking is necessary to establish fees to be paid to the Office of the Commissioner of Savings and Residential Finance by appraiser applicants and licensees pursuant to administration of Article 2 of the Real Estate License Act of 1983.

SB 349 (P.A. 89-23; effective July 1, 1995) transferred regulatory responsibility for the Real Estate License Act from the Department of Professional Regulation to the Commissioner's Office. As part of the transfer, SB 349 repealed statutory provisions which had formerly established license fees and other fees under the Act and provided instead that henceforth such fees would be established by the Commissioner by rule.

The regulatory transfer has now occurred and the previous statutory fee provisions have now been repealed. Without rules setting forth fees, no formal fee structure for appraiser licensing in Illinois would exist. Since regulatory/administrative activities pursuant to the Act are now commencing by the Commissioner's Office, it is necessary to adopt a fee structure immediately so that license applications, renewals, etc., can be processed.

- 9) A Complete Description of the Subjects and Issues Involved: Section 1455.305 is a new Section of the rules adopted pursuant to the Real

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Estate License Act of 1983. It sets forth the fees to be paid by applicants and licensees under the Act for initial license and license renewal for State licensed real estate appraisers, certified general and certified residential real estate appraisers, and appraiser education providers. It also sets forth fees to be paid in relation to pre-license/certification and continuing education course approval. It also sets forth general fees relating to administration of the Act to cover the costs of such things as duplicate licenses, certification of a licensee's record, wall licenses, licensee rosters, examinations, and copies of record of proceedings. Section 1455.305 replaces statutory fee provisions in the Act which were repealed.

In conjunction with creating the new Section 1455.305 on fees, this rulemaking repeals existing Section 1455.210 which set forth fees and other requirements relating to education providers and courses. The fee language from Section 1455.210 is replaced by new language in Section 1455.305. Other non-fee language from Section 1455.210 is moved to Section 1455.300.

Similarly, language from existing Section 1455.70 relating to temporary permit fees is deleted and replaced by language in new Section 1455.305.

Section 1455.200 is modified to provide that State agencies, like Illinois colleges and universities, will not be required to pay application fees required for education providers.

Section 1455.70 1455.200, and 1455.300 are amended to update references reflecting creation of the new Section 1455.305.

Section 1455.305 is patterned largely on the old fee structure formerly set by statute. After consultation and agreement with industry representatives, the levels of the various fees established in Section 1455.305 have been established pursuant to Section 36.6 of the Act, which provides for the promulgation by rule of fees to be paid by applicants and licensees "to cover the reasonable costs (of the Commissioner's Office) in administering and enforcing the provisions" of Article 2 of the Act and to "provide by rule for general fees to cover the reasonable expenses of carrying out other functions and responsibilities" under Article 2 of the Act.

Since a major two-year renewal for appraisers is imminent, appraiser application and renewal fees have been left at previous levels effective through November 30, 1995. Effective December 1, 1995, these fees will increase to their permanent levels. Both the current and future levels of these fees are set forth in the language of new Section 1455.305(a) and (b).

Similar fee rules under the Act applying to licensed real estate

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salespersons, brokers and education providers are being promulgated in a separate rulemaking reflecting the separate treatment of salesperson and broker regulation under the Act.

Section 10(g) of the Real Estate Regulation Transfer Act (P.A. 89-23; effective July 1, 1995) provided that the "rules adopted by the Department of Professional Regulation relating to the powers and/or duties transferred to the (Commissioner's Office) under this Act are not affected by this Act, except that on July 1, 1995, those rules become the rules of the (Commissioner's Office)." Because of this, Section 1455.305 is being added to rules which appear to be rules of the Department of Professional Regulation, but which in fact have now been made rules of the Office of the Commissioner of Savings and Residential Finance. The Commissioner's Office intends to promulgate a clean-up revisory of these rules to update agency names, references, etc., in conjunction with the transfer of real estate regulation, but the immediate need for a fee structure requires emergency rulemaking on fees before the revisory clean-up will be promulgated.

10) Are there any other proposed amendments Pending to this Part? No

11) Statement of Statewide Policy Objectives: This rule will not affect local government.

12) Information and questions regarding this Emergency Rules shall be directed to:

Mr. John E. Arthur, Legislative Liaison  
Commissioner of Savings & Residential Finance  
500 East Monroe Street, Suite 800  
Springfield, Illinois 62701-1509  
(217) 782-6169.

13) Regulatory Agenda on which this rulemaking was summarized: July 1995

The full text of the emergency amendments begins on the next page:

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TITLE 68: PROFESSIONS AND OCCUPATIONS  
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 SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

## PART 1455

## REAL ESTATE APPRAISER CERTIFICATION

## SUBPART A: RESIDENTIAL AND GENERAL CERTIFICATION

Section	Definitions
1455.10	Uniform Standards of Professional Appraisal Practice
1455.15	Jurisdictional Exceptions/Supplemental Standards
1455.16	Education and Experience Requirements for State Licensed Real Estate Appraiser
1455.20	Appraiser
1455.30	Education and Experience Requirements for Certified Residential and Certified General Real Estate Appraiser
1455.40	Application as a State Licensed Real Estate Appraiser, Certified Residential Real Estate Appraiser or Certified General Real Estate Appraiser
1455.50	Examination
1455.60	Nonresident Licensure/Certification
1455.70	Nonresident/Temporary Practice
EMERGENCY	

## SUBPART B: EDUCATION PROVIDERS

Section	Approval of Education Providers/Courses
1455.200	Approval of Education Providers/Courses
EMERGENCY	
1455.205	Appraiser Continuing Education (CE)
1455.210	Fees - Education Providers/Courses <u>(Repealed)</u>
EMERGENCY	

## SUBPART C: GENERAL

Section	Renewals
1455.300	Renewals
EMERGENCY	
1455.305	Fees
EMERGENCY	
1455.310	Granting Variances

AUTHORITY: Implementing Article 2 of the Real Estate License Act of 1983 [225 ILCS 455/Art. 2] and authorized by Section 60(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/60(7)].

SOURCE: Emergency rules adopted at 16 Ill. Reg. 16196, effective September

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30, 1992, for a maximum of 150 days; rules adopted at 17 Ill. Reg. 1589, effective January 26, 1993; emergency amendment at 17 Ill. Reg. 6668, effective April 19, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 13494, effective July 30, 1993; amended at 18 Ill. Reg. 2379, effective January 28, 1994; emergency amendment at 18 Ill. Reg. 3006, effective February 10, 1994, for a maximum of 150 days; amended at 18 Ill. Reg. 8428, effective May 24, 1994; amended at 19 Ill. Reg. 9176, effective June 26, 1995; emergency amendment at 19 Ill. Reg. 12503, effective August 16, 1995, for a maximum of 150 days.

## SUBPART A: RESIDENTIAL AND GENERAL CERTIFICATION

## Section 1455.70 Nonresident/Temporary Practice

EMERGENCY

- a) A nonresident appraiser, licensed/certified in another jurisdiction, may apply for a temporary appraisal practice permit by filing with the Department, on an application provided by the Department. The information submitted on the application shall include, but not be limited to, the following:
    - 1) The applicant's name, address, social security number, any other such information as might be necessary to identify the applicant.
    - 2) A certification from the agency in the applicant's home state of licensure/certification, certifying that the applicant is a duly licensed/certified real estate appraiser in good standing; and, setting forth any discipline taken (or pending) by the agency against the applicant.
    - 3) An estimate of the amount of time required to perform the appraisal assignments(s) and a description of the property or properties to be appraised by the applicant.
    - 4) An irrevocable consent that service of process in any action against the applicant that may arise from the applicant's Illinois appraisal activities may be made by delivery of process on the Illinois Appraisal Administrator.
    - 5) Such other information as may be necessary to determine the applicant's eligibility for temporary appraisal privileges within the State of Illinois.
  - b) Limitations and requirements for temporary appraisal practice are as follows:
    - 1) The temporary practice permit shall be for a period of 60 days from the date of issuance. The permit may not be renewed but may be extended for 30 days upon written request and payment of an extension fee, at least 14 business days prior to the expiration of the original temporary practice permit;
    - 2) Each applicant is limited to 2 temporary appraisal practice permits in any calendar year;
- 3) ~~accompany the application and is non-refundable; the fee for~~



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~~extension--of--an-appraisal-permit--is--\$900-000--shall--accompany--the--written-request-for-extension--and--is--not--refundable;~~

- 34) Persons granted temporary appraisal practice permits shall not advertise, solicit or otherwise represent themselves as State Licensed Real Estate Appraisers, Certified Residential Real Estate Appraisers or Certified General Real Estate Appraisers; and

- 45) Applicants will be required to pay any fee required by the federal government under Title XI of the Federal Institutions Reform, Recovery and Enforcement Act of 1989.

(Source: Emergency amendment at 19 Ill. Reg. **12503**, effective August 16, 1995, for a maximum of 150 days)

## SUBPART B: EDUCATION PROVIDERS

**Section 1455.200 Approval of Education Providers/Courses**  
**EMERGENCY**

- a) An entity seeking approval as an appraisal education provider shall submit an application, on forms provided by the Department, and shall meet the following minimum criteria:

- 1) The provider shall:
  - A) Maintain a fixed office that is adequate for the maintenance of all records, office equipment, files, telephone equipment and office space necessary for customer service;
  - B) Offer a minimum of one curriculum that conforms to the standards of subsections (c) and (d) of this Section;
  - C) Administer a mandatory final examination for each pre-license course offering;
  - D) Provide each student within 21 days of completion of each course (or within 21 days of a request by a student or the Department), a certification of completion, transcript or other document verifying hours of attendance, successful course completion and identifying the course by name and number, if any. In addition, such certificate, transcript or other document shall indicate the provider's address and telephone number, the location and date of the course, and include an authorized signature of the course provider's representative. Documentation for CE courses may be in the form of a Uniform Request for Continuing Education, which is a form supplied by national appraisal organizations;
  - E) Submit the fee(s) set forth in Section 1455.305 ~~1455-210~~;
  - F) Comply with all applicable fire, building, zoning, health, safety and accessibility codes and standards pertaining to the premises, equipment and facilities of the course site;
  - G) Provide the student with information which specifies the course of study to be offered; the tuition to be charged;

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the school's policy regarding refund of unearned tuition when a student is dismissed or withdraws voluntarily or through hardship; any additional fee to be charged for supplies, materials or books which become the property of the student upon payment; and such other matters as are material to the relationship between the school and the student (e.g., cost of retaking a course, current status of licensure, any disciplinary action taken by the Department and attendance requirements);

- H) Maintain for each student a record which shall include the course of instruction undertaken, dates of attendance, and areas of study completed satisfactorily. Each student's record shall be maintained by the school for a period of at least 7 years and shall be available for inspection by the student or by the Department or its designee during regular business hours; and
- I) Employ competent instructors.

- i) Beginning December 31, 1993, instructors for courses in the IL IV and IL V curricula shall be Certified General Real Estate Appraisers or full time faculty members of a 4-year college or university.
- ii) Beginning December 31, 1993, instructors for courses in the IL I, IL II and IL III curricula shall be Certified Residential or Certified General Real Estate Appraisers or full time faculty members of a 4-year college or university.
- iii) For CE courses and courses in the IL E curriculum, instructors should be Certified Residential or General Real Estate Appraisers or persons with education and/or experience in appraisal or the subject matter of the course.

- 2) Approved course providers shall not advertise as being endorsed, recommended or accredited by the Department. Course providers may indicate that the provider and course of study have been approved by the Department.

- 3) Illinois Colleges, Universities, and Agencies
- A) Colleges and universities which apply as appraisal education providers under subsection (a)(1) above shall be accredited by the regional accrediting body and offer either or both an associate's and baccalaureate degree program.
  - B) Illinois Colleges and universities will not be required to pay the application fees required by Section 1455.305 ~~1455-210~~.
  - C) Agencies under the jurisdiction of the Governor of the State of Illinois will not be required to pay the application fees required for education providers by Section 1455.305.

- b) Appraisal Education Sub-Providers
- 1) Sub-organizations (such as chapters, branch schools and local

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associations) may seek CE course approval (licensure) under the appraisal education provider's license of the parent organization. Such sub-providers may not seek approval for pre-license appraisal courses. Sub-providers may offer pre-license courses as a co-sponsor with the parent provider.

2) Sub-organizations need not apply to the Department to become an approved CE course provider but may seek course approval under the providership of the parent organization.

- A) A sub-provider need not comply with (A), (C), (D) or (H) of subsection (a)(1) of this Section.
- B) The license of the parent organization may not be jeopardized or disciplined as a result of the actions of the sub-provider.

3) The appraisal education sub-provider, on each application for CE course approval, must certify:

- A) The sub-organization has reviewed the CE course and approves the course content;
- B) The sub-organization is an authorized affiliate of the parent organization;
- C) The parent organization has given the sub-organization permission to seek course approval (licensure) under the umbrella of the parent organization's provider's license; or, that the parent organization will recognize the course for CE credit within its own CE program.

4) Each CE course sub-provider shall issue to each registered student a certificate of attendance that shall indicate the student's name, social security number or appraiser license/certification number, the date(s) and location of the course, the signature of an authorized representative of the sub-provider and a statement that the student did or did not attend a minimum of 90% of the course. A certificate of attendance may be in the form of a course attendance diploma, a certification letter, an official transcript or a "Uniform Request for Continuing Education Credit".

5) Within twenty-one (21) days after completion of each CE course presentation, the sub-provider shall certify to the Department, Office of the Appraisal Administrator, a roster of all duly registered students. The certification shall be on forms provided by the Department and shall include:

- A) The CE course license number;
- B) The license number of the parent provider;
- C) The date(s) and location of the CE presentation;
- D) The name of the instructor(s);
- E) A listing of students by full name, appraiser license/certification number (or social security number) and an indication that the student did or did not attend a minimum of 90% of the course (the names shall be listed in alphabetical order); and

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F) The authorized signature of a representative of the sub-organization.

c) Required Pre-License/Certification Course Curriculum  
 1) Standards of Professional Appraisal Practice--15 hours (IL I). This course curriculum reviews USPAP adopted by the Appraisal Subcommittee. Topics are:

- A) Ethics Provision - USPAP
- B) Competency Provision - USPAP
- C) Departure Provision - USPAP
- D) Standard 1 - USPAP
- E) Standard 2 - USPAP
- F) Standard 3 - USPAP
- G) Standard 4 - USPAP
- H) Standard 5 - USPAP
- I) Standard 6 - USPAP

2) Basic Principles of Appraisal--30 hours (IL II). This course curriculum shall include an overview of the appraisal process covering the principles of market and valuation analysis necessary for appraising real property and an introduction to appraisal theory, concepts, techniques and the level of competence required to perform professional appraisal analyses. Topics are:

- A) Influences on Real Estate
- B) Real Estate/Real Property/Personal Property
- C) Real Estate Ownership
- D) Legal Descriptions
- E) Types of Value
- F) Economic Principles
- G) Real Estate Markets and Market Analysis
- H) Money and Capital Markets
- I) Real Estate Financing
- J) Valuation Process
- K) Neighborhood Data and Analysis
- L) Site Data and Analysis
- M) Improvement Data and Analysis
- N) Basic Construction and Design
- O) Highest and Best Use Analysis
- P) Sources of Valuation Data
- Q) Accumulation of Valuation Data
- R) Overview of the Three Approaches to Value
- S) Reconciliation and Final Value Estimate
- T) Overview of the Appraisal Report

3) Residential Valuation Procedures/Single Family Appraisal--30 hours (IL III). This course curriculum shall be designed to provide an understanding and working knowledge of the procedures and techniques required to estimate the market value of residential properties. Emphasis should be placed on the extraction of data and the correct application of the three

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approaches to real estate valuation. Topics are:

- A) Basic Statistics
- B) Residential Site Valuation - Sales Comparison
- C) Residential Site Valuation - Allocation
- D) Residential Site Valuation - Extraction
- E) Cost Approach - Cost New Estimates
- F) Cost Approach - Entrepreneurial Profit
- G) Cost Approach - Types of Depreciation
- H) Cost Approach - Depreciation - Age-Life Method
- I) Cost Approach - Depreciation - Market Extraction Method
- J) Cost Approach - Depreciation - Breakdown Method
- K) Cost Approach - Application
- L) Sales Comparison Approach - Units of Comparison
- M) Sales Comparison Approach - Elements of Comparison
- N) Sales Comparison Approach - Cash Equivalency
- O) Sales Comparison Approach - Making Adjustments
- P) Sales Comparison Approach - Application
- Q) Income Capitalization Approach - Gross Rent Estimates
- R) Income Capitalization Approach - Gross Rent Multiplier
- S) Income Capitalization Approach - Application
- T) Residential Appraisal Reports

- 4) Valuation Procedures, Nonresidential Properties--30 hours (IL IV). This course curriculum focuses on the appraisal of nonresidential properties and provides a practical solution for estimating value by an in-depth study of appraisal theory and the development of advanced valuation skills. Topics are:

- A) Basic Statistics
- B) Site Valuation - Sales Comparison
- C) Site Valuation - Allocation/Extraction
- D) Site Valuation - Subdivision Analysis/Other Methods
- E) Cost Approach - Cost New Estimates
- F) Cost Approach - Entrepreneurial Profit
- G) Cost Approach - Types of Depreciation
- H) Cost Approach - Depreciation - Age-Life Method
- I) Cost Approach - Depreciation - Market Extraction Method
- J) Cost Approach - Depreciation - Breakdown Method
- K) Cost Approach - Application
- L) Sales Comparison Approach - Units of Comparison
- M) Sales Comparison Approach - Elements of Comparison
- N) Sales Comparison Approach - Cash Equivalency
- O) Sales Comparison Approach - Making Adjustments
- P) Sales Comparison Approach - Application
- Q) Income Approach - Income Estimates
- R) Income Approach - Expense Estimates
- S) Income Approach - Capitalization Rates
- T) Income Approach - Direct Capitalization
- U) Income Approach - Income Multipliers
- V) Income Approach - Application

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- W) Appraisal Reports
- 5) Income Capitalization--30 hours (IL V). Courses in this curriculum are to provide alternative methods of estimating present value based on income forecasts. There courses focus on more advanced capitalization methods and techniques. Topics include:

- A) Six Functions of \$1
- B) Gross Income Estimates
- C) Vacancy and Collection Loss
- D) Operating Expense Estimates
- E) Reserves for Replacement
- F) Operating Statement Ratios and Multipliers
- G) Debt Service/Equity Dividend
- H) Direct Capitalization
- I) Overall Rate Development - Market Extraction
- J) Overall Rate Development - Band of Investment
- K) Overall Rate Development - Ratios/Multipliers
- L) Overall Rate Development - Residual Techniques
- M) Equity Dividend Rate
- N) Debt Coverage Ratio
- O) Cash Flow Estimates
- P) Reversion Estimates
- Q) Discount and Yield Rates
- R) Yield Capitalization Overview
- S) Discounted Cash Flow Analysis Overview
- T) Lease Provisions, Analysis and Valuation
- U) Lease Analysis
- V) Partial Interest Valuation

- 6) Courses in the IL E curriculum (electives) are courses with topics that are considered more advanced; and/or cover appraisal topics not covered in the core course curricula. Credit for elective hours can be achieved by successful completion of courses approved in the IL E curriculum or by successful completion of courses with excess hours approved and allocated for elective credit in accordance with subsection (c)(9) of this Section.

- 7) Each pre-license/certification course shall be a minimum of 15 credit hours.

- 8) All pre-license/certification courses shall include a final examination.

- A) Each final exam for curricula IL II, IL III, IL IV, IL V and IL E (elective) courses shall consist of a minimum of 50 questions; however, courses approved for 15 hours credit may have a final examination with 25 questions.

- B) The final exam for IL I courses shall consist of a minimum of 25 questions.

- C) The applicant shall pass the examination in order to obtain credit for a course. A passing score shall be a minimum of



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70% of examination questions answered correctly.

- 9) If 80% of the required topics for IL II through IL V courses are presented, the course shall be approved for the minimum required hours. Two 15 hour courses from a single provider may be approved to meet a 30 hour curriculum requirement, provided the courses together cover a minimum of 80% of the required curriculum topics. An application for one 15 hour course in a curriculum requiring 30 hours will be denied. For courses in the IL I curriculum 100% of the listed topics must be covered. IL E courses will be approved based upon the Committee's review of the course as to the value of topics to be presented and their relationship to the appraisal process.

A) Classroom hours in excess of the curriculum requirement may be approved for elective credit. Such approval is limited to 9 excess hours for courses in a 30 hour curriculum requirement and 5 excess hours for courses in a 15 hour curriculum requirement;

B) Excess hours may be approved, within the above limits based upon the Committee's evaluation of the appraisal educational value of the excess hours.

10) All changes in course content shall be submitted to the Department for review and evaluation.

11) The license for all pre-license/certification courses shall expire 36 months from the date of issue. An approved provider may renew the course approval by completing a renewal application and paying the renewal fee, in accordance with Sections 1455.300 and 1455.305 of the Illinois Real Estate License Act of 1997.

## d) CE Course Requirement

1) Courses licensed by the Department for pre-license/certification appraiser education are approved for CE credit. The renewal applicant will be awarded credit for attendance at these courses provided the license for the course was valid and in good standing at the time of attendance; and provided the course is not repetitious as indicated by Section 1455.205. CE credit for pre-licenseure certification education will be awarded as 15 hours for 15 hour courses and 20 hours for 30 (or more) hour courses.

2) CE courses shall be approved by the Appraisal Administrator, upon the recommendation of the Committee, for courses with or without a final examination.

3) The application for each course approval shall include a description of the course, a course (or instructor's) outline that shall list the time frame for topic presentation, the number of classroom instruction hours excluding examination, the time allotted for examination (if any), the specific course name as it will appear on transcripts or course certifications, a sample of the certificate, the transcript or other documentation that will be used to document the student's attendance and any other information that may be required by the Department.

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- A) An applicant may be required to submit texts and all other course materials for evaluation by the Appraisal Committee.
- B) The application for CE courses being offered by a sub-provider shall also include a certification in accordance with subsection (b)(3) of this Section.

4) The Committee/Administrator shall approve courses that would contribute to the integrity, extension and enhancement of professional skills and knowledge in the practice of Real Estate Appraisal. Courses submitted for approval should be designed to cover at least one of the following topics:

- A) Ad Valorem Taxation
- B) Arbitration
- C) Business Courses (related to practice of real estate appraisal)
- D) Construction Cost Estimating
- E) Ethics and Standards of Professional Practice
- F) Illinois Appraiser Licensing Laws and/or Rules
- G) Land Use, Planning, and Zoning
- H) Property Development
- I) Real Estate Appraisal (valuation/evaluation)
- J) Real Estate Management, Leasing, Brokerage, Timeshare
- K) Real Estate Law
- L) Real Estate Litigation
- M) Real Estate Finance or Investment
- N) Appraisal Computer Applications
- O) Real Estate Securities and Syndications
- P) Real Property Exchange
- Q) Other topics deemed appropriate by the Committee/Administrator.

5) The Committee/Administrator shall not approve:

- A) Motivation courses or seminars
  - B) Courses that focus instruction to increase appraiser income
  - C) Courses or seminars that focus on the recruitment of employees or clients
  - D) Courses or seminars with instructional material relative to associations
  - E) Courses or seminars with instructional material relative to passing the State's appraiser examination
  - F) Having less than three classroom hours of instruction exclusive of examination (if any)
  - G) A course for more than 20 hours CE credit
- 6) Subsequent to approval of any CE course, revisions in course content and/or course material shall be submitted for re-evaluation and re-approval. Failure to report course changes may result in revocation of the CE course license. The fee for re-approval shall be in accordance with Section 1455.305 of the Illinois Real Estate License Act of 1997.
- 7) Approval (license) for CE courses shall expire on March 31 of

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even numbered years. The provider or sub-provider may renew the approval (license) by completing a renewal application and paying the renewal fee, in accordance with Sections 1455.300 to 1455.306 of this Part.

- e) Audits and Inspections. The Department may conduct on site inspections of the course provider's (or sub-provider's) place of business and may audit any session of any course approved for pre-license or CE credit.

1) At the request of the Appraisal Administrator, a course provider shall provide a list of all courses that the provider is planning to offer within a 6 month period subsequent to the request. The list shall include the name and license number of each course, as well as the date, time and location of each presentation.

2) In the event of a course audit, the provider shall provide the Department representative, at no cost, any and all course materials used in the presentation of the course being audited.

3) The Appraisal Administrator, a member of the Administrator's staff, an Appraisal committee member or other designated Department employee may inspect the business office of any course provider (or sub-provider) during normal business hours.

## f) Withdrawal of Approval

1) The Department, upon recommendation of the Real Estate Appraisal Committee, shall withdraw, suspend or place on probation in accordance with 68 Ill. Adm. Code 110 the approval of the real estate appraiser education provider when the quality of the program fails to continue to meet the established criteria of an approved provider as set out in this Section or upon determination that the decision to approve the program was based upon false or deceptive information.

2) The provider's license will terminate immediately upon the failure to renew. Course licenses will terminate upon the expiration date or immediately upon the termination of the provider's license. The provider may thereafter reapply for approval as an appraiser education provider and for course approval.

(Source: Emergency amendment at 19 Ill. Reg. 12503, effective August 16, 1995, for maximum of 150 days)

## Section 1455.210 Fees - Education Providers/Courses (Repealed)

## EMERGENCY

- a) Application/Renewal Fees for Appraiser-Education Providers
- 1) The fee for application as a real estate appraiser-education provider shall be \$1000 plus course approval fees set forth in subsection (b) below, which are non-refundable.
- 2) The fee for renewal of an approved real estate appraiser education provider shall be \$500 per year, which is

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## non-refundable:

A) The fee to renew an appraiser-education provider license that has expired for less than 60 days shall be \$500 plus a penalty of \$100.

B) An appraiser-education provider's license that has expired for more than 60 days may not be renewed. The provider may reapply for licensure in accordance with Section 1455.200.

- b) Application Fees for Pre-license/Certification and CE Course Approval
- 1) The application fee for a pre-license/certification appraisal course shall be \$500 and each approved course will expire 3 years from the date of issue or upon the expiration of the provider license (for which the course license is subordinate).

A) The course may be renewed (subject to a valid provider's license) for an additional 3 years by completion of a renewal application provided by the Department and payment of a non-refundable renewal fee of \$250.

B) Renewal applications received after the expiration date shall be \$300. Applications received 366 days or more after the expiration date shall not be renewed. The applicant may submit a new application for approval of the pre-license/certification course under a different course title.

C) The renewal application shall include a confirmation of the provider's original certification and a certification that the course is essentially the same course as previously approved. In addition to the application, the applicant must explain any course revisions in detail, submit a listing of texts and other materials used in the course as well as the current final examination and the current course outline, which shall contain a time schedule for topic presentation.

- 2) The application fee for CE course approval shall be \$300 and the approval (license) for each course may be renewed prior to its expiration date, which is March 31 of even-numbered years. A course meeting the requirements of a pre-license/certification course as set forth in Section 1455.200(c)(1) through (5) will be denied licensure as a CE course, however, such course may be approved by application for approval as a pre-license/certification course and payment of the appropriate fee.

A) The CE course may be renewed for an additional 2-year licensure term by completion of a renewal application which shall be provided by the Department and payment of a renewal fee of \$150.

B) The renewal fee, if submitted after the expiration date, shall be \$200. Any application for CE course renewal received by the Department 366 days or more after the expiration date shall not be renewed. The applicant may



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- submit a new application for approval of the course under a different course title.
- e) The renewal application shall include a confirmation of the provider's original certification and a certification that the course is essentially the same course as previously approved in addition to the application, the applicant must explain any course revisions in detail, submit a listing of texts and other materials used in the course, and the current course outline, which shall contain a time schedule for topic presentation.
- 3) The fee for evaluation of revisions to approved courses shall be \$200 for pre-license/certification courses and \$75 for CE courses.

(Source: Emergency repealer at 19 Ill. Reg. 12503, effective August 16, 1995, for a maximum of 150 days)

## SUBPART C: GENERAL

Section 1455.300 Renewals  
EMERGENCY

- a) Every license or certificate issued under the Act as a State Licensed Real Estate Appraiser, Certified Residential Real Estate Appraiser or Certified General Real Estate Appraiser shall expire on September 30 of each odd-numbered year. The holder of a license or certification may renew the license or certification during the month preceding the expiration date by paying the required fee specified in Section 1455.305 of this Part. ~~36-6-of-the-Act--A-penalty-fee-of-\$20-shall-be-charged-for-renewal-of-an-expired-license-or-certification.~~
- 1) In order to renew a license or certification in 1995, and thereafter, an applicant will be required to comply with the continuing education requirements pursuant to Section 36.17 of the Act and Section 1455.205 of this Part.
- 2) A license with the title of State Licensed Real Estate Appraiser may be renewed by providing evidence of completion of experience as required by Section 1455.20(b), evidence of 20 hours CE course work and payment of renewal fees set forth in Section 1455.305 of this Part. ~~36-6-of-the-Act.~~ For a license expired between 2 years and 3 years, a renewal applicant shall complete the 20 hours of CE after the expiration date on the license.
- 3) An expired license for Certified Residential or General Real Estate Appraiser may be renewed by payment of renewal fees set forth in Section 1455.305 of this Part ~~36-6-of-the-Act~~ and evidence of completion of 20 hours of CE coursework. For a license expired between 2 years and 3 years, a renewal applicant shall complete 20 hours of CE after the expiration date on the license.

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- 4) A license or certificate for State Licensed, Certified Residential or Certified General Real Estate Appraiser expired for more than 3 years will not be renewed. The appraiser may reapply for license or certification by meeting the licensure or certification requirements in effect at the time of application and by passing the appropriate State Appraiser Examination.
- 5) The holder of a license or certificate for State Licensed, Certified Residential or Certified General Appraiser that is expired for a period of less than 3 years may renew the license or certificate in accordance with the provisions of this Section. Licensees may not reapply for licensure or certification in the same appraiser category until the certificate has been expired for 3 years.
- b) Approved real estate appraiser education providers shall renew December 31 each year by paying the required fee set forth in Section 1455.305 ~~1455-210(b)~~ of this Part. An appraiser education provider's license that has expired for more than 60 days may not be renewed. The provider may reapply for licensure in accordance with Section 1455.200.
- c) Approved pre-license/certification courses will expire 3 years from the date of issue, or upon the expiration of the provider license (for which the course license is subordinate), and may be renewed by renewal application ~~reapplication~~ and payment of fees, in accordance with Sections ~~Section~~ 1455.200 and 1455.305 ~~1455-210~~, 60 days prior to expiration.
- 1) The renewal application shall include a confirmation of the provider's original certification and a certification that the course is essentially the same course as previously approved. In addition to the application, the applicant must explain any course revisions in detail, submit a listing of tests and other materials used in the course as well as the current final examination, and submit the current course outline, which shall contain a time schedule for topic presentation.
- 2) Applications received 366 days or more after the expiration date shall not be renewed. The applicant may submit a new application for approval of the pre-license/certification course under a different course title.
- d) Approved appraisal CE courses will expire on March 31 of even numbered years and may be renewed by renewal application ~~reapplication~~ and payment of fees, in accordance with Sections ~~Section~~ 1455.200 and 1455.305 ~~1455-210~~, 60 days prior to expiration.
- 1) The renewal application shall include a confirmation of the course is essentially the same course as previously approved. In addition to the application, the applicant must explain any course revisions in detail, submit a listing of tests and other materials used in the course, and submit the current course outline, which shall contain a time schedule for topic



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## presentation.

- 2) Any application for CE course renewal received 366 days or more after the expiration date shall not be renewed. The applicant may submit a new application for approval of the course under a different course title.
- 3) A course meeting the requirements of a pre-license/certification course as set forth in Section 1455.200(c)(1) through (5) will be denied licensure as a CE course; however, such course may be approved by application for approval as a pre-license/certification course and payment of the appropriate fee.
- e) It is the responsibility of each individual holding certification or licensure to notify the Department of any change of address. Failure to receive a renewal form from the Department shall not constitute an excuse for failure to pay the renewal fee and to renew the certification in a timely manner.
- f) A certificate for State Licensed Real Estate Appraiser will not be renewed until the Department has received documentation of 500 hours of experience in accordance with Section 1455.20(b). To expedite processing, the documentation may be submitted with the original application for licensure or as soon as the experience is met; otherwise, it shall be submitted with the renewal application.

(Source: Emergency amendment at 19 Ill. Reg. **12503**, effective August 16, 1995, for a maximum of 150 days)

**Section 1455.305 Fees****EMERGENCY**

- a) Appraiser Application Fees
  - 1) The application fee for licensure as a State licensed real estate appraiser (whether by examination, examination acceptance, or reciprocity) is \$100; effective December 1, 1995, this fee shall be \$175.
  - 2) The application fee for licensure as a Certified General or Certified Residential Real Estate Appraiser (whether by examination, examination acceptance, or reciprocity) is \$150; effective December 1, 1995, this fee shall be \$175.
  - 3) The initial registry fee for original permanent licensure/certification as an appraiser is \$50; effective December 1, 1995, this fee shall be \$75.
  - 4) The fee for each temporary practice permit, in accordance with Section 1455.70, is \$80; effective December 1, 1995, this fee shall be \$100.
  - 5) The fee for extension of a temporary practice permit, in accordance with Section 1455.70, is \$80; effective December 1, 1995, this fee shall be \$100.
- b) Appraiser Renewal Fees

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- 1) The fee for renewal of an active appraiser license or certification is \$300; effective December 1, 1995, this fee shall be \$450.
- 2) The fee for renewing an expired license or certification is \$320; effective December 1, 1995, this fee shall be \$550.
- c) Application/Renewal Fees for Appraiser Education Providers
  - 1) The fee for application as a real estate appraiser education provider shall be \$1000, plus necessary course approval fees as set forth in subsection (d) below.
  - 2) The fee for renewal as an approved real estate appraiser education provider shall be \$500 per year.
  - 3) The fee to renew an appraiser education provider license that has been expired for less than 61 days shall be \$600.
- d) Application/Renewal Fees for Pre-license/Certification and CE Course Approval
  - 1) The application fee for approval of a pre-license/certification appraisal course shall be \$500.
    - A) The fee for renewal of a pre-license/certification appraisal course shall be \$250.
    - B) The fee for renewal of a pre-license/certification appraisal course that has been expired for less than 366 days shall be \$350.
  - 2) The application fee for CE course approval shall be \$300.
    - A) The fee for renewal of an approved CE course shall be \$150.
    - B) The renewal fee for an approved CE course that has been expired for less than 366 days shall be \$250.
  - 3) The fee for evaluation of revisions to approved courses shall be \$200 for pre-license/certification courses and \$75 for CE courses.
- e) General
  - 1) All fees paid pursuant to the Act and this Section are non-refundable.
  - 2) Applicants for examination and reexamination for appraiser certification and licensing shall pay a fee covering the cost of providing such examination. If a designated testing service is utilized for the examination, such fee shall be paid directly to the designated testing service.
  - 3) The fee for certification of a registrant's record (e.g., license status, examination information, discipline, etc.) is \$25.
  - 4) There is no fee for license/certification verification.
  - 5) The fee for issuance of a duplicate license or certification or replacement of a lost license or certification is \$25.
  - 6) The fee for a license or certification with name and/or address change (other than name and/or address change at renewal) is \$25.
  - 7) The fee for a decorative wall certificate is the actual cost of the certificate which shall include shipping and handling costs.
  - 8) The fee for a roster of persons licensed under the Act is the cost of producing the roster including shipping and handling

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costs.

9) The fee for requesting a waiver of the real estate appraiser experience requirement pursuant to Section 36.11 of the Act shall be \$25.

10) The fee for furnishing a record of proceedings under Section 36.20 of the Act is \$1 per page of the record.

11) National Registry fees payable to the Appraisal Subcommittee pursuant to federal regulations and laws shall be paid by the agency from funds appropriated by the General Assembly from the Appraisal Administration Fund.

(Source: Emergency rule adopted at 19 Ill. Reg. 12503, effective August 16, 1995, for a maximum of 150 days)

## DEPARTMENT OF PUBLIC AID

## NOTICE OF PUBLIC HEARING ON PROPOSED AMENDMENTS

1) Heading of the Parts: Aid to Families with Dependent Children, Related Program Provisions, Food Stamps and Demonstration Programs

2) Code Citation: 89 Ill. Adm. Code 112, 117, 121 and 170

3) Register Citation to Notices of Proposed Amendments:

May 5, 1995 (19 Ill. Reg. 6257)	June 16, 1995 (19 Ill. Reg. 7786)
July 7, 1995 (19 Ill. Reg. 8933)	July 21, 1995 (19 Ill. Reg. 10363)
July 21, 1995 (19 Ill. Reg. 10381)	August 4, 1995 (19 Ill. Reg. 11316)
August 18, 1995 (19 Ill. Reg. 11773)	

4) Date, Time and Location of Public Hearings:

Thursday, September 14, 1995	Friday, September 15, 1995
9:00 A.M. to 12:00 Noon	9:00 A.M. to 12:00 Noon
First Floor, Hearing Room 114	Ninth Floor, Hearing Room 9-040
State Capitol Building	James R. Thompson Center
Springfield, Illinois	100 W. Randolph St.
	Chicago, Illinois

5) Other Pertinent Information:

The Department is holding these public hearings to receive public views, suggestions, and comments on several welfare reform initiatives that are currently being implemented. Each of these initiatives is authorized by Public Act 89-6, but the initiatives are not being implemented at the same time. Rulemaking has been proposed in the Illinois Register for the implementation of some of these initiatives and emergency rulemaking has been used to implement a few of the initiatives. Other initiatives will be included in future rulemaking. The Department will consider changes in the initiatives in response to the comments presented at these hearings, regardless of the status of the rulemakings.

The specific initiatives and the current status of rulemaking for each initiative are described here.

1. School Attendance Initiative. This initiative requires parents who are receiving Aid to Families with Dependent Children assistance and whose elementary school children have experienced problems with school attendance to participate in a plan designed to reduce the problems causing the truancy. A social service agency will serve as protective payee for the recipient if the recipient fails to cooperate with the plan. A federal waiver request is pending to allow the Department to impose sanctions as well. This initiative was initially implemented as a pilot project in South Chicago under emergency rulemaking effective in January 1995. Permanent rules for the pilot project were adopted effective June 8 (19 Ill. Reg. 7901).

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Under Public Act 89-6, the Department has now proposed rulemaking for statewide implementation of this initiative. This proposed rulemaking was published on July 7 (19 Ill. Reg. 8933).

2. Adolescent Parent Program. This initiative establishes a demonstration program to evaluate the effectiveness of mandating pregnant and parenting teens under the age of 16 who receive Aid to Families with Dependent Children to participate in the Department's employment and job training program. The participants will be included in the JOBS Teen Parent Initiative and Young Parent Services program. Authorized by Public Act 89-6 and with federal approval of a waiver requested by the Department, this initiative is being implemented under emergency rulemaking effective August 7 (19 Ill. Reg. 12011). Identical proposed rulemaking was published at the same time (19 Ill. Reg. 11773).

3. Households Headed by Minor Parents. This initiative requires unmarried minors under age 18 who are pregnant or who are parents to live with their parents, with their legal guardian, with another adult relative, or in an adult-supervised supportive living arrangement as a condition of eligibility for Aid to Families with Dependent Children. Exceptions are granted in specific circumstances. The proposed rulemaking to implement this initiative was proposed on May 5 (19 Ill. Reg. 6257). The Department anticipates adoption of this rulemaking to be effective September 1. Testimony presented at these public hearings will be considered in relation to any necessary changes in the implementation of this initiative.

4. Personal Responsibility or Family Cap. Contingent on the approval of the Department's request for a waiver by the federal government, this initiative will eliminate increases in assistance amounts under Aid to Families with Dependent Children based solely on the birth of a child. The cash assistance will be capped at the level received prior to the birth of the child. Medicaid coverage, food stamps and child care will not be included in the cap and any general increases in assistance amounts that are provided to all recipients will not be affected. Rulemaking for this initiative was proposed on July 21 (19 Ill. Reg. 10363 and 10381).

5. Get a Job and Targeted Work Initiatives. Rulemaking for implementation of these initiatives was proposed on August 4 (19 Ill. Reg. 11316). Both initiatives are intended to help move recipients of Aid to Families with Dependent Children toward self-sufficiency and to support their efforts to achieve employment goals. The Get a Job initiative will immediately place employable recipients who have children ages 5 through 12 in job search activities to get them into the work force quickly. The Targeted Work initiative will require recipients whose youngest child is age 13 or older to find work and

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stay employed as a condition of receiving assistance. Receipt of cash assistance by Targeted Work participants will be limited to 24 months.

6. Court-Ordered Participation in Earnfare. As provided in Public Act 89-6, this initiative will provide for participation in the Earnfare component of the Food Stamp Employment and Training Program when an individual is ordered to participate by a court of competent jurisdiction. Receipt of food stamps will not be an eligibility requirement for such individuals to participate and both exempt and nonexempt individuals may be included. Rulemaking to implement this initiative was proposed on June 16 (19 Ill. Reg. 7786).

7. Employment Plan. The Department is currently developing rulemaking to implement the provisions of Public Act 89-6 that require assistance applicants and recipients to submit a personal employment plan as a condition of eligibility. Testimony presented at these public hearings will be considered in the development of the rulemaking.

8. Administrative Determination of Paternity. Public Act 89-6 requires the Department to develop an administrative process for determining paternity. The process should make paternity determinations easier and improve the Department's ability to collect child support payments. Rulemaking to implement this process is currently being developed by the Department. Testimony presented at these public hearings will be considered in the development of the rulemaking.

Individuals who plan to present testimony are asked to contact either of the following persons by letter, fax or telephone prior to the date of the hearing at which they plan to present testimony. This prior notification of intent to present testimony is requested, but not required.

Kenneth E. Mitchell	Dale M. Cone
Bureau of Rules and Regulations	Office of the General Counsel
Department of Public Aid	Department of Public Aid
100 South Grand Avenue East	310 S. Michigan Ave., Suite 1700
Springfield, Illinois 62762	Chicago, Illinois 60604
Telephone: (217) 524-3215	Telephone: (312) 793-4805
Fax: (217) 524-2397	Fax: (312) 793-4812

The Department will adhere to the following procedures in the conduct of the hearings:

1. Individuals will be recognized to present testimony in the order in which their notifications of intent to present testimony are received by the Department.
2. Individuals presenting oral testimony are asked to provide a written (preferably typed) copy of their testimony. A written copy of the



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testimony is not required.

3. Limits on the length of testimony of each individual may be imposed based on the number of individuals who wish to present testimony at the hearing.
4. No person will be recognized to speak for a second time until all persons wishing to testify have done so.
5. All testimony will conclude at the time specified for the end of the hearing. An individual presenting testimony at that time will be allowed a reasonable time to complete the presentation.

## ILLINOIS REGISTER

## ENVIRONMENTAL PROTECTION AGENCY

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## LISTING OF DERIVED WATER QUALITY CRITERIA

Pursuant to 35 Ill. Adm. Code 302.Subpart F, the following water quality criteria have been derived as follows. This listing includes only the water quality criteria that have been used during the period May 1, 1995 through July 31, 1995.

A cumulative listing of criteria as of July 31, 1993 was published in 17 Ill. Reg. 18904, October 29, 1993. Listings of criteria used during subsequent three month periods were published in 18 Ill. Reg. 318, January 7, 1994; 18 Ill. Reg. 4457, March 18, 1994; 18 Ill. Reg. 8734, June 10, 1994; 18 Ill. Reg. 14166, September 9, 1994; 18 Ill. Reg. 17770, December 9, 1994; 19 Ill. Reg. 3563, March 17, 1995; and 19 Ill. Reg. 7270, May 26, 1995.

Chemical: Acenaphthene Acute criterion: 124 ug/l Date criteria derived: November 14, 1991 Applicable waterbodies: Not used during this period.	CAS #83-32-9 Chronic criterion: 9.9 ug/l
Chemical: Acetone Acute criterion: 1,530 mg/l Date criteria derived: May 25, 1993 Applicable waterbodies: Not used during this period.	CAS #67-64-1 Chronic criterion: 122 mg/l
Chemical: Acetonitrile Acute criterion: 375 mg/l Date criteria derived: December 7, 1993 Applicable waterbodies: Not used during this period.	CAS #75-05-8 Chronic criterion: 30 mg/l
Chemical: Acrylonitrile Acute criterion: 910 ug/l Human health criterion (HNC): 0.21 ug/l Date criteria derived: November 13, 1991 Applicable waterbodies: Not used during this period.	CAS #107-13-4 Chronic criterion: 73 ug/l
Chemical: Anthracene Human health criterion (HTC): 35 mg/l Date criteria derived: August 18, 1993 Applicable waterbodies: Not used during this period.	CAS #120-12-7

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Chemical: Benzene Acute criterion: 5,200 ug/l Human health criterion (HNC): 21 ug/l Date criteria derived: August 15, 1990 Applicable waterbodies: Not used during this period.	CAS #71-43-2 Chronic criterion: 416 ug/l
Chemical: Benzo(a)anthracene Human health criterion (HNC): 0.01 ug/l Date criteria derived: August 10, 1993 Applicable waterbodies: Not used during this period.	CAS #56-55-3
Chemical: Benzo(a)pyrene Human health criterion (HNC): 0.01 ug/l Date criteria derived: August 10, 1993 Applicable waterbodies: Not used during this period.	CAS #50-32-8
Chemical: Benzo(b)fluoranthene Human health criterion (HNC): 0.01 ug/l Date criteria derived: August 10, 1993 Applicable waterbodies: Not used during this period.	CAS # 205-99-2
Chemical: Benzo(k)fluoranthene Human health criterion (HNC): 0.01 ug/l Date criteria derived: August 10, 1993 Applicable waterbodies: Not used during this period.	CAS #207-08-9
Chemical: Carbon tetrachloride Acute criterion: 3,500 ug/l Human health criterion (HNC): 1.4 ug/l Date criteria derived: June 18, 1993 Applicable waterbodies: Not used during this period.	CAS #56-23-5 Chronic criterion: 280 ug/l
Chemical: Chlorobenzene Acute criterion: 993 ug/l Date criteria derived: December 11, 1991 Applicable waterbodies: Not used during this period.	CAS #108-90-7 Chronic criterion: 79 ug/l

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Chemical: Chloroform Acute criterion: 1,870 ug/l Human health criterion (HNC): 130 ug/l Date criteria derived: October 26, 1992 Applicable waterbodies: Not used during this period.	CAS #67-66-3 Chronic criterion: 150 ug/l
Chemical: Chrysene Human health criterion (HNC): 0.01 ug/l Date criteria derived: August 10, 1993 Applicable waterbodies: Not used during this period.	CAS #218-01-9
Chemical: 1,2-dichlorobenzene Acute criterion: 210 ug/l Date criteria derived: December 1, 1993 Applicable waterbodies: Not used during this period.	CAS #95-50-1 Chronic criterion: 16.8 ug/l
Chemical: 1,3-dichlorobenzene Acute criterion: 500 ug/l Date criteria derived: July 31, 1991 Applicable waterbodies: Not used during this period.	CAS #541-73-1 Chronic criterion: 196 ug/l
Chemical: 1,2-dichloroethane Acute criterion: 24,900 ug/l Human health criterion (HNC): 23 ug/l Date criteria derived: March 19, 1992 Applicable waterbodies: Not used during this period.	CAS #107-06-2 Chronic criterion: 4,540 ug/l
Chemical: 1,1-dichloroethylene Acute criterion: 3,030 ug/l Human health criterion (HNC): 0.95 ug/l Date criteria derived: March 20, 1992 Applicable waterbodies: Not used during this period.	CAS #75-35-4 Chronic criterion: 242 ug/l
Chemical: 2,4-dichlorophenol Acute criterion: 631 ug/l Date criteria derived: November 14, 1991 Applicable waterbodies: Not used during this period.	CAS #120-83-2 Chronic criterion: 83.1 ug/l

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Chemical: 1,2-dichloropropane Acute criterion: 4,800 ug/l Date criteria derived: December 7, 1993 Applicable waterbodies: Not used during this period.	CAS #78-87-5 Chronic criterion: 380 ug/l
Chemical: 1,3-dichloropropylene Acute criterion: 99 ug/l Date criteria derived: November 13, 1991 Applicable waterbodies: Not used during this period.	CAS #542-75-6 Chronic criterion: 7.9 ug/l
Chemical: 4,6-dinitro-o-cresol = 2-methyl-4,6-dinitrophenol Acute criterion: 28.8 ug/l Date criteria derived: November 14, 1991 Applicable waterbodies: Not used during this period.	CAS #534-52-1 Chronic criterion: 2.3 ug/l
Chemical: 2,4-dinitrophenol Acute criterion: 85.3 ug/l Date criteria derived: December 1, 1993 Applicable waterbodies: Not used during this period.	CAS #51-28-5 Chronic criterion: 4.07 ug/l
Chemical: 2,6-dinitrotoluene Acute criterion: 1,910 ug/l Date criteria derived: February 14, 1992 Applicable waterbodies: Not used during this period.	CAS #606-20-2 Chronic criterion: 153 ug/l
Chemical: Ethylbenzene Acute criterion: 216 ug/l Date criteria derived: August 15, 1990, revised May 17, 1991 Applicable waterbodies: 07120004-011/off Des Plaines River 07120007-011/off Little Indian Creek 07130006-016/off unnamed tributary to Sangamon River 07130011-002/off Sandy Creek 07140106-030/off Big Muddy River 07140203-001/off Beaver Creek 07140204-015/off unnamed tributary to Richland Creek	CAS #100-41-4 Chronic criterion: 17.2 ug/l

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Chemical: Fluoranthene Human health criterion (HTC): 120 ug/l Date criteria derived: August 10, 1993 Applicable waterbodies: Not used during this period.	CAS #206-44-0
Chemical: Hexachlorobenzene Human health criterion (HNC): 0.00025 ug/l Date criteria derived: November 15, 1991 Applicable waterbodies: Not used during this period.	CAS #118-74-1
Chemical: Hexachlorobutadiene Acute criterion: 34.5 ug/l Date criteria derived: March 23, 1992 Applicable waterbodies: Not used during this period.	CAS #87-68-3 Chronic criterion: 2.76 ug/l
Chemical: Hexachloroethane Acute criterion: 381 ug/l Human health criterion (HNC): 2.9 ug/l Date criteria derived: November 15, 1991 Applicable waterbodies: Not used during this period.	CAS #67-72-1 Chronic criterion: 30.5 ug/l
Chemical: Isobutyl alcohol = 2-methyl-1-propanol Acute criterion: 434 mg/l Date criteria derived: December 1, 1993 Applicable waterbodies: Not used during this period.	CAS #78-83-1 Chronic criterion: 34.8 mg/l
Chemical: Methylene chloride Acute criterion: 17,200 ug/l Human health criterion (HNC): 340 ug/l Date criteria derived: January 21, 1992 Applicable waterbodies: Not used during this period.	CAS #75-09-2 Chronic criterion: 1,380 ug/l
Chemical: Methyl ethyl ketone Acute criterion: 322,000 ug/l Date criteria derived: July 1, 1992 Applicable waterbodies: Not used during this period.	CAS #78-93-3 Chronic criterion: 26,000 ug/l



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Chemical: 4-methyl-2-pentanone Acute criterion: 46 mg/l Date criteria derived: January 13, 1992 Applicable waterbodies: Not used during this period.	CAS #108-10-1 Chronic criterion: 3.68 mg/l	
Chemical: Naphthalene Acute criterion: 670 ug/l Date criteria derived: November 7, 1991 Applicable waterbodies: Not used during this period.	CAS #91-20-3 Chronic criterion: 68 ug/l	
Chemical: Nitrobenzene Acute criterion: 15.4 mg/l Human health criterion (HTC): 0.52 mg/l Date criteria derived: February 14, 1992 Applicable waterbodies: Not used during this period.	CAS #98-95-3 Chronic criterion: 4.67 mg/l	
Chemical: Pentachlorophenol Acute criterion: 20 ug/l Date criteria derived: national criterion, September 1986 Applicable waterbodies: Not used during this period.	Chronic criterion: 13 ug/l	
Chemical: Phenanthrene Acute criterion: 46 ug/l Date criteria derived: October 26, 1992 Applicable waterbodies: Not used during this period.	CAS #85-01-8 Chronic criterion: 3.7 ug/l	
Chemical: Pyrene Human health criterion (HTC): 3,500 ug/l Date criteria derived: December 22, 1992 Applicable waterbodies: Not used during this period.	CAS #120-00-0	
Chemical: Tetrachloroethylene Acute criterion: 1,220 ug/l Date criteria derived: March 23, 1992 Applicable waterbodies: Not used during this period.	CAS #127-18-4 Chronic criterion: 152 ug/l	

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Chemical: Tetrahydrofuran Acute criterion: 216,000 ug/l Date criteria derived: March 16, 1992 Applicable waterbodies: Not used during this period.	CAS #109-99-9 Chronic criterion: 17,300 ug/l	
Chemical: Toluene Acute criterion: 8,080 ug/l Date criteria derived: August 16, 1990, revised May 17, 1991 and January 26, 1993 Applicable waterbodies: 07120004-011/off Des Plaines River 07120007-011/off Little Indian Creek 07130006-016/off unnamed tributary to Sangamon River 07130011-002/off Sandy Creek 07140106-030/off Big Muddy River 07140203-001/off Beaver Creek 07140204-015/off unnamed tributary to Richland Creek	CAS #108-88-3 Chronic criterion: 646 ug/l	
Chemical: 1,2,4-trichlorobenzene Acute criterion: 353 ug/l Date criteria derived: December 14, 1993 Applicable waterbodies: Not used during this period.	CAS #120-82-1 Chronic criterion: 69.2 ug/l	
Chemical: 1,1,1-trichloroethane Acute criterion: 4,910 ug/l Date criteria derived: October 26, 1992 Applicable waterbodies: Not used during this period.	CAS #71-55-6 Chronic criterion: 393 ug/l	
Chemical: 1,1,2-trichloroethane Acute criterion: 19,000 ug/l Human health criterion (HNC): 12 ug/l Date criteria derived: December 13, 1993 Applicable waterbodies: Not used during this period.	CAS #79-00-5 Chronic criterion: 3,540 ug/l	
Chemical: Trichloroethylene Acute criterion: 11,700 ug/l Date criteria derived: October 23, 1992 Applicable waterbodies: Not used during this period.	CAS #79-01-6 Chronic criterion: 940 ug/l	

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## LISTING OF DERIVED WATER QUALITY CRITERIA

Chemical: Xylenes  
Acute criterion: 1,500 ug/l  
Date criteria derived: August 23, 1990  
Applicable waterbodies:

07120004-011/off	Des Plaines River	CAS # 1330-20-7
07120007-011/off	Little Indian Creek	Chronic criterion: 117 ug/l
07130006-016/off	unnamed tributary to Sangamon River	
07130011-002/off	Sandy Creek	
07140106-030/off	Big Muddy River	
07140203-001/off	Beaver Creek	
07140204-015/off	unnamed tributary to Richland Creek	

For additional information concerning these criteria or the derivation process used in generating them, please contact:

Bob Mosher  
Illinois Environmental Protection Agency  
Division of Water Pollution Control  
2200 Churchill Road  
Post Office Box 19276  
Springfield, Illinois 62794-9276  
217/782-3362

## DEPARTMENT OF PUBLIC AID

## REGULATORY AGENDA

a) Parts(s) (Heading and Code Citation): Practice in Administrative Hearings (89 Ill. Adm. Code 104) and Medical Payment (89 Ill. Adm. Code 140)

1) Rulemaking: Expand basis for suspension or termination of medical service providers.

A) Description: The Department plans to propose rulemaking to implement provisions under Public Act 88-554 concerning provider compliance with various State requirements, including payment of State income tax, child support payments and repayment of education loans guaranteed by the State of Illinois. This rulemaking will apply to providers enrolled in the Medical Assistance Program such as physicians, dentists and podiatrists, who deliver services to clients. In some cases, such providers fail to fulfill their personal obligations regarding State requirements, while they are receiving State payments for services to Medicaid clients. The proposed amendments will provide the Department with the authority to suspend and terminate such providers from the Medical Assistance Program. Necessary changes in the Department's hearing rules will be proposed in conjunction with these amendments.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

## DEPARTMENT OF PUBLIC AID

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F) Agency Contact Person for Information:

Joanne Jones  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: Noneb) Part(s) (Heading and Code Citation): Assistance Standards (89 Ill. Adm. Code 111)1) Rulemaking: Adjust assistance standards.

A) Description: In accordance with the methodology established in Section 111.20, the Department will propose amendments to adjust the standard of need for receipt of Aid to Families with Dependent Children effective January 1, 1996. The Public Aid Code requires that the Assistance Standards be updated every January based on changes in the Consumer Price Index for the previous fiscal year.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code (305 ILCS 5/12-13)

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

## DEPARTMENT OF PUBLIC AID

## REGULATORY AGENDA

F) Agency Contact Person for Information:

Judy Umunna  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: Nonec) Part(s) (Heading and Code Citation): Aid to Families with Dependent Children (89 Ill. Adm. Code 112)

1) Rulemaking: Eliminate aid for essential persons; Recognize general education diploma programs; Revise quarterly budgeting; Require employment plan; Implement exchange program.

A) Description: Federal legislation that is currently under consideration would eliminate aid for individuals defined as "essential persons" under the Aid for Families with Dependent Children program. In the event that such federal legislation is passed, the Department will propose amendments to eliminate assistance for such "essential persons." Timeframes for submittal of the rulemaking are dependent upon federal action.

The Department plans to propose rulemaking to expand eligibility for Aid for Families with Dependent Children to children attending residential programs when the purpose of enrolling the child is to obtain a general education diploma (GED).

The Department plans to propose rulemaking to revise the timeframes for reporting earned income of employed recipients of Aid for Families with Dependent Children. This change will reinforce the client's responsibility to report employment and income. Assistance will be discontinued for those clients identified through the use of crossmatches as not having reported employment.

As one of the welfare reform initiatives mandated by Public Act 89-6, the Department is currently developing rulemaking to implement the provisions that require applicants and recipients to submit a personal employment plan as a condition of eligibility. A new process will begin at intake and redetermination to support clients in their



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## REGULATORY AGENDA

efforts to achieve employment goals. Clients will be required to think about and begin formulating a plan for finding work. Clients will record their education level and work history and assess their strengths and weaknesses on a form which will be developed by the Department.

The Department also plans to propose amendments that will allow clients the earned income disregard and require them to report their earnings on a regular basis.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

F) Agency Contact Person for Information:

Judy Umunna  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: None

d) Part(s) (Heading and Code Citation): Medical Assistance Programs (89 Ill. Adm. Code 120)

## DEPARTMENT OF PUBLIC AID

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1) Rulemaking: Revise program for payment of health insurance premiums; Allow appeals of spousal impoverishment allowances; Add requirements for joint assets.

A) Description: The Department plans to propose amendments to revise the Health Insurance Premium Payment (HIPP) Program. The HIPP Program pays premiums for cost effective health insurance coverage for clients who have health insurance available and have high cost medical expenses. The amendments should assist the Department in improving the effectiveness of the program.

In an effort to enhance the spousal impoverishment provisions of the rules, amendments will be proposed to allow for appeals of the Community Spouse Resource Allowance (CSRA). The rulemaking will establish the method the Department will use, as the result of an appeal, to determine the amount (if any) over the CSRA maximum of \$74,820 that a resident in a nursing facility may transfer to a community spouse without affecting Medicaid eligibility. The factors for the determination will be outlined in the rulemaking.

The Department is considering proposing rulemaking to address "account rebuttals" for long term care clients. The necessity for this rulemaking may arise due to situations in which relatives claim that assets in joint accounts do not really belong to the recipient. The rulemaking would probably allow the Department to require proof of who owns the asset in question and outline the types of proof that would be considered adequate.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

## DEPARTMENT OF PUBLIC AID

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E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

F) Agency Contact Person for Information:

Judy Umunna  
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100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: None

e) Part(s) (Heading and Code Citation): Food Stamps (89 Ill. Adm. Code 121)

1) Rulemaking: Revise food stamp assistance unit; Increase fair market value of vehicles; Increase standards and allotments.

A) Description: Under a recent change in policy by the federal Department of Agriculture which administers the food stamp program, the Department will be allowed to automatically consider children who are receiving Aid to Families with Dependent Children as part of the food stamp assistance unit. The Department plans to propose amendments to implement this change.

Recent federal legislation, specifically the Mickey Leland Childhood Hunger Relief Act, will require the Department to increase the limit for the fair market value of vehicles limit for purposes of the food stamp program. In accordance with this legislation, the Department will submit necessary amendments to increase the limit to \$4600.

Federal law will also require the Department to submit additional amendments to increase a number of standards and allotments utilized in the food stamp program. Specifically the amendments will increase (1) maximum monthly coupon allotments, (2) maximum monthly income eligibility standards, (3) standard deduction, (4) maximum excess shelter deduction, and (5) shelter standard for homeless

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households.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking.

The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

F) Agency Contact Person for Information:

Judy Umunna  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: None

f) Part(s) (Heading and Code Citation): Medical Payment (89 Ill. Adm. Code 140)

1) Rulemaking: Revise enrollment and rate setting for exceptional care; Clarify coverage of private automobiles as medical transportation; Add provisions for subacute care, ambulatory surgical treatment, and postsurgical recovery care.

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- A) **Description:** The Department plans to propose amendments to the enrollment and rate setting processes that are employed in the exceptional care program. Under this program, the Department makes payments to nursing facilities for the care of residents who require a multi-disciplinary level of medical and nursing services that involve exceptional costs related to extraordinary equipment and supplies. The proposed rulemaking will simplify exceptional care rate setting, increase access to exceptional care, and provide Department nursing staff with more time to address quality of care issues.

Amendments to clarify the coverage of private automobiles as a means of transportation to a medical service are planned by the Department. Current rules do not provide any criteria for enrolling private automobiles as a provider of service. The Department will propose that reimbursement for transportation by private automobile should be limited to continuous services or a chronic medical condition rather than for an occasional appointment.

Rulemaking will be proposed to implement the subacute care requirements contained in the Alternative Health Care Delivery Act. This Act establishes a demonstration project that allows licensure of 13 statewide facilities to provide subacute care. The sites include independent licensed nursing homes or hospitals as well as distinct units within those types of facilities. Based on criteria that will be proposed by the Department, this level of care would include patients with medically complex needs who have the potential for being weaned from mechanical ventilation or require physical rehabilitation but cannot tolerate an acute inpatient level of rehabilitation. It also includes those patients who have medically complex needs without the need for weaning or physical rehabilitation who have the potential for improving and being discharged to home or to a less intensive care setting. The Department plans to propose rules for the specific elements required for coverage of these services which will cover screening procedures, criteria, and utilization review requirements as well as for reimbursement methodology.

The Department plans to propose rulemaking to allow enrollment and reimbursement for services provided by Ambulatory Surgical Treatment Centers. The State Medical Advisory Committee has recommended coverage of these services. Because such freestanding surgical centers maintain low expenses, they are able to provide quality

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health care at anticipated lower costs compared to hospitals.

The Department also plans to propose rulemaking to allow enrollment and reimbursement for services rendered by postsurgical recovery care centers. Under Public Act 87-1188, the Department is required to cover services provided in these newly licensed provider types. The Department of Public Health is required to license and run a five year demonstration program for no more than a total of twelve postsurgical recovery care centers in Illinois.

- B) **Statutory Authority:** Section 12-13 of the Illinois Public Aid Code (305 ILCS 5/12-13)

- C) **Schedule of Meeting or Hearing Dates:** The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

- D) **Date Agency Anticipates First Notice:** The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

- E) **Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations:** The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

- F) **Agency Contact Person for Information:**

Joanne Jones  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

- G) **Related Rulemakings and Other Pertinent Information:** None



## DEPARTMENT OF PUBLIC AID

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- g) Part(s) (Heading and Code Citation): Medical Payment (89 Ill. Adm. Code 140) and MediPlan Plus (89 Ill. Adm. Code 142)
- 1) Rulemaking: Implement managed care program as MediPlan Plus; Revise Healthy Moms/Healthy Kids provisions.

A) Description: The Department plans to propose rulemaking to implement provisions of Public Act 88-554 concerning a system of integrated health care services. This managed care program, to be known as MediPlan Plus, will create broad changes in Illinois' Medicaid Program, including extensive changes in the Healthy Moms/Healthy Kids Programs which are described in Part 140. Utilizing managed care principles, the rulemaking will provide for an increase in the availability of Healthy Moms/Healthy Kids services, improve the quality of medical care, and control Medicaid costs. The Department plans to adopt the primary rules for this program as new Part 142. MediPlan Plus will serve over one million Medicaid clients with a choice of health maintenance organizations, primary care physicians, managed care community networks, federally qualified health centers, rural health clinics, and insurance companies. The provisions in 89 Ill. Adm. Code 142 are intended to reflect the focus of the managed care legislation to assure that Illinois has an effective and affordable health care system in place for the benefit of clients, the health care community, and taxpayers.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The

## DEPARTMENT OF PUBLIC AID

## REGULATORY AGENDA

Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

F) Agency Contact Person for Information:

Joanne Jones  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: None

h) Part(s) (Heading and Code Citation): Developmental Disabilities Services (89 Ill. Adm. Code 144)

1) Rulemaking: Add procedures for appeal of inspection of care for facilities for persons with developmental disabilities.

A) Description: Based on changes under Public Act 87-996, the Department plans to propose rulemaking that provides for an appeal process of the residential assessment conducted by the Inspection of Care team, if the facility believes the assessment does not accurately reflect the conditions of its residents. Examples of conditions which may be appealable include level of functioning, medical and behavioral add-ons, special transportation needs and physician's care plans. The rulemaking would formalize a process that includes final appeal to the State Medicaid agency, as required by federal regulations.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the Illinois Register.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be

## DEPARTMENT OF PUBLIC AID

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submitted for publication in the *Illinois Register*.

- E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

F) Agency Contact Person for Information:

Joanne Jones  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

- G) Related Rulemakings and Other Pertinent Information: None

- i) Part(s) (Heading and Code Citation): Hospital Services (89 Ill. Adm. Code 148) and Diagnosis Related Grouping (DRG) Prospective Payment System (PPS) (89 Ill. Adm. Code 149)

- 1) Rulemaking: Revised hospital reimbursement provisions in conjunction with implementation of MediPlan Plus

- A) Description: The Department plans to propose rulemaking to implement provisions of Public Act 88-554 regarding a system of integrated health care services. This managed care program, to be known as MediPlan Plus, will create broad changes in Illinois' Medicaid Program and will impact significantly upon the delivery of hospital services. Therefore, the Department intends to propose amendments to 89 Ill. Adm. Code 148 and 149 in order to specify the reimbursement methodology for hospital services provided in conjunction with MediPlan Plus.

- B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code (305 ILCS 5/12-13)

- C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this

## DEPARTMENT OF PUBLIC AID

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regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

- D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

- E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

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Joanne Jones  
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100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

- G) Related Rulemakings and Other Pertinent Information: None

- j) Part(s) (Heading and Code Citation): Child Support Enforcement (89 Ill. Adm. Code 160)

- 1) Rulemaking: Changes in child support enforcement procedures

- A) Description: Amendments will be proposed to establish a procedure for administrative determination of paternity. The process should make paternity determinations easier and improve the Department's ability to collect child support payments. The implementation of this procedure is authorized by Public Acts 88-687 and 89-6.

Amendments will also be proposed to allow the Department to refer delinquent child support cases to the Department of Revenue for collection. The Department of Revenue will use tax collection remedies to collect child support in these cases. This process is authorized by Public Act 89-6.

The Department also plans to propose amendments to Section 160.70 on reporting delinquent child support obligors to

## DEPARTMENT OF PUBLIC AID

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consumer reporting agencies. Changes in these provisions are necessary to comply with changes in federal law.

Amendments to Section 160.30, which outlines requirements for cooperation with the support enforcement program for recipients of financial assistance, may also be proposed. The amendments would require recipients of Aid to Families with Dependent Children to only sign an attestation when present at a child support enforcement interview.

The Department may also propose amendments that may be necessary to implement the federal Uniform Interstate Family Support Act, which takes effect on January 1, 1996.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

F) Agency Contact Person for Information:

Judy Umunna  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: None

## DEPARTMENT OF PUBLIC AID

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k) Part(s) (Heading and Code Citation): Demonstration Programs (89 Ill. Adm. Code 170)

l) Rulemaking: Implement demonstration of retinal scanning.

A) Description: The Department plans to propose rulemaking to implement a demonstration of innovative retinal scanning technology as a means of identification. This demonstration will test and evaluate the usefulness of this technology as a means of addressing the problems of welfare fraud. The proposed rulemaking will outline the areas in which the technology will be used and the basis of the evaluation.

B) Statutory Authority: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]

C) Schedule of Meeting or Hearing Dates: The Department has not established a schedule of dates for hearings, meetings, or other opportunities for public participation in this rulemaking. The Department will accept and consider any written comments that may be submitted in response to this regulatory agenda. An opportunity for public comment will also be provided following publication of Notices of Proposed Rulemaking in the *Illinois Register*.

D) Date Agency Anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.

E) Effect on Small Businesses, Small Municipalities, and Not-For-Profit Corporations: The Department is unaware of any effect this rulemaking may have on small business, small municipalities or not for profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.

F) Agency Contact Person for Information:

Judy Umunna  
Bureau of Rules and Regulations  
Illinois Department of Public Aid  
100 South Grand Avenue East, Third Floor  
Springfield, IL 62762  
(217) 524-3215

G) Related Rulemakings and Other Pertinent Information: None



## SECRETARY OF STATE

## JULY 1995 REGULATORY AGENDA

a) Part(s) (Heading and Code Citation):

Certificates of Title, Registration of Vehicles 92 Ill. Adm. Code 1010.180

1) Rulemaking:A) Description:

To establish rules regarding titles and salvage certificates issued pursuant to Section 5/3-117.1(5) of The Vehicle Code.

B) Statutory Authority:

Sections 2-104 and 3-117.1(5) of the Illinois Vehicle Code [625 ILCS 5/2-104 and 3-117.1(5)] as amended by 88-685, effective January 24, 1995.

C) Scheduled meeting/hearing dates: unknownD) Date agency anticipates First Notice: unknownE) Affect on small businesses, small municipalities or not for profit corporations: noneF) Agency contact person for information:

Name: Robert E. Powers  
Address: Assistant Counsel  
Secretary of State's Office  
298 Howlett  
Springfield, Illinois 62756  
Telephone: 217/785-3094

G) Related rulemakings and other pertinent information: noneb) Part(s) (Heading and Code Citation):

Dealers, Wreckers, Transporters and Rebuilders 92 Ill. Adm. Code 1020.30

1) Rulemaking:A) Description:

Provide for a process to allow removal of dash assemblies with Vehicle Identification Plate attached without a violation of 625 ILCS 5/4-103(a)(2), (a)(3), or (a)(5).

B) Statutory Authority:

## SECRETARY OF STATE

## JULY 1995 REGULATORY AGENDA

Section 2-104 of The Secretary of State of the Illinois Vehicle Code [625 ILCS 5/2-104(b)]

C) Scheduled meeting/hearing dates: unknownD) Date agency anticipates First Notice: unknownE) Affect on small businesses, small municipalities or not for profit corporations: noneF) Agency contact person for information:

Name: Robert E. Powers  
Address: Assistant Counsel  
Secretary of State's Office  
298 Howlett  
Springfield, Illinois 62756  
Telephone: 217/785-3094

G) Related rulemakings and other pertinent information: none

JOINT COMMITTEE ON ADMINISTRATIVE RULES  
ILLINOIS GENERAL ASSEMBLY

## SECOND NOTICES RECEIVED

The following second notices were received by the Joint Committee on Administrative Rules during the period of August 15, 1995 through August 21, 1995, and have been scheduled for review by the Committee at its September 12, 1995 meeting. Other items not contained in this published list may also be considered. Members of the public wishing to express their views with respect to a rule should submit written comments to the Committee at the following address: Joint Committee on Administrative Rules, 700 Stratton Bldg., Springfield, IL 62706.

Second Notice Expires	Agency and Rule	Start of First Notice	JCAR Meeting
9/28/95	Department of Rehabilitation Services, Assessment for Determining Eligibility and Rehabilitation Needs (89 Ill Adm Code 553)	6/2/95 19 Ill Reg 7305	9/12/95
10/1/95	Department of Transportation, Rates to be Charged by Official Testing Stations for School Buses (92 Ill Adm Code 446)	6/16/95 19 Ill Reg 7829	9/12/95
10/1/95	Illinois Commerce Commission, Grade Crossing Closure and Opening (92 Ill Adm Code 1536)	3/10/95 19 Ill Reg 2550	9/12/95
10/1/95	Department of Insurance, Traditional Long-Term Care Insurance (50 Ill Adm Code 2012)	6/2/95 19 Ill Reg 7291	9/12/95
10/1/95	Department of Professional Regulation, The Illinois Nursing Act of 1987 (68 Ill Adm Code 1300)	3/10/95 19 Ill Reg 2658	9/12/95
10/4/95	Department of Public Aid, Application Process (89 Ill Adm Code 110)	6/23/95 19 Ill Reg 8060	9/12/95
10/4/95	Department of Public Aid, Aid to the Aged, Blind or Disabled (89 Ill Adm Code 113)	6/23/95 19 Ill Reg 8057	9/12/95
10/4/95	Department of Public Aid, General Assistance (89 Ill Adm Code 114)	6/23/95 19 Ill Reg 8063	9/12/95

## PROCLAMATION

95-390

## CHILD IMMUNIZATION MONTH

Whereas, the Illinois Department of Public Health estimated that in 1994, 50 percent of children in the Chicago area under the age of two did not receive the appropriate immunizations to enter pre-school; and

Whereas, CIGNA Health Care and the McDonald's Chicagoland Dealer Association are advocates for these children through their formation of a partnership to implement the program "Immunize for Healthy Lives;" and

Whereas, Immunize for Healthy Lives will increase the awareness of the benefits of childhood inoculations on a timely basis; and

Whereas, Immunize for Healthy Lives will provide access to free immunizations; and

Whereas, by accelerating the number of appropriate, timely inoculations for children, CIGNA and McDonald's can ameliorate an important lack of wellness health practices that include all demographic groups; and

Whereas, the State of Illinois recognizes the importance of advancing the immunization of children; and

Whereas, the State of Illinois recognizes the need of providing a healthy and nurturing environment for our children;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 1995 as CHILD IMMUNIZATION MONTH in Illinois and urge all citizens to be aware of the important efforts being made toward the immunization of children.

Issued by the Governor July 21, 1995.

Filed by the Secretary of State August 8, 1995.

95-391

## ECUADORIAN DAY

Whereas, the Ecuadorian community is a strong and important community that enriches Illinois culturally and economically; and

Whereas, organizations such as the Ecuadorian Civic Society promote cultural unity in Illinois; and

Whereas, worldwide, the Ecuadorian community celebrates May 24 in recognition of the Battle of Pichincha, an important event in their quest for independence; and

Whereas, August 10 is recognized as the Day of Independence for Ecuador;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 10, 1995, as ECUADORIAN DAY in Illinois in recognition of Ecuadorians and Ecuadorian-Americans who contribute to the strength, diversity, and prosperity of Illinois.

Issued by the Governor July 31, 1995.

Filed by the Secretary of State August 8, 1995.

95-392

## SCHWABEN DAYS

Whereas, the Schwaben Center of Chicago was established in 1878; and

Whereas, the Schwaben Center of Chicago will celebrate its 188th Cannstatter Volksfest in Buffalo Grove, Illinois, August 19-20, 1995; and

Whereas, German Americans and all Illinois citizens can experience the culture and traditions of Germany through the folk music, dancing, games, and fellowship that will be part of the event; and  
 Whereas, proceeds raised during the festival will be donated to charity;  
 Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 19-20, 1995, as **SCHWABEN DAYS** in Illinois.  
 Issued by the Governor July 31, 1995.  
 Filed by the Secretary of State August 8, 1995.

## 95-393

**SCHOOL SAFETY PATROL WEEK**

Whereas, the 1995-96 school year marks the 75th anniversary of the School Safety Patrol, which was pioneered by the AAA-Chicago Motor Club in 1920; and  
 Whereas, approximately 500,000 youngsters from 50,000 schools serve on safety patrols; and  
 Whereas, the Safety Patrol service program has been credited with helping achieve the dramatic decrease in pedestrian death rates for children ages 5-14 in the United States; and  
 Whereas, members of the School Safety Patrol selflessly devote their time and safeguard the lives of fellow classmates walking to and from the school and the bus stop; and  
 Whereas, members of the School Safety Patrol perform a valuable community service every day of the school year in a responsible, effective manner; and  
 Whereas, the School Safety Patrol acquaints children with a better knowledge of traffic hazards and safe pedestrian practices; and  
 Whereas, the program offers young citizens the opportunity to assume responsibility in the community;  
 Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim September 10-16, 1995, as **SCHOOL SAFETY PATROL WEEK** in Illinois in honor of the 75th anniversary of the patrol and the dedication of the children involved in the program.  
 Issued by the Governor August 1, 1995.  
 Filed by the Secretary of State August 8, 1995.

## 95-394

**BREASTFEEDING WEEK**

Whereas, mothers and families should be supported in their efforts to nourish their children; and  
 Whereas, mothers and families should be given the opportunity to make informed decisions about infant feeding through education; and  
 Whereas, breastfeeding offers advantages to both infants and mothers and is a healthy choice for infant feeding; and  
 Whereas, studies show that women who breastfeed have a reduced risk of breast cancer and other health problems; and  
 Whereas, in addition to being economical and convenient, breastfeeding can help reduce the frequency of certain childhood allergies and illnesses; and  
 Whereas, physicians, hospitals, and public and private organizations throughout Illinois are promoting the importance of breastfeeding during the week of August 1-7, 1995;  
 Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim

August 1-7, 1995, as **BREASTFEEDING WEEK** in Illinois.  
 Issued by the Governor August 2, 1995.  
 Filed by the Secretary of State August 8, 1995.

## 95-395

**NENA IVON DAY**

Whereas, Nena Ivon was born at Grant Hospital in Chicago, the daughter of an artist and a merchandise manager for Marshall Fields; and  
 Whereas, she spent her childhood in Rogers Park and Evanston and has lived in Streetville for 25 years; and  
 Whereas, Ms. Ivon is involved with many charities which include the Chicago Architecture Foundation Auxiliary board, the Chicago Historical Society Costume Committee, Chicago Symphony Orchestra Women's Association, Easter Seals Women's Board, Grant Park Society, Chicago Hearing Society, Prentiss Hospital, Lincoln Park Zoo Women's Board, the Apparel Center Board, and the Apparel Foundation Board; and  
 Whereas, Ms. Ivon celebrated 30 years as Saks Fifth Avenue's fashion director on August 1, 1995, and in May 1996 will celebrate her 40th anniversary with Saks; and  
 Whereas, Ms. Ivon will be honored by the Chicago Architecture Foundation on August 8, 1995;  
 Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 8, 1995, as **NENA IVON DAY** in Illinois in honor of her accomplishments and community service.  
 Issued by the Governor August 2, 1995.  
 Filed by the Secretary of State August 14, 1995.

## 95-396

**BILL CULLERS DAYS**

Whereas, every year, vendors from all across the United States visit the Illinois State Fair; and  
 Whereas, during the last 50 years, Bill Cullers has had a stand on Grandstand Avenue at the Illinois State Fairgrounds; and  
 Whereas, during that time, Bill Cullers has been fondly remembered as "The French Fry Man" to fairgoers and other fair vendors; and  
 Whereas, Bill Cullers will be honored this year by friends, family members, and others in the community for his many years of service;  
 Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 10-20, 1995, as **BILL CULLERS DAYS** in Illinois.  
 Issued by the Governor August 7, 1995.  
 Filed by the Secretary of State August 14, 1995.

## 95-397

**CELEBRATING THE NEXT GENERATION OF THE  
 ILLINOIS COOPERATIVE SOIL SURVEY PARTNERSHIP**

Whereas, "The wealth of Illinois is in her soil and her strength lies in its intelligent development." (A.S. Draper, President of the University of Illinois, 1899); and  
 Whereas, the State of Illinois is dedicated to promoting the conservation



and wise use of our soil, water, air, plant, and animal resources to the end that these natural resources may be used and enjoyed by Illinoisans forever; and

Whereas, the Illinois Cooperative Soil Survey partnership has worked together for nearly a century to cooperatively investigate, inventory, document, classify, and interpret the soils of Illinois and disseminate, publish, and promote the use of information about the soils of Illinois; and Whereas, the soil data provided by the cooperative soil survey is used by Illinoisans to judiciously and efficiently appraise and manage land for agriculture and for rural and community development and to understand, protect, and enhance the environment; and

Whereas, it has long been the objective of the cooperative soil survey to provide an inventory of the state's soil resources that is complete, current, and meets the need of the users; and

Whereas, the "first generation" of soil survey for Illinois was completed in 1928, the "second generation" milestone was reached this year, 1995, and the "next generation" soil survey for Illinois has begun;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim July-December 1995 as the period for CELEBRATING THE NEXT GENERATION OF THE ILLINOIS COOPERATIVE SOIL SURVEY PARTNERSHIP and recognize the accomplishments and initiatives of the partnership.

Issued by the Governor August 7, 1995.

Filed by the Secretary of State August 14, 1995.

95-398

**FOREST "BILL" CULLERS DAYS**

(REVISED)

Whereas, every year, vendors from all across the United States visit the Illinois State Fair; and

Whereas, during the last 50 years, Forest "Bill" Cullers has had a stand on Grandstand Avenue at the Illinois State Fairgrounds; and

Whereas, during that time, Forest "Bill" Cullers has been fondly remembered as "The French Fry Man" to fairgoers and other fair vendors; and

Whereas, Forest "Bill" Cullers will be honored this year by friends, family members, and others in the community for his many years of service;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 10-20, 1995, as FOREST "BILL" CULLERS DAYS in Illinois.

Issued by the Governor August 7, 1995.

Filed by the Secretary of State August 14, 1995.

95-399

**WOMEN'S SUFFRAGE DAY**

Whereas, August 26, 1995, marks the 75th anniversary of the passage of the 19th Amendment to the Constitution of the United States; and

Whereas, this amendment not only gives women the right to vote, but it marks the triumphant culmination of more than half a century of struggle for women's suffrage; and

Whereas, Illinois was the first state to ratify the 19th Amendment; and

Whereas, because of this amendment, women gained a powerful voice in government and in society; and

Whereas, today, women comprise 52 percent of the U.S. population, and 93 million of those women are over the legal voting age of 18; and

Whereas, these women have a tremendous impact upon society, business, and consumer spending; and

Whereas, a celebration to commemorate the anniversary of women's suffrage will be held at the Illinois State Fair on August 12, 1995;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 12, 1995, as WOMEN'S SUFFRAGE DAY in Illinois in honor of the 75th anniversary of the suffrage movement in Illinois and the commendable accomplishments of the women in our state.

Issued by the Governor August 7, 1995.

Filed by the Secretary August 14, 1995.

95-400

**DRUG FREE YOUTH DAYS**

Whereas, the Illinois Drug Education Alliance (IDEA) is presenting its 13th Annual Drug Prevention Conference, "Building Drug Free Youth", on Sunday, November 19 and Monday, November 20 in Chicago; and

Whereas, the Illinois Drug Education Alliance believes prevention offers individuals and communities an opportunity to stop alcohol, tobacco, and other drug problems before they start and provides hope for effecting individual and community change to support healthy behaviors; and

Whereas, more than 1,000 Illinois young people, dedicated to the "Drug Free" lifestyle, will participate in two days of drug prevention education and leadership training. These young people will carry the "Drug Free" message back to their schools and communities, and become role-models to their peers; and

Whereas, educators, parents, volunteers, and other adults will attend and participate in the 13th Annual Illinois Drug Education Alliance Conference. These adults will train, encourage, and support young people in their choice of the "Drug Free" lifestyle; and

Whereas, the Illinois Drug Education Alliance stands firmly with the Illinois Department of Alcoholism and Substance Abuse and all of its supporting agencies -- the Governor, Lieutenant Governor, Attorney General's Office, the Secretary of State, Illinois Department of Transportation, Division of Traffic Safety, Illinois State Police, Illinois State Board of Education, the Drug Enforcement Administration, Illinois National Guard, University of Illinois Cooperative Extension Service, Mothers Against Drunk Driving, Operation Snowball and Students Against Driving Drunk -- and with the many other state and national organizations that encourage the promotion of sound drug prevention programs;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim November 19-20, 1995, as DRUG FREE YOUTH DAYS in Illinois in recognition of the Illinois Drug Education Alliance and its supporting agencies in bringing a "Drug Free" message to the youth of our state.

Issued by the Governor August 8, 1995.

Filed by the Secretary of State August 18, 1995.

95-401

**GENE AND LINDA EAGLESON DAY**

Whereas, D. Eugene Eagleson, Jr., born and raised in Mattoon, Illinois, has served the community as a Master Sergeant in the United States Army and as an active member of the First United Methodist Church, Rotary Club, Chamber of Commerce, and Masonic Lodge; and

Whereas, Linda Sue Eagleson, born and raised in Mattoon, Illinois, has served community as an active member of the First United Methodist Church, Joyful Singers, Chamber of Commerce, and P.E.O.; and

Whereas, Gene and Linda Eagleson have dedicated their professional lives to assisting and improving the quality of life for others through the practice of pharmacy and nursing; and

Whereas, Gene and Linda Eagleson have dedicated 20 years of their lives to the successful operation and management of Arcade Drug, Inc., in Mattoon; and

Whereas, Gene and Linda Eagleson have created a loving home and supported their two children, Theresa Anne and Michael Eugene, for a combined total of 37 years of education in State of Illinois public school systems; and

Whereas, Gene and Linda Eagleson have made immeasurable contributions to their family, friends, church, and community through their dedication and generosity; and

Whereas, August 15, 1995, is the 30th anniversary of the marriage of Gene and Linda Eagleson;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 15, 1995, as GENE AND LINDA EAGLESON DAY in Illinois.

Issued by the Governor August 8, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-402

##### HISPANIC HERITAGE MONTH

Whereas, Illinois' Hispanic-American population continues to grow significantly and contributes greatly to the economic, cultural, and civic prosperity of our state; and

Whereas, Hispanics-Americans have demonstrated their dedication to the ideals and principles upon which the United States was founded; and

Whereas, the countries of Belize, Bolivia, Mexico, Nicaragua, Spain, Guatemala, Honduras, Chile, Costa Rica, and El Salvador celebrate independence days or national holidays between September 15 and October 15; and

Whereas, Congress approved a Joint Resolution September 17, 1968, requesting and authorizing the president to annually issue a proclamation designating the one month period from September 15 to October 15 as National Hispanic Heritage Month;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim September 15-October 15, 1995, as HISPANIC HERITAGE MONTH in Illinois and urge all Illinoisans to recognize the contributions of Hispanics.

Issued by the Governor August 8, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-403

##### STEVE LASKER DAY

Whereas, Steve Lasker has been a news photographer in Chicago for 48 years; and

Whereas, he has been a tribute to the news profession in Chicago, and has

covered a multitude of news stories throughout the last 48 years; and

Whereas, he has won awards for his camera work, including an Emmy for his coverage of an I.C. commuter train crash; and

Whereas, he has spent the last 25 years as a cameraman for Channel 2 in Chicago; and

Whereas, he will retire from the station on August 11, 1995;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 11, 1995, as STEVE LASKER DAY in Illinois and extend my best wishes for a fulfilling retirement.

Issued by the Governor August 8, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-404

##### BUD BILLIKEN DAY

Whereas, for 65 years, the annual Chicago Defender Charities' Bud Billiken Parade and Picnic has provided free, wholesome, and fun entertainment to thousands of children; and

Whereas, the Bud Billiken observance gives adults an opportunity to share fun and fellowship with youth; and

Whereas, this year's Bud Billiken Parade marks the 66th year of noteworthy celebration; and

Whereas, the Bud Billiken Parade and Picnic has been an outstanding event in the City of Chicago for many years and is worthy of the wholehearted support of all citizens;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 12, 1995, as BUD BILLIKEN DAY in Illinois.

Issued by the Governor August 9, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-405

##### POLLUTION CONTROL DAY

Whereas, the Illinois Pollution Control Board was created in 1970 with the enactment of the state's Environmental Protection Act; and

Whereas, the Board is charged with developing environmental rules and standards, as well as providing a forum by which parties and individuals can appeal such contested cases as enforcement actions, variances, adjusted standards, permit appeals, and landfill siting appeals; and

Whereas, those dedicated individuals who have served on the Board during its 25-year existence have brought with them expertise in law, biology, chemistry, earth sciences, and professional engineering, as well as experience serving in state and local government, the environmental community, and the private sector; and

Whereas, the Board continues to strive to achieve a fair and proper balance between protecting the state's environmental health and assuring the state's regulations are economically reasonable and technically feasible; and

Whereas, the Board has made major strides in speeding up the processing of its ever increasing caseload with fewer resources, this at a time when the state and federal government's environmental mandates grow more complicated; and

Whereas, the Board will continue to assist the state in bringing about the



most effective, yet flexible and economical means of implementing the state's environmental regulatory programs in the future;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim September 6, 1995, as POLLUTION CONTROL DAY in Illinois.

Issued by the Governor August 9, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-406

##### SEYMOUR SIMON DAY

Whereas, since 1988, Seymour Simon has been an esteemed partner in the firm of Rudnick & Wolfe in Chicago; and

Whereas, he received his B.S. degree from Northwestern University in 1935, his J.D. from Northwestern in 1938, and was admitted to practice law in Illinois during the same year; and

Whereas, during World War II, Seymour Simon served in the U.S. Navy and was decorated with the Legion of Merit; and

Whereas, after the war, he practiced law in Chicago until his election to the Illinois Appellate Court in 1974. In 1980, he was elected as a justice of the Illinois Supreme Court; and

Whereas, during his illustrious career as an attorney and justice, Seymour Simon received numerous awards and honors including, but not limited to, the Northwestern University Alumni Association Award of Merit, the American Veterans Committee Hubert L. Hill Award, the North Park College Citation for Distinguished Community Service, and the Tau Epsilon Rho Legal Fraternity's 9th Annual Public Service Award; and

Whereas, he also has served as director of National General Corporation, Great American Insurance Company, Bantam Books, Swedish Covenant Hospital, and Schwab Rehabilitation Hospital; and

Whereas, he is a member of the American Bar Association, the Illinois State Bar Association, the Chicago Bar Association, the Variety Club, and Phi Beta Kappa Associates; and

Whereas, on August 10, 1995, Seymour Simon will celebrate his 80th birthday and will be honored by his colleagues, friends, and family members;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 10, 1995, as SEYMOUR SIMON DAY in Illinois in recognition of his accomplishments, contributions to the citizens of Illinois, and the 80th anniversary of his birth.

Issued by the Governor August 9, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-407

##### CENTENNIAL JUBILEE MASS DAY

Whereas, St. Patrick Church of Lemont, Illinois, has a parish that is 155 years old and a church building that is 100 years old; and

Whereas, Cardinal Joseph Bernardin will join St. Patrick Church in celebrating the 100th anniversary of the church building with a Jubilee Mass; and

Whereas, St. Patrick Church has contributed greatly to the citizens of Illinois for more than 100 years through fellowship, dedication, and service to the community; and

Whereas, St. Patrick Church has a parish school that invests in and stresses the importance of educating children;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 13, 1995, as CENTENNIAL JUBILEE MASS DAY in Illinois in honor of St. Patrick Church and the 100th anniversary of the church building.

Issued by the Governor August 10, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-408

##### MEXICAN INDEPENDENCE MONTH

Whereas, the Sociedad Civica Mexicana de Illinois, Inc. is a not-for-profit organization that seeks to perpetuate the customs and traditions of Mexican culture and promote goodwill and understanding among all Illinoisans; and

Whereas, the Sociedad Civica Mexicana de Illinois, Inc. has established a fund to grant \$1,000 scholarships to Latino students; and

Whereas, the Sociedad Civica Mexicana de Illinois, Inc. has sponsored the Piastas Patrias since 1969; and

Whereas, President Lic. Ernesto Zedillo will name his official representative to crown the queen of Mexican festivities at the Aztec Banquet; and

Whereas, 1995 marks the 185th anniversary of Mexico's independence and the 26th anniversary of the Sociedad Civica Mexicana de Illinois, Inc.;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim September 1995 as MEXICAN INDEPENDENCE MONTH in Illinois.

Issued by the Governor August 10, 1995.

Filed by the Secretary of State August 18, 1995.

#### 95-409

##### CHICAGO CHILDREN'S FILM WEEK

Whereas, the Chicago International Children's Film Festival (CICFF), now in its 12th year, will run from Friday, October 6th through Sunday, October 15th; and

Whereas, during the past 11 years, the festival has become the foremost festival of children's films in the United States, involving both adult and child juries and an international jury established to designate the film which best illustrates the United Nations Declaration of the Rights of the Child; and

Whereas, the parent organization of the CICFF, Facts Multimedia, a not-for-profit film and theatre organization, has been providing Chicago-area children with innovative and inspiring arts programs since 1975; and

Whereas, since 1975, Facts' Children's Film Festival has programmed humanistic children's films as an alternative to violent, exploitative media; and

Whereas, the festival is made possible in part by grants from the John D. and Catherine T. MacArthur Foundation, the National Endowment for the Arts, the Illinois Arts Council, Children's Care Foundation, CityArts III, Chicago Department of Cultural Affairs, Polk Bros. Foundation, the WPR-TV Channel 50 Foundation, Albert Pick, Jr. Fund, Mazza Foundation, Columbia College Chicago, Lloyd A. Fry Foundation, United Parcel Service (UPS), Sara Lee Foundation, GATX Corporation, Harlken Foundation, Seabury Foundation, IBM, Lurie Foundation,



Marshall Field&Fes, Washington National Insurance Co., the Chicago Cultural Center, Claridge Hotel, and American Airlines; and

Whereas, the Chicago International Children's Film Festival will screen more than 150 films from 25 nations, providing programming that offers films that stimulate, incite curiosity, entertain, and supplement education as well as investigate cultures from around the world, allowing our local multi-ethnic communities to celebrate their heritage;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim October 6-15, 1995, as CHICAGO CHILDREN'S FILM WEEK in Illinois and urge all citizens to recognize the events arranged for this time.

Issued by the Governor August 11, 1995.

Filed by the Secretary of State August 18, 1995.

95-410

#### HARDWARE INDUSTRY WEEK

Whereas, the State of Illinois is the home of the Hardware Industry Week, held in conjunction with the National Hardware Show, the largest annual trade event for hardware and home improvement products; and

Whereas, based in Schaumburg, Illinois, the American Hardware Manufacturers Association (AHMA), sponsor and conductor of the Hardware Industry Week and the National Hardware Show, is dedicated to promoting the growth and development of the hardware industry; and

Whereas, these events provide and unparalleled marketing opportunity for both sellers and buyers of consumer hardware products; and

Whereas, 70,000 visitors from the United States and more than 80 foreign countries contribute \$83 million in business for the city of Chicago and the State of Illinois during Hardware Industry Week and the National Hardware Show; and

Whereas, nearly 15 percent of exhibitors and more than 30 percent of attendees at the four-day August events are Illinois residents working for Illinois-based companies; and

Whereas, the hardware/home improvement business is a positive contributor to the state and national economy, representing more than \$126 billion in retail sales annually; and

Whereas, the hardware industry provides a real service to the property owners of Illinois and the nation;

Therefore, I, Jim Edgar, Governor of the State of Illinois, proclaim August 13-19, 1995, as HARDWARE INDUSTRY WEEK in Illinois.

Issued by the Governor August 11, 1995.

Filed by the Secretary of State August 18, 1995.

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